

# Topic 1: Data models and query languages

## Unit 4: Datalog

### Lecture 8

Wolfgang Gatterbauer

CS7240 Principles of scalable data management (sp24)

<https://northeastern-datalab.github.io/cs7240/sp24/>

2/6/2024

# Where We Are

- Relational query languages we have seen so far:
  - SQL
  - Relational Calculus
  - Relational Algebra
- They can express the same class of relational queries (ignoring extensions, such as grouping, aggregates, or sorting)
  - How powerful are they? What kind of useful queries are missing?

# Which are Relational Queries? Which are not? And Why?



- Given Friend(X,Y): Find all people X whose number of friends is a prime number ?
- Find all people who are friends with everyone who is not a friend of Bob ?
- Partition all people into three sets P1(X),P2(X),P3(X) s.t. any two friends are in different partitions ?
- Find all people who are direct or indirect friends with Alice (connected in arbitrary length) ?

# Which are Relational Queries? Which are not? And Why?



- Given  $\text{Friend}(X,Y)$ : Find all people  $X$  whose number of friends is a prime number  
*NO: needs higher math; not possible with RA  
(unless we have access to a relation  $\text{Prime}(x)$ ...)*
- Find all people who are friends with everyone who is not a friend of Bob  
?
- Partition all people into three sets  $P1(X), P2(X), P3(X)$  s.t. any two friends are in different partitions  
?
- Find all people who are direct or indirect friends with Alice (connected in arbitrary length)  
?

# Which are Relational Queries? Which are not? And Why?



- Given Friend(X,Y): Find all people X whose number of friends is a prime number  
*NO: needs higher math; not possible with RA  
(unless we have access to a relation Prime(x)...)*
- Find all people who are friends with everyone who is not a friend of Bob  
*YES:  $\{x \mid \forall y. (\neg \text{Friend}(y, \text{'Bob'}) \Rightarrow \text{Friend}(x,y))\}$  DI?*
- Partition all people into three sets P1(X),P2(X),P3(X) s.t. any two friends are in different partitions  
?
- Find all people who are direct or indirect friends with Alice (connected in arbitrary length)  
?

# Which are Relational Queries? Which are not? And Why?



- Given Friend(X,Y): Find all people X whose number of friends is a prime number  
*NO: needs higher math; not possible with RA  
(unless we have access to a relation Prime(x)...)*
- Find all people who are friends with everyone who is not a friend of Bob  
*YES:  $\{x \mid \forall y. (\neg \text{Friend}(y, \text{'Bob'}) \Rightarrow \text{Friend}(x,y))\}$  DI?  
 $\{x \mid \text{Person}(x) \wedge \forall y. [\text{Person}(y) \wedge \neg \text{Friend}(y, \text{'Bob'}) \Rightarrow \text{Friend}(x,y)]\}$*
- Partition all people into three sets P1(X),P2(X),P3(X) s.t. any two friends are in different partitions  
?
- Find all people who are direct or indirect friends with Alice (connected in arbitrary length)  
?

# Which are Relational Queries? Which are not? And Why?



- Given Friend(X,Y): Find all people X whose number of friends is a prime number  
*NO: needs higher math; not possible with RA  
(unless we have access to a relation Prime(x)...)*
- Find all people who are friends with everyone who is not a friend of Bob  
*YES:  $\{x \mid \forall y. (\neg \text{Friend}(y, \text{'Bob'}) \Rightarrow \text{Friend}(x,y))\}$   
 $\{x \mid \text{Person}(x) \wedge \forall y. [\text{Person}(y) \wedge \neg \text{Friend}(y, \text{'Bob'}) \Rightarrow \text{Friend}(x,y)]\}$*
- Partition all people into three sets P1(X),P2(X),P3(X) s.t. any two friends are in different partitions  
*NO: equivalent to 3-coloring; NP-complete*
- Find all people who are direct or indirect friends with Alice (connected in arbitrary length)



# Which are Relational Queries? Which are not? And Why?



- Given Friend(X,Y): Find all people X whose number of friends is a prime number  
*NO: needs higher math; not possible with RA (unless we have access to a relation Prime(x)...)*
- Find all people who are friends with everyone who is not a friend of Bob  
*YES:  $\{x \mid \forall y. (\neg \text{Friend}(y, \text{'Bob'}) \Rightarrow \text{Friend}(x,y))\}$   
 $\{x \mid \text{Person}(x) \wedge \forall y. [\text{Person}(y) \wedge \neg \text{Friend}(y, \text{'Bob'}) \Rightarrow \text{Friend}(x,y)]\}$*
- Partition all people into three sets P1(X),P2(X),P3(X) s.t. any two friends are in different partitions  
*NO: equivalent to 3-coloring; NP-complete*
- Find all people who are direct or indirect friends with Alice (connected in arbitrary length)  
*NO: recursive query; PTIME yet not expressible in RA*  
*Next: Datalog: extends monotone RA with recursion*



# Transitive closure (not expressible with RA)

THEOREM: Datalog can express queries that RA (RC) cannot (e.g., transitive closure of a graph)

## Transitive closure [edit]

Although relational algebra seems powerful enough for most practical purposes, there are some simple and natural operators on relations that cannot be expressed by relational algebra. One of them is the transitive closure of a binary relation. Given a domain  $D$ , let binary relation  $R$  be a subset of  $D \times D$ . The transitive closure  $R^+$  of  $R$  is the smallest subset of  $D \times D$  that contains  $R$  and satisfies the following condition:

$$\forall x \forall y \forall z ((x, y) \in R^+ \wedge (y, z) \in R^+ \Rightarrow (x, z) \in R^+)$$

It can be proved using the fact that there is no relational algebra expression  $E(R)$  taking  $R$  as a variable argument that produces  $R^+$ .<sup>[7]</sup>

SQL however officially supports such fixpoint queries since 1999, and it had vendor-specific extensions in this direction well before that.

Source: [https://en.wikipedia.org/wiki/Relational\\_algebra#Transitive\\_closure](https://en.wikipedia.org/wiki/Relational_algebra#Transitive_closure)

Appendix from: Aho, Ullman. "Universality of data retrieval languages". POPL 1979. <https://doi.org/10.1145%2F567752.567763>

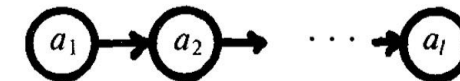
Wolfgang Gatterbauer. Principles of scalable data management: <https://northeastern-datalab.github.io/cs7240/>

### Appendix

In this appendix, we prove that the transitive closure of a relation cannot be couched as an expression of relational algebra.<sup>†</sup> It is interesting to note that both Bancilhon [B] and Paredaens [P] in essence characterize relational algebra as equivalent to the set of mappings obeying principle 2 with respect to an empty set of predicates. However, transitive closure obeys this principle. There is no contradiction. In [B,P] it is shown that for every relation  $r$  there is a relational algebra expression  $E$  such that  $E(R) = R^+$ , the transitive closure of  $R$ . What we show is that for no relational algebra expression  $E$  is  $E(R) = R^+$  for all  $r$ .

*Theorem 6.* For an arbitrary binary relation  $R$ , there is no expression  $E(R)$  in relational algebra equivalent to  $R^+$ , the transitive closure of  $R$ .

Suppose we have an expression  $E(R)$  that is the transitive closure of  $R$ . Let  $\Sigma_l = \{a_1, a_2, \dots, a_l\}$  be a set of  $l$  arbitrary symbols. Let  $R_l$  be the finite relation  $\{a_1 a_2, a_2 a_3, \dots, a_{l-1} a_l\}$ .  $R_l$  represents the graph



We shall show that, for any relational expression  $E$ , there is some value of  $l$  for which  $E(R_l)$  is not  $R_l^+$ . In particu-

# Datalog & ASP

- Datalog

- Database query language designed in the 80's
- Simple, concise, elegant
  - "Clean" (syntactic) restriction of Prolog with DB access
  - Expressive & declarative: Set-of-rules semantics, Independence of execution order, Invariance under logical equivalence
- Several open source implementations, mostly academic implementations
- Recently a hot topic, beyond databases:
  - network protocols, static program analysis, DB+ML

```
Path(x,y) :- Arc(x,y).  
Path(x,z) :- Arc(x,y), Path(y,z).  
InCycle(x) :- Path(x,x).
```



LogicBlox

RelationalAI

- Answer Set Programming (ASP):

- very powerful extension (with negation) that can model hard computational problems



Originally based on slides by Dan Suciu

We will later see and use in class: Soufflé (<https://souffle-lang.github.io/simple>) and Postassco/Clingo: Download: <https://potassco.org/clingo/>,

Running in the browser: <https://potassco.org/clingo/run/>, More resources on clingo: <https://teaching.potassco.org/>

Wolfgang Gatterbauer. Principles of scalable data management: <https://northeastern-datalab.github.io/cs7240/>

# Recursion with SQL server vs. Datalog

## SQL

**LISTING 4.7** Using Common Table Expressions for Recursive Operations

```
USE AdventureWorks;
WITH DirectReports (ManagerID, EmployeeID, EmployeeName, Title)
AS
(
-- Anchor member definition
SELECT e.ManagerID, e.EmployeeID, c.FirstName + ' ' + c.LastName, e.Title
FROM HumanResources.Employee AS e
INNER JOIN Person.Contact as c
      ON e.ContactID = c.ContactID
WHERE ManagerID IS NULL
UNION ALL
-- Recursive member definition
SELECT e.ManagerID, e.EmployeeID, c.FirstName + ' ' + c.LastName ,e.Title
FROM HumanResources.Employee AS e
INNER JOIN DirectReports AS d
      ON e.ManagerID = d.EmployeeID
INNER JOIN Person.Contact as c
      ON e.ContactID = c.ContactID
)
-- Statement that executes the CTE
SELECT EmployeeID, EmployeeName, Title, ManagerID
FROM DirectReports
GO
```

## Datalog

Manager(eid) :- Manages(\_, eid)

DirectReports(eid, 0) :-  
Employee(eid), not Manager(eid)

DirectReports(eid, level+1) :-  
DirectReports(mid, level), Manages(mid, eid)

*SQL Query vs. Datalog: which would you rather write?*

*Possible scribe: to fix that example 😊*

# Smallest set of features that would make relational algebra Turing complete

Asked 8 years, 4 months ago   Active 5 years, 5 months ago   Viewed 296 times

▲ You need just two things: **new values** and **recursion/while**.

4 ▼ New values means the ability to execute some external function that returns values that were not already to be found in the database. Obviously most implementations (including SQL) have that.

✓ ↻ Recursion/while means the ability to ~~execute~~ a loop or iterative computation that may not terminate. The CTE RECURSIVE feature of SQL is one such.

*CTE = Common Table Expression = WITH clause*

SQL with CTE RECURSIVE is Turing Complete (without stored procedures).

See the Alice book <http://webdam.inria.fr/Alice/> for a detailed treatment.

Share Cite Improve this answer Follow

answered Sep 1 2016 at 5:47

 david.pfx  
176 ⬆ 4



Jan Hidders, Database researcher

Answered 2 years ago · Author has 615 answers and 840K answer views

## Why is SQL not Turing complete?

Some variants of SQL, including some of the ISO standards, are actually Turing complete.

The most obvious example is SQL:1999 with the SQL/PSM extension, which adds stored procedures and therefore recursive functions and programming constructs that were intended to turn SQL into a programming language.

A less obvious example is SQL:2003 without stored procedures. It can be shown to be Turing complete using a clever combination of recursive queries (using Common Table Expressions) and Windowing, the first introduced in SQL:1999 and the latter since SQL:2003. See: <http://assets.en.oreilly.com/1/event/27/High%20Performance%20SQL%20with%20PostgreSQL%20Presentation.pdf> (↗).

Nevertheless, it is true that the core of SQL was deliberately designed to be not Turing complete. The main reasons for this are:

1. By restricting the query language the programmer is encouraged to separate the computational task into a part that can be efficiently computed and optimised by the DBMS (namely the part that can be formulated in SQL) and a part that the programmer probably can better implement by themselves.
2. By restricting the query language to computations that always terminate and can be computed in polynomial time and logarithmic space, we can reduce the risk of burdening the database server with a workload that it cannot deal with.

1.4K views · View upvotes

<https://www.quora.com/Why-is-relational-algebra-not-Turing-complete> , [https://wiki.postgresql.org/wiki/Cyclic\\_Tag\\_System](https://wiki.postgresql.org/wiki/Cyclic_Tag_System) , [https://en.wikipedia.org/wiki/Tag\\_system#Cyclic\\_tag\\_systems](https://en.wikipedia.org/wiki/Tag_system#Cyclic_tag_systems)

Wolfgang Gatterbauer. Principles of scalable data management: <https://northeastern-datalab.github.io/cs7240/>

## Cyclic Tag System

This SQL query (requires PostgreSQL 8.4) forms a cyclic tag system (wikipedia ↗), which is sufficient to demonstrate that SQL is Turing-complete. It is written entirely in SQL:2003-conformant SQL.

Thanks to Andrew (RhodiumToad) Gierth, who came up with the concept and wrote the code.

The productions are encoded in the table "p" as follows:

```
"iter" is the production number;
"rnum" is the index of the bit;
"tag" is the bit value.
```

This example uses the productions:

```
110 01 0000
```

The initial state is encoded in the non-recursive union arm, in this case just '1'

The mod(r.iter, n) subexpression encodes the number of productions, which can be greater than the size of table "p", because empty productions are not included in the table.

Parameters:

```
the content of "p"
the content of the non-recursive branch
the 3 in mod(r.iter, 3)
```

"p" encodes the production rules; the non-recursive branch is the initial state, and the 3 is the number of rules

The result at each level is a bitstring encoded as 1 bit per row, with rnum as the index of the bit number.

At each iteration, bit 0 is removed, the remaining bits shifted up one, and if and only if bit 0 was a 1, the content of the current production rule is appended at the end of the string.

```
WITH RECURSIVE
p(iter, rnum, tag) AS (
  VALUES (0,0,1), (0,1,1), (0,2,0),
          (1,0,0), (1,1,1),
          (2,0,0), (2,1,0), (2,2,0), (2,3,0)
),
r(iter, rnum, tag) AS (
  VALUES (0,0,1)
UNION ALL
  SELECT r.iter+1,
         CASE
           WHEN r.rnum=0 THEN p.rnum + max(r.rnum) OVER ()
           ELSE r.rnum-1
         END,
         CASE
           WHEN r.rnum=0 THEN p.tag
           ELSE r.tag
         END
  FROM r
  LEFT JOIN p
    ON (r.rnum=0 and r.tag=1 and p.iter=mod(r.iter, 3))
  WHERE
    r.rnum>0
    OR p.iter IS NOT NULL
)
SELECT iter, rnum, tag
FROM r
ORDER BY iter, rnum;
```

### Fun Snippets

#### Cyclic Tag System

Works with PostgreSQL

8.4

Written in

SQL

Depends on

Nothing

# Cyclic tag systems [ edit ]

A cyclic tag system is a modification of the original tag system. The alphabet consists of only two symbols, **0** and **1**, and the production rules comprise a list of productions considered sequentially, cycling back to the beginning of the list after considering the "last" production on the list. For each production, the leftmost symbol of the word is examined—if the symbol is **1**, the current production is appended to the right end of the word; if the symbol is **0**, no characters are appended to the word; in either case, the leftmost symbol is then deleted. The system halts if and when the word becomes empty.

## Example [ edit ]

Cyclic Tag System  
Productions: (010, 000, 1111)

Computation  
Initial Word: 11001

Production	Word
010	11001
000	1001010
1111	001010000
010	01010000
000	1010000
1111	010000000
010	10000000
.	.
.	.

Cyclic tag systems were created by [Matthew Cook](#) and were used in Cook's demonstration that the [Rule 110 cellular automaton](#) is universal. A key part of the demonstration was that cyclic tag systems can emulate a [Turing-complete](#) class of tag systems.

<https://www.quora.com/Why-is-relational-algebra-not-Turing-complete> , [https://wiki.postgresql.org/wiki/Cyclic\\_Tag\\_System](https://wiki.postgresql.org/wiki/Cyclic_Tag_System) , [https://en.wikipedia.org/wiki/Tag\\_system#Cyclic\\_tag\\_systems](https://en.wikipedia.org/wiki/Tag_system#Cyclic_tag_systems)

Wolfgang Gatterbauer. Principles of scalable data management: <https://northeastern-datalab.github.io/cs7240/>

# Cyclic Tag System

This SQL query (requires PostgreSQL 8.4) forms a cyclic tag system ([wikipedia](#) [↗](#)), which is sufficient to demonstrate that SQL is Turing-complete. It is written entirely in SQL:2003-conformant SQL.

Thanks to Andrew (RhodiumToad) Gierth, who came up with the concept and wrote the code.

The productions are encoded in the table "p" as follows:

```
"iter" is the production number;
"rnum" is the index of the bit;
"tag" is the bit value.
```

This example uses the productions:

```
110 01 0000
```

The initial state is encoded in the non-recursive union arm, in this case just '1'

The mod(r.iter, n) subexpression encodes the number of productions, which can be greater than the size of table "p", because empty productions are not included in the table.

Parameters:

```
the content of "p"
the content of the non-recursive branch
the 3 in mod(r.iter, 3)
```

"p" encodes the production rules; the non-recursive branch is the initial state, and the 3 is the number of rules

The result at each level is a bitstring encoded as 1 bit per row, with rnum as the index of the bit number.

At each iteration, bit 0 is removed, the remaining bits shifted up one, and if and only if bit 0 was a 1, the content of the current production rule is appended at the end of the string.

```
WITH RECURSIVE
p(iter,rnum,tag) AS (
VALUES (0,0,1),(0,1,1),(0,2,0),
(1,0,0),(1,1,1),
(2,0,0),(2,1,0),(2,2,0),(2,3,0)
),
r(iter,rnum,tag) AS (
VALUES (0,0,1)
UNION ALL
SELECT r.iter+1,
CASE
WHEN r.rnum=0 THEN p.rnum + max(r.rnum) OVER ()
ELSE r.rnum-1
END,
CASE
WHEN r.rnum=0 THEN p.tag
ELSE r.tag
END
FROM
r
LEFT JOIN p
ON (r.rnum=0 and r.tag=1 and p.iter=mod(r.iter, 3))
WHERE
r.rnum>0
OR p.iter IS NOT NULL
)
SELECT iter, rnum, tag
FROM r
ORDER BY iter, rnum;
```

**Fun Snippets**

**Cyclic Tag System**

Works with PostgreSQL

8.4

Written in

SQL

Depends on

Nothing

# Query Language Design

Query language design is still a popular topic, especially for graphs. See e.g. <https://www.tigergraph.com/gsql/>

And the slides

<https://courses.cs.washington.edu/courses/csed516/20au/lectures/lecture05-advanced-query-evaluation.pdf>

from “DATA516/CSED516: Scalable Data Systems and Algorithms!” Dan Suciu

<https://courses.cs.washington.edu/courses/csed516/20au/>

# Outline: T1-4: Datalog & ASP

- Datalog
  - Datalog rules
  - Datalog vs. RA
  - Recursion
  - Recursion in SQL [moved here from T1-U1: SQL]
  - Semantics
  - Naive and Semi-naive evaluation (Incremental View Maintenance)
  - Chase Procedure (and Decompositions=Factorizations)
  - Datalog<sup>¬</sup>: Datalog with stratified negation
  - Datalog<sup>±</sup>
- Answer Set Programming (ASP)



# Datalog: Facts and Rules

## Schema

```
Actor(id, fname, lname)
Plays(aid, mid)
Movie(id, name, year)
```



**Facts:** tuples in the database

```
Actor(344759, "Douglas", "Fowley").
Plays(344759, 7909).
Plays(344759, 29000).
Movie(7909, "A Night in Armour", 1910).
Movie(29000, "Arizona", 1940).
Movie(29445, "Ave Maria", 1940).
```

**Rules:** queries

*(notice position matters: unnamed perspective)*

```
Q1(y) :- Movie(x,y,z), z=1940.
```



```
Q2(f,l) :- Actor(u,f,l), Plays(u,x),
           Movie(x,y,z), z<1940.
```



```
Q3(f,l) :- Actor(z,f,l), Plays(z,x1), Movie(x1,y1,1910),
           Plays(z,x2), Movie(x2,y2,1940).
```



# Datalog: Facts and Rules

## Schema

Actor(id, fname, lname)  
Plays(aid, mid)  
Movie(id, name, year)



**Facts:** tuples in the database

```
Actor(344759, "Douglas", "Fowley").  
Plays(344759, 7909).  
Plays(344759, 29000).  
Movie(7909, "A Night in Armour", 1910).  
Movie(29000, "Arizona", 1940).  
Movie(29445, "Ave Maria", 1940).
```

**Rules:** queries

*(notice position matters: unnamed perspective)*

```
Q1(y) :- Movie(x,y,z), z=1940.
```

*Find movies from 1940*

```
Q2(f,l) :- Actor(u,f,l), Plays(u,x),  
           Movie(x,y,z), z<1940.
```

?

```
Q3(f,l) :- Actor(z,f,l), Plays(z,x1), Movie(x1,y1,1910),  
           Plays(z,x2), Movie(x2,y2,1940).
```

?

# Datalog: Facts and Rules

## Schema

```
Actor(id, fname, lname)
Plays(aid, mid)
Movie(id, name, year)
```



**Facts:** tuples in the database

```
Actor(344759, "Douglas", "Fowley").
Plays(344759, 7909).
Plays(344759, 29000).
Movie(7909, "A Night in Armour", 1910).
Movie(29000, "Arizona", 1940).
Movie(29445, "Ave Maria", 1940).
```

**Rules:** queries

*(notice position matters: unnamed perspective)*

```
Q1(y) :- Movie(x,y,z), z=1940.
```

*Find movies from 1940*

```
Q2(f,l) :- Actor(u,f,l), Plays(u,x),
           Movie(x,y,z), z<1940.
```

*Find actors who played in a movie before 1940*

```
Q3(f,l) :- Actor(z,f,l), Plays(z,x1), Movie(x1,y1,1910),
           Plays(z,x2), Movie(x2,y2,1940).
```



# Datalog: Facts and Rules

## Schema

```
Actor(id, fname, lname)
Plays(aid, mid)
Movie(id, name, year)
```



**Facts:** tuples in the database

```
Actor(344759, "Douglas", "Fowley").
Plays(344759, 7909).
Plays(344759, 29000).
Movie(7909, "A Night in Armour", 1910).
Movie(29000, "Arizona", 1940).
Movie(29445, "Ave Maria", 1940).
```

**Rules:** queries

*(notice position matters: unnamed perspective)*

```
Q1(y) :- Movie(x,y,z), z=1940.
```

*Find movies from 1940*

```
Q2(f,l) :- Actor(u,f,l), Plays(u,x),
           Movie(x,y,z), z<1940.
```

*Find actors who played in a movie before 1940*

```
Q3(f,l) :- Actor(z,f,l), Plays(z,x1), Movie(x1,y1,1910),
           Plays(z,x2), Movie(x2,y2,1940).
```

*Find actors who played in a movie from 1910 and from 1940*

# Datalog: Facts and Rules

## Schema

```
Actor(id, fname, lname)
Plays(aid, mid)
Movie(id, name, year)
```



**Facts:** tuples in the database

```
Actor(344759, "Douglas", "Fowley").
Plays(344759, 7909).
Plays(344759, 29000).
Movie(7909, "A Night in Armour", 1910).
Movie(29000, "Arizona", 1940).
Movie(29445, "Ave Maria", 1940).
```

**Rules:** queries

*(notice position matters: unnamed perspective)*

```
Q1(y) :- Movie(x,y,z), z=1940.
```

*Find movies from 1940*

```
Q2(f,l) :- Actor(u,f,l), Plays(u,x),
           Movie(x,y,z), z<1940.
```

*Find actors who played in a movie before 1940*

```
Q3(f,l) :- Actor(z,f,l), Plays(z,x1), Movie(x1,y1,1910),
           Plays(z,x2), Movie(x2,y2,1940).
```

*Find actors who played in a movie from 1910 and from 1940*

*OR*

*?*

# Datalog: Facts and Rules

## Schema

Actor(id, fname, lname)  
Plays(aid, mid)  
Movie(id, name, year)



**Facts:** tuples in the database

```
Actor(344759, "Douglas", "Fowley").  
Plays(344759, 7909).  
Plays(344759, 29000).  
Movie(7909, "A Night in Armour", 1910).  
Movie(29000, "Arizona", 1940).  
Movie(29445, "Ave Maria", 1940).
```

**Rules:** queries

*(notice position matters: unnamed perspective)*

```
Q1(y) :- Movie(x,y,z), z=1940.
```

*Find movies from 1940*

```
Q2(f,l) :- Actor(u,f,l), Plays(u,x),  
           Movie(x,y,z), z<1940.
```

*Find actors who played in a movie before 1940*

```
Q4(f,l) :- Actor(z,f,l), Plays(z,x1), Movie(x1,y1,1910).
```

```
Q4(f,l) :- Actor(z,f,l), Plays(z,x2), Movie(x2,y2,1940).
```

*Find actors who played in a movie from 1910 and from 1940*

*OR*

**Extensional Database (EDB)** predicates: Actor, Plays, Movie

**Intensional Database (IDB)** predicates: Q1, Q2, Q3, Q4

# Example with Souffle Soufflé

command line if run from the same directory:

```
souffle movie.dl
```

movie.dl

```
.decl Actor(id:number, fname:symbol, lname:symbol)
.decl Plays(aid:number, mid:number)
.decl Movie(id:number, name:symbol, year:number)
Actor(344759,"Douglas", "Fowley").
Plays(344759, 7909).
Plays(344759, 29000).
Movie(7909, "A Night in Armour", 1910).
Movie(29000, "Arizona", 1940).
Movie(29445, "Ave Maria", 1940).

.decl Q2(fname:symbol, lname:symbol)
Q2(f,l) :- Actor(u,f,l), Plays(u,x), Movie(x,_,z), z<1940.
.output Q2
```

Schema

```
Actor(id, fname, lname)
Plays(aid, mid)
Movie(id, name, year)
```



movie

also allows to specify specific input and output directories

```
souffle -F. -D. movie.dl
```

tab-separated output, filename: ".csv"

Q2.csv

output

```
Douglas Fowley
```

# Topic 1: Data models and query languages

## Unit 4: Datalog

### Lecture 9

Wolfgang Gatterbauer

CS7240 Principles of scalable data management (sp24)

<https://northeastern-datalab.github.io/cs7240/sp24/>

2/9/2024



# Pre-class conversations

- Last class summary
- Project discussions (in 1 weeks: Fri 2/16: first project ideas)
- today:
  - Recursion (Datalog)
- next week:
  - what happens if we add negation? Answer: it depends on how we do it.
    - Datalog with stratified negation
    - Datalog with more general negation (stable models), leads to ASP

# Syntax of rules

- evaluates to true when relation  $R_i$  contains the tuple described by  $args_i$

- e.g.  $Actor(344759, "Douglas", "Fowley")$  is true

arithmetic predicate

$R_i(args_i)$ : relational predicate with arguments (= atom / subgoal)

$Q2(f,l) :- Actor(u,f,l), Plays(u,x), Movie(x,y,z), z < 1940.$

head

body

(or consequent)  
single IDB atom

(or antecedent)  
conjunction of atoms

$\{f,l\}$ : head variables  
 $\{u,x,y,z\}$ : existential variables

Alternative notation:  $Q(args) \leftarrow R1(args) \text{ AND } R2(args) \dots$  / or variables begin with a capital letter, predicates with lower-case letters (problem: can't have "Boston")

Based upon an example by Dan Suciu from CSE 554, 2018.

Wolfgang Gatterbauer. Principles of scalable data management: <https://northeastern-datalab.github.io/cs7240/>

# Logical interpretation of a single rule



Actor(id, fname, lname)  
Plays(aid, mid)  
Movie(id, name, year)

$Q(y) :- \text{Movie}(x, y, z), z < 1940.$

Meaning of a Datalog rule is a logical statement:



# Logical interpretation of a single rule



```
Actor(id, fname, lname)
Plays(aid, mid)
Movie(id, name, year)
```

$Q(y) :- \text{Movie}(x, y, z), z < 1940.$

Meaning of a Datalog rule is a logical statement:

For all  $x, y, z$ : if  $(x, y, z) \in \text{Movies}$  and  $z < 1940$  then  $y$  is in  $Q$  (i.e. is part of the answer)

$\forall x, y, z [(\text{Movie}(x, y, z) \wedge z < 1940) \Rightarrow Q(y)]$

Ignoring the case of an empty movie table, logically equivalent to

?

# Logical interpretation of a single rule



```
Actor(id, fname, lname)
Plays(aid, mid)
Movie(id, name, year)
```

$Q(y) :- \text{Movie}(x, y, z), z < 1940.$

Meaning of a Datalog rule is a logical statement:

For all  $x, y, z$ : if  $(x, y, z) \in \text{Movies}$  and  $z < 1940$  then  $y$  is in  $Q$  (i.e. is part of the answer)

$\forall x, y, z [(\text{Movie}(x, y, z) \wedge z < 1940) \Rightarrow Q(y)]$

Ignoring the case of an empty movie table, logically equivalent to

$\forall y [\exists x, z [\text{Movie}(x, y, z) \wedge z < 1940] \Rightarrow Q(y)]$

*Thus, non-head variables are called "existential variables"*

compare with DRC



# Logical interpretation of a single rule



Actor(id, fname, lname)  
Plays(aid, mid)  
Movie(id, name, year)

$Q(y) :- \text{Movie}(x, y, z), z < 1940.$

Meaning of a Datalog rule is a logical statement:

For all  $x, y, z$ : if  $(x, y, z) \in \text{Movies}$  and  $z < 1940$  then  $y$  is in  $Q$  (i.e. is part of the answer)

$\forall x, y, z [(\text{Movie}(x, y, z) \wedge z < 1940) \Rightarrow Q(y)]$

Ignoring the case of an empty movie table, logically equivalent to

$\forall y [\exists x, z [\text{Movie}(x, y, z) \wedge z < 1940] \Rightarrow Q(y)]$

Thus, non-head variables are called "existential variables"

We want the smallest set  $Q$  with this property (why?) ?

compare with DRC

$\{(y) \mid \exists x, z [\text{Movie}(x, y, z) \wedge z < 1940]\}$

# Logical interpretation of a single rule



Actor(id, fname, lname)  
Plays(aid, mid)  
Movie(id, name, year)

$Q(y) :- \text{Movie}(x, y, z), z < 1940.$

Meaning of a Datalog rule is a logical statement:

For all  $x, y, z$ : if  $(x, y, z) \in \text{Movies}$  and  $z < 1940$  then  $y$  is in  $Q$  (i.e. is part of the answer)

$\forall x, y, z [(\text{Movie}(x, y, z) \wedge z < 1940) \Rightarrow Q(y)]$

Ignoring the case of an empty movie table, logically equivalent to

$\forall y [\exists x, z [\text{Movie}(x, y, z) \wedge z < 1940] \Rightarrow Q(y)]$

Thus, non-head variables are called "existential variables"

compare with DRC

$\{(y) \mid \exists x, z [\text{Movie}(x, y, z) \wedge z < 1940]\}$

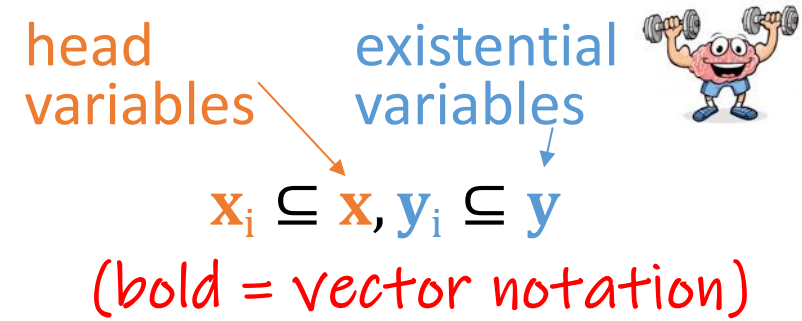
We want the smallest set  $Q$  with this property (why?)  
That takes care of the empty movie table ☺: a rule only fires if the antecedent is fulfilled ...

# Syntactic Constraints

$$Q(\mathbf{x}) \text{ :- } R_1(\mathbf{x}_1, \mathbf{y}_1), \dots, R_m(\mathbf{x}_m, \mathbf{y}_m).$$

The rule stands for the following logical formula:

$$\forall \mathbf{x} [Q(\mathbf{x}) \Leftrightarrow \exists \mathbf{y} [R_1(\mathbf{x}_1, \mathbf{y}_1) \wedge \dots \wedge R_m(\mathbf{x}_m, \mathbf{y}_m)]]$$



Recall we want the smallest set  $Q$  with this property

Two restrictions:

1. **Safety**: every head variable should occur in the body at least once

$$R(x, z) \text{ :- } S(x, y), R(y, x).$$





# Syntactic Constraints



$$Q(\mathbf{x}) \text{ :- } R_1(\mathbf{x}_1, \mathbf{y}_1), \dots, R_m(\mathbf{x}_m, \mathbf{y}_m).$$

$$\mathbf{x}_i \subseteq \mathbf{x}, \mathbf{y}_i \subseteq \mathbf{y}$$

(bold = vector notation)

The rule stands for the following logical formula:

$$\forall \mathbf{x} [Q(\mathbf{x}) \Leftrightarrow \exists \mathbf{y} [R_1(\mathbf{x}_1, \mathbf{y}_1) \wedge \dots \wedge R_m(\mathbf{x}_m, \mathbf{y}_m)]]$$

Two restrictions:

1. **Safety**: every head variable should occur in the body at least once

~~$$R(x, z) \text{ :- } S(x, y), R(y, x).$$~~

forbidden rule:  $z$  not in body

2. The head predicate must be an **IDB (Intensional)** predicate  
(Body can include both EDBs and IDBs)

~~$$\text{Arc}(x, y) \text{ :- } \text{Arc}(x, z), \text{Arc}(z, y).$$~~

This is mostly of theoretic interest. Souffle calls EDBs the "facts ... sourced from tab-separated input files" but allows them also to appear in the head of a rule (<https://souffle-lang.github.io/execute>)

- Getting Started ▲
- Welcome
- Install Soufflé
- Build Soufflé
- A Simple Example
- Run Soufflé
- Examples
- Tutorial
- Source Code and Documentation
- Developer Tutorial
- Applications
- Language ▼
- Advanced Topics ▼
- Publications ▼

# Welcome



Soufflé is a logic programming language inspired by Datalog. It **overcomes some of the limitations in classical Datalog**. For example, programmers are not restricted to finite domains, and the usage of **functors** (intrinsic, user-defined, records/constructors, etc.) is permitted. Soufflé has a component model so that large logic projects can be expressed. Soufflé was initially designed for crafting static analysis in logic at Oracle Labs. Since then, there have been many other applications written in the Soufflé language, including applications in reverse engineering, network analysis and data analytics.

Soufflé provides the ability to rapid prototype and make deep design space explorations possible. A wide range of **applications** have been implemented in the Soufflé language, e.g., static program analysis for Java [DOOP](#), parallelizing compiler framework [Insieme](#), binary disassembler [DDISASM](#), [security analysis for cloud computing](#), and security analysis for smart contracts [Gigahorse](#), [Securify](#), [Secuify V2.0](#), [VANDAL](#). More applications are listed [here](#).

Soufflé language project is led by [Prof Bernhard Scholz](#), and commenced at [Oracle Labs in Brisbane](#). Soufflé was open-sourced in March 2016. It is actively supported by universities and industrial research labs. The main contributors to this project have been [The University of Sydney](#), the [University of Innsbruck](#), the [University College London](#), the [University of Athens](#), [Oracle Labs, Brisbane](#), and many more.

One of the major challenges in logic programming is **performance and scalability**. Soufflé **applies advanced compilation techniques** for logic programs. We use a range of techniques to achieve high-performance: Futamura Projections, staged-compilation with a new abstract machine, partial evaluation, and parallelization with highly-parallel data-structures.

# Introduction to Datalog

## Overview

Datalog is a (declarative) logic-based query language, allowing the user to perform recursive queries. It adopts syntax in the style of Prolog. In its pure form, it is based on a **decidable fragment of first-order logic (FOL)**. Here, the universe – the collection of **elements by which computation can be performed within** – is finite, and functors are not permitted. Applications of Datalog include program analysis, security, graph databases, and declarative networking.

## Soufflé: The Language

### Motivation

The syntax of Soufflé is inspired by implementations of Datalog, namely [bddbldb](#) and [muZ in Z3](#). There is no unified standard for the specification of Datalog syntax. Thus, each implementation of Datalog may differ. A principle goal of the Soufflé project is speed, tailoring program execution to multi-core servers with large amounts of memory. With this in mind, Soufflé provides software engineering features (components, for example) for large-scale logic-oriented programming. For practical usage, Soufflé **extends Datalog to make it Turing-equivalent through arithmetic functors**. This results in the ability of the programmer to write programs that may never terminate. An example of non-termination is a program where the fact  $A(0)$  and rule  $A(i + 1) :- A(i)$  exist without additional constraints. This causes Soufflé to attempt to output an infinite number of relations  $A(n)$  where  $n \geq 0$ . This is in some way **analogous to an infinite while loop** in an imperative programming language like C. However, the increased expressiveness afforded by arithmetic functors is very convenient for programming.

# Grounded variables



However, note that the following example has an *ungrounded* variable:

```
.decl fib(idx:number, value:number)
fib(1,1).
fib(2,1).
fib(idx, x + y) :- fib(idx-1, x), fib(idx-2, y), idx <= 10.
.output fib
```

The reason for this is that variable `idx` is not bound as an argument of a positive predicate in the body. In the example, variable `idx` occurs in the predicates `fib(idx-1, x)` and `fib(idx-2, y)` but as arguments of a functor rather than as a direct argument.

```
Error: Ungrounded variable id in file fibonacci-wrong.dl at line 12
fib(id, x+y) :- fib(id-1, x), fib(id-2, y), id <= 10.
-----^-----
1 errors generated, evaluation aborted
```

What can be done?

# Grounded variables



However, note that the following example has an *ungrounded* variable:

```
.decl fib(idx:number, value:number)
fib(1,1).
fib(2,1).
fib(idx, x + y) :- fib(idx-1, x), fib(idx-2, y), idx <= 10.
.output fib
```

The reason for this is that variable `idx` is not bound as an argument of a positive predicate in the body. In the example, variable `idx` occurs in the predicates `fib(idx-1, x)` and `fib(idx-2, y)` but as arguments of a functor rather than as a direct argument. To make variable `idx` bound, we can shift the index by one and obtain a program whose variables are *grounded*:

```
.decl fib(idx:number, value:number)
fib(1,1).
fib(2,1).
fib(idx+1, x + y) :- fib(idx, x) fib(idx-1, y), idx <= 9.
.output fib
```



And the program can produce the following output,

```
-----
fib
idx  value
-----
1    1
2    1
3    2
4    3
5    5
6    8
7   13
8   21
9   34
10  55
-----
```

# Grounded variables



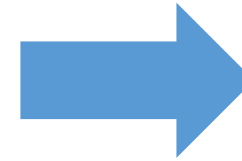
fibonacci

```
souffle fibonacci.dl
```

```
fibonacci.dl
```

```
.decl fib(key:number, value:number)
.output fib

fib(1, 1).
fib(2, 1).
fib(id+2, x+y) :- fib(id, x), fib(id+1, y), id <= 13.
```



# Grounded variables



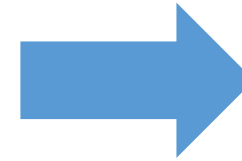
fibonacci

```
souffle fibonacci.dl
```

```
fibonacci.dl
```

```
.decl fib(key:number, value:number)
.output fib

fib(1, 1).
fib(2, 1).
fib(id+2, x+y) :- fib(id, x), fib(id+1, y), id <= 13.
```



```
fib.csv
```

1	1
2	1
3	2
4	3
5	5
6	8
7	13
8	21
9	34
10	55
11	89
12	144
13	233
14	377
15	610

Source: <https://souffle-lang.github.io/rules>

Datalog example available at: <https://github.com/northeastern-datalab/cs3200-activities/tree/master/souffle>

Wolfgang Gatterbauer. Principles of scalable data management: <https://northeastern-datalab.github.io/cs7240/>

# Outline: T1-4: Datalog & ASP

- Datalog
  - Datalog rules
  - Datalog vs. RA
  - Recursion
  - Recursion in SQL [moved here from T1-U1: SQL]
  - Semantics
  - Naive and Semi-naive evaluation (Incremental View Maintenance)
  - Chase Procedure (and Decompositions=Factorizations)
  - Datalog<sup>¬</sup>: Datalog with stratified negation
  - Datalog<sup>±</sup>
- Answer Set Programming (ASP)



# RA to Datalog by examples: Union



R(A,B,C)
S(D,E,F)
T(G,H)

RA:

$R(A,B,C) \cup S(D,E,F)$

Datalog:

?

# RA to Datalog by examples: Union



R(A,B,C)
S(D,E,F)
T(G,H)

RA:

$R(A,B,C) \cup S(D,E,F)$

Datalog:

$Q(x,y,z) :- R(x,y,z)$

$Q(x,y,z) :- S(x,y,z)$

IDB EDB

?

# RA to Datalog by examples: Union



R(A,B,C)
S(D,E,F)
T(G,H)

RA:

$R(A,B,C) \cup S(D,E,F)$

Datalog:

$Q(x,y,z) :- R(x,y,z)$

$Q(x,y,z) :- S(x,y,z)$

IDB EDB

# RA to Datalog by examples: Intersection



R(A,B,C)
S(D,E,F)
T(G,H)

RA:

$R(A,B,C) \cap S(D,E,F)$

Datalog:

?

# RA to Datalog by examples: Intersection



R(A,B,C)
S(D,E,F)
T(G,H)

RA:

$$R(A,B,C) \cap S(D,E,F)$$

Datalog:

$$Q(x,y,z) :- R(x,y,z), S(x,y,z)$$

# RA to Datalog by examples: Selection



R(A,B,C)
S(D,E,F)
T(G,H)

RA:

$\sigma_{B='Alice' \wedge C > 10} (R)$

Datalog:

?

# RA to Datalog by examples: Selection



R(A,B,C)  
S(D,E,F)  
T(G,H)

RA:

$\sigma_{B='Alice' \wedge C>10} (R)$

Datalog:

$Q(x,y,z) :- R(x,y,z), y='Alice', z > 10$

*(also:  $Q(x,y,z) :- R(x,'Alice',z), z > 10$  )*

# RA to Datalog by examples: Selection



R(A,B,C)  
S(D,E,F)  
T(G,H)

RA:

$$\sigma_{B='Alice' \wedge C>10} (R)$$

Datalog:

$$Q(x,y,z) :- R(x,y,z), y='Alice', z > 10$$

RA:

$$\sigma_{B='Alice' \vee C>10} (R)$$

?



# RA to Datalog by examples: Selection



R(A,B,C)  
S(D,E,F)  
T(G,H)

RA:

$$\sigma_{B='Alice' \wedge C>10} (R)$$

Datalog:

$$Q(x,y,z) :- R(x,y,z), y='Alice', z > 10$$

RA:

$$\sigma_{B='Alice' \vee C>10} (R)$$

Datalog:

$$Q(x,y,z) :- R(x,y,z), y='Alice'$$

$$Q(x,y,z) :- R(x,y,z), z > 10$$

# RA to Datalog by examples: Projection



R(A,B,C)
S(D,E,F)
T(G,H)

RA:

$\pi_A(R)$

$\pi_{-B,C}(R)$

Datalog:

?

# RA to Datalog by examples: Projection



R(A,B,C)
S(D,E,F)
T(G,H)

RA:

$\pi_A(R)$

$\pi_{-B,C}(R)$

Datalog:

$Q(x) :- R(x,y,z)$

$Q(x) :- R(x,_,_)$

*Underscore denotes an "anonymous variable".  
Each occurrence of an underscore represents a different variable*

# RA to Datalog by examples: Equi-join



R(A,B,C)
S(D,E,F)
T(G,H)

RA:

$$\pi_{-D,E} ( R \bowtie_{A=D \wedge B=E} S )$$

Datalog:

?

# RA to Datalog by examples: Equi-join



R(A,B,C)
S(D,E,F)
T(G,H)

RA:  $\pi_{A,B,C,F}$   
 $\pi_{-D,E} ( R \bowtie_{A=D \wedge B=E} S )$

Datalog:  $\underline{A, B, C, D, E, F}$   
 $Q(x,y,z,w) :- R(x,y,z), S(x,y,w)$

(also:  $Q(x,y,z,w) :- R(x,y,z), S(u,v,w), x=u, y=v$  )

# RA to Datalog by examples: Difference



R(A,B,C)
S(D,E,F)
T(G,H)

RA:

$R - S$

Datalog:

?

# RA to Datalog by examples: Difference



R(A,B,C)  
S(D,E,F)  
T(G,H)

RA:

$R - S$

Datalog<sup>-</sup>: (we need to add **negation**)

$Q(x,y,z) :- R(x,y,z), \text{ not } S(x,y,z)$

SAFETY

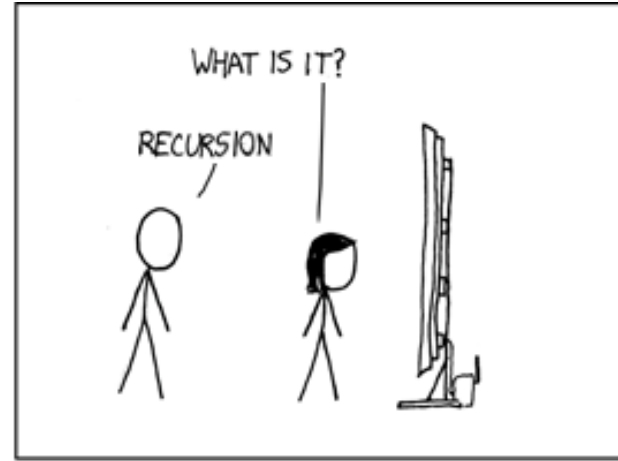
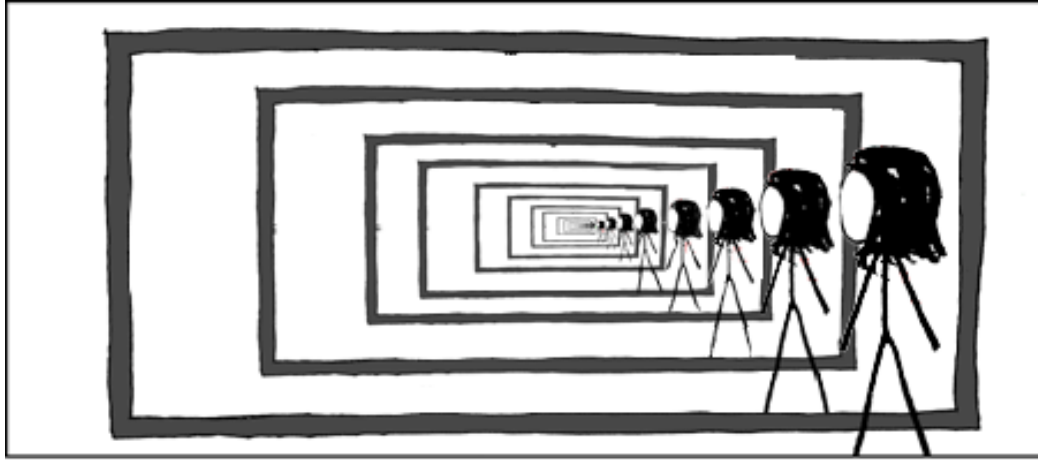
We have a long discussion later on what can go wrong if you are not careful about how you define negation

# Outline: T1-4: Datalog & ASP

- Datalog
  - Datalog rules
  - Datalog vs. RA
  - Recursion
  - Recursion in SQL [moved here from T1-U1: SQL]
  - Semantics
  - Naive and Semi-naive evaluation (Incremental View Maintenance)
  - Chase Procedure (and Decompositions=Factorizations)
  - Datalog<sup>¬</sup>: Datalog with stratified negation
  - Datalog<sup>±</sup>
- Answer Set Programming (ASP)



# Recursion



**Recursion** occurs when a thing is defined in terms of itself (self-repetition).

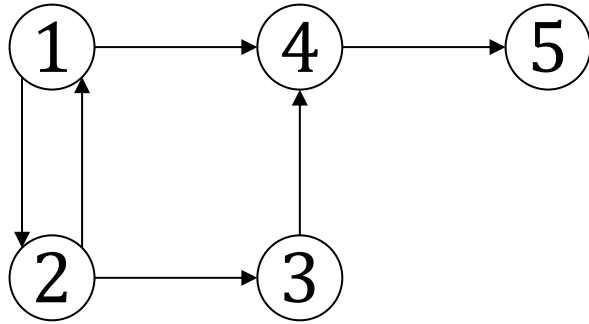
**Recursion** and **Iteration** both repeatedly execute a set of instructions.

- **Recursion** (self-similarity) is when a statement in a function calls itself repeatedly.
- **Iteration** (repetition) is when a loop repeatedly executes until the controlling condition becomes false.

A Datalog program consists of several rules:

- Usually there is one **distinguished predicate** that's the output
- Rules can be **recursive**!

# Example



EDB

$P(x,y) :- A(x,y).$

IDB

$P(x,y) :- A(x,z), P(z,y).$

*recursion due to head in rule body*

$A(S,T)$



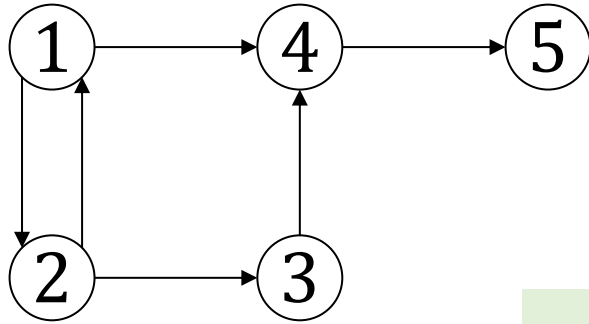
A

S	T
1	2
1	4
2	1
2	3
3	4
4	5

*What does this query compute?*

?

# Example



EDB

$P(x,y) :- A(x,y).$

IDB

$P(x,y) :- A(x,z), P(z,y).$

*recursion due to head in rule body*



*Calculates all paths (transitive closure)*

For all nodes  $x$  and  $y$ :

If there is an **Arc** from  $x$  to  $y$ ,  
then there is a **Path** from  $x$  to  $y$ .


For all nodes  $x$ ,  $z$ , and  $y$ :

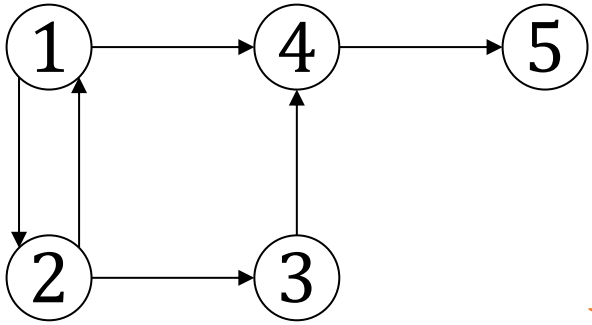
If there is an **Arc** from  $x$  to  $z$ , and there is a **Path** from  $z$  to  $y$   
then there is a **Path** from  $x$  to  $y$ .

A

S	T
1	2
1	4
2	1
2	3
3	4
4	5

# Example

A(S,T) 



EDB

$P(x,y) :- A(x,y).$

IDB

$P(x,y) :- A(x,z), P(z,y).$

Initially:  $P$  is empty

1<sup>st</sup> iteration

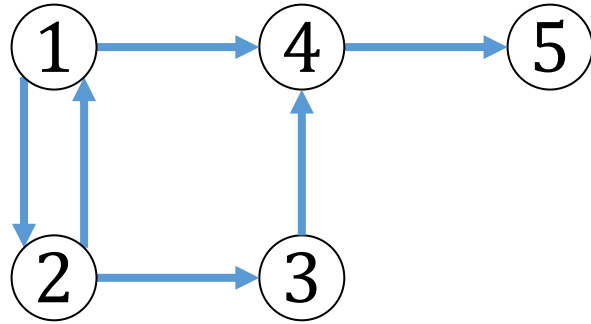
$P$



A

S	T
1	2
1	4
2	1
2	3
3	4
4	5

# Example



EDB  
IDB

$P(x,y) :- A(x,y).$   
 $P(x,y) :- A(x,z), P(z,y).$

A	S	T
	1	2
	1	4
	2	1
	2	3
	3	4
	4	5

1<sup>st</sup> iteration

P	S	T
	1	2
	2	1
	2	3
	1	4
	3	4
	4	5

} P=A from 1<sup>st</sup> rule

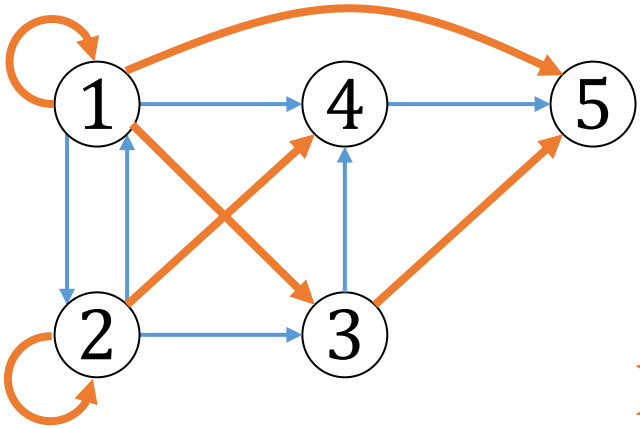
2<sup>nd</sup> iteration

P



2<sup>nd</sup> rule generates nothing (because P is empty)

# Example



EDB

$P(x,y) :- A(x,y).$

IDB

$P(x,y) :- A(x,z), P(z,y).$

A	S	T
	1	2
	1	4
	2	1
	2	3
	3	4
	4	5

1<sup>st</sup> iteration

P	S	T
	1	2
	2	1
	2	3
	1	4
	3	4
	4	5

$P=A$  from 1<sup>st</sup> rule

2<sup>nd</sup> iteration

P	S	T
	1	2
	2	1
	2	3
	1	4
	3	4
	4	5
	1	1
	2	2
	1	3
	2	4
	1	5
	3	5

1<sup>st</sup> rule

2<sup>nd</sup> rule

3<sup>rd</sup> iteration

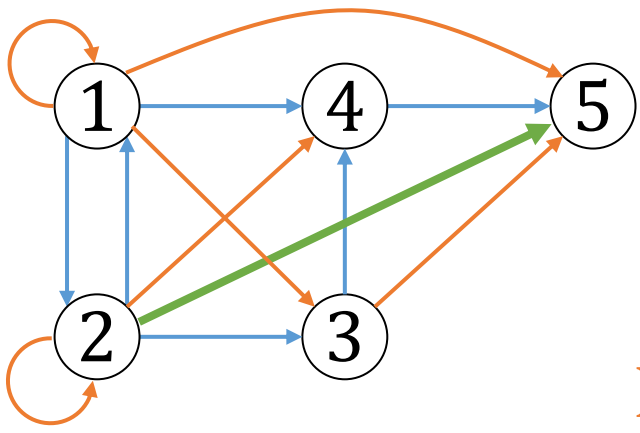


2<sup>nd</sup> rule generates nothing (because P is empty)

New facts from 2<sup>nd</sup> rule

# Example

EDB  $P(x,y) :- A(x,y).$   
 IDB  $P(x,y) :- A(x,z), P(z,y).$



A	S	T
1	2	
1	4	
2	1	
2	3	
3	4	
4	5	

1<sup>st</sup> iteration

P	S	T
1	2	
2	1	
2	3	
1	4	
3	4	
4	5	

$P=A$  from 1<sup>st</sup> rule

2<sup>nd</sup> rule generates nothing (because P is empty)

2<sup>nd</sup> iteration

1	2
2	1
2	3
1	4
3	4
4	5
1	1
2	2
1	3
2	4
1	5
3	5

1<sup>st</sup> rule (rows 1-6)  
 2<sup>nd</sup> rule (rows 7-12)

recall set semantics! (No new facts)


3<sup>rd</sup> iteration = 4<sup>th</sup> iteration

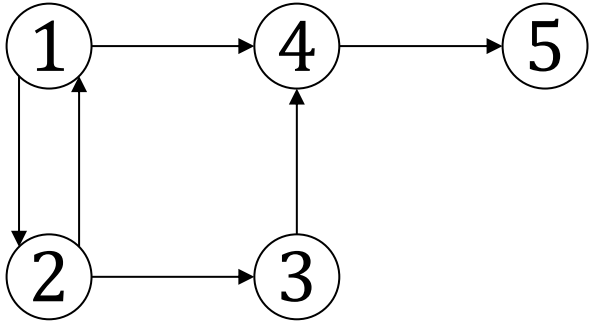
1	2
2	1
2	3
1	4
3	4
4	5
1	1
2	2
1	3
2	4
1	5
3	5
2	5

1<sup>st</sup> + 2<sup>nd</sup> rule (rows 1-6)  
 1<sup>st</sup> rule (rows 7-12)  
 2<sup>nd</sup> rule (rows 13-18)

New facts from 2<sup>nd</sup> rule

# Example with Souffle Soufflé

A(S,T)   
graph1



```
souffle graph1.dl  
graph1.dl
```

```
.decl A(S:number, T:number)  
A(1,2).  
A(2,1).  
A(2,3).  
A(1,4).  
A(3,4).  
A(4,5).  
  
.decl P(S:number, T:number)  
P(x, y) :- A(x, y).  
P(x, y) :- A(x, z), P(z, y).  
  
.output P
```

P.csv


1	1
1	2
1	3
1	4
1	5
2	1
2	2
2	3
2	4
2	5
3	4
3	5
4	5

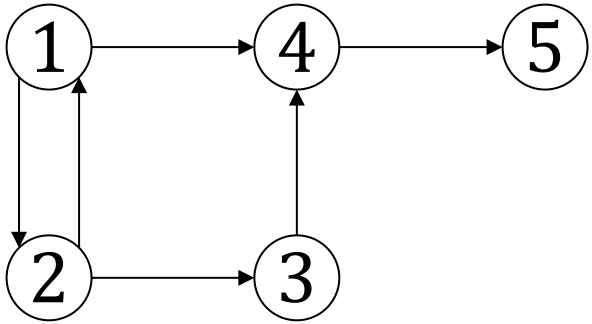
output

tab-separated,  
output filename: ".csv"



# Example with Souffle Soufflé

A(S,T)   
graph2



souffle graph2.dl

A.facts

input

1	2
2	1
2	3
1	4
3	4
4	5

tab-separated,  
input filename:  
"facts"

graph2.dl

```
.decl A(S:number, T:number)
.decl P(S:number, T:number)
.input A
.output P

P(x, y) :- A(x, y).
P(x, y) :- A(x, z), P(z, y).
```

output

P.csv

1	1
1	2
1	3
1	4
1	5
2	1
2	2
2	3
2	4
2	5
3	4
3	5
4	5

tab-separated,  
output filename: ".csv"

# What is a principled process to determine if a program is recursive?



1

```
Local(x) :- Person(x,y,'MA').
Relative(x,x) :- Person(x,y,z).
Relative(x,y) :- Relative(x,z),Parent(z,y).
Relative(x,y) :- Relative(x,z),Parent(y,z).
Relative(x,y) :- Relative(x,z),Spouse(z,y).
Invited(y) :- Relative('myself',y),Local(y).
```

?

2

```
Local(x) :- Person(x,y,'MA').
Relative(x,x) :- Person(x,y,z).
Invited(y) :- Relative('myself',y),Local(y).
```

?

3

```
MayLike(x,y) :- Close(x,z),Likes(z,y).
Visit(x,y) :- MayLike(x,y).
Close(x,z) :- Visit(x,y),Visit(z,y).
```

?

# Dependency Graph

- The **dependency graph** of a Datalog program is the directed graph  $(V,E)$  where
  - $V$  is the set of **IDB** predicates (relation names)
  - $E$  contains an **arc**  $S \rightarrow T$  whenever there is a rule with  $T$  in the head and  $S$  in the body
- A Datalog program is **recursive** if its dependency graph contains a **cycle**

# Which of these programs is recursive?



1

```
Local(x) :- Person(x,y,'MA').
```

```
Relative(x,x) :- Person(x,y,z).
```

```
Relative(x,y) :- Relative(x,z),Parent(z,y).
```

```
Relative(x,y) :- Relative(x,z),Parent(y,z).
```

```
Relative(x,y) :- Relative(x,z),Spouse(z,y).
```

```
Invited(y) :- Relative('myself',y),Local(y).
```

?

2

```
Local(x) :- Person(x,y,'MA').
```

```
Relative(x,x) :- Person(x,y,z).
```

```
Invited(y) :- Relative('myself',y),Local(y).
```

?

3

```
MayLike(x,y) :- Close(x,z),Likes(z,y).
```

```
Visit(x,y) :- MayLike(x,y).
```

```
Close(x,z) :- Visit(x,y),Visit(z,y).
```

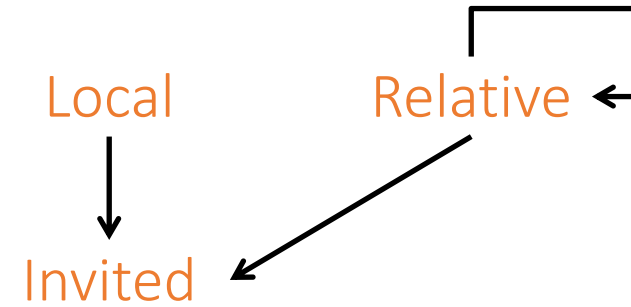
?

# Which of these programs is recursive?



1

```
Local(x) :- Person(x,y,'MA').
Relative(x,x) :- Person(x,y,z).
Relative(x,y) :- Relative(x,z),Parent(z,y).
Relative(x,y) :- Relative(x,z),Parent(y,z).
Relative(x,y) :- Relative(x,z),Spouse(z,y).
Invited(y) :- Relative('myself',y),Local(y).
```



2

```
Local(x) :- Person(x,y,'MA').
Relative(x,x) :- Person(x,y,z).
Invited(y) :- Relative('myself',y),Local(y).
```

?

3

```
MayLike(x,y) :- Close(x,z),Likes(z,y).
Visit(x,y) :- MayLike(x,y).
Close(x,z) :- Visit(x,y),Visit(z,y).
```

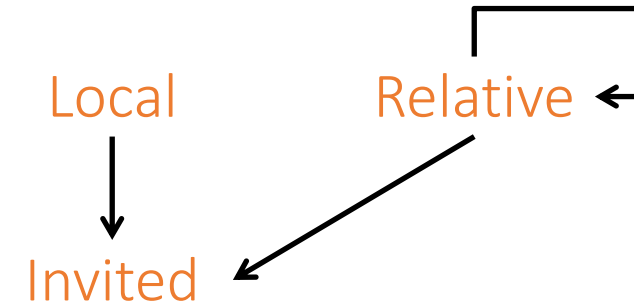
?

# Which of these programs is recursive?



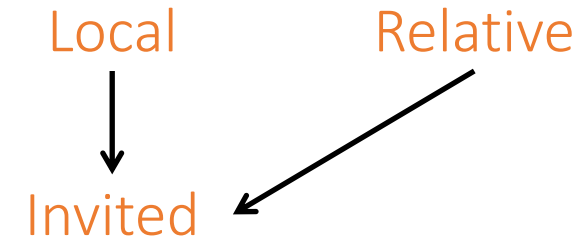
1

```
Local(x) :- Person(x,y,'MA').
Relative(x,x) :- Person(x,y,z).
Relative(x,y) :- Relative(x,z),Parent(z,y).
Relative(x,y) :- Relative(x,z),Parent(y,z).
Relative(x,y) :- Relative(x,z),Spouse(z,y).
Invited(y) :- Relative('myself',y),Local(y).
```



2

```
Local(x) :- Person(x,y,'MA').
Relative(x,x) :- Person(x,y,z).
Invited(y) :- Relative('myself',y),Local(y).
```



3

```
MayLike(x,y) :- Close(x,z),Likes(z,y).
Visit(x,y) :- MayLike(x,y).
Close(x,z) :- Visit(x,y),Visit(z,y).
```

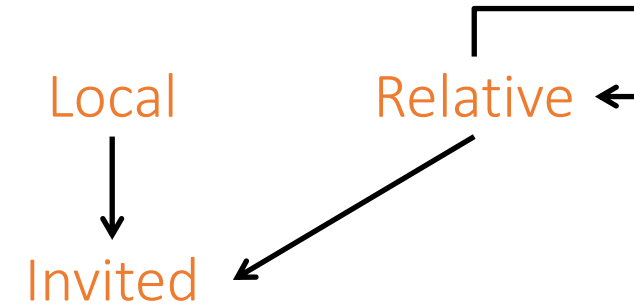


# Which of these programs is recursive?



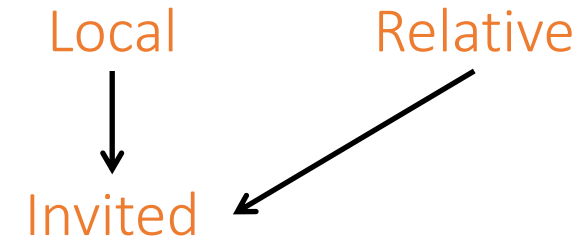
1

```
Local(x) :- Person(x,y,'MA').
Relative(x,x) :- Person(x,y,z).
Relative(x,y) :- Relative(x,z),Parent(z,y).
Relative(x,y) :- Relative(x,z),Parent(y,z).
Relative(x,y) :- Relative(x,z),Spouse(z,y).
Invited(y) :- Relative('myself',y),Local(y).
```



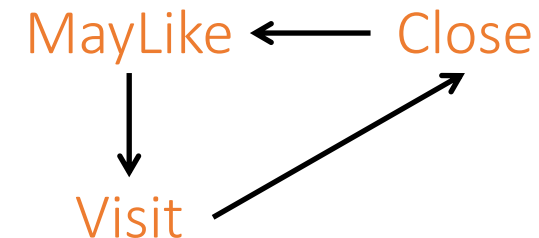
2

```
Local(x) :- Person(x,y,'MA').
Relative(x,x) :- Person(x,y,z).
Invited(y) :- Relative('myself',y),Local(y).
```



3

```
MayLike(x,y) :- Close(x,z),Likes(z,y).
Visit(x,y) :- MayLike(x,y).
Close(x,z) :- Visit(x,y),Visit(z,y).
```



# Expressiveness of Non-recursive Datalog

THEOREM: **Non-recursive** Datalog with built-in predicates ( $<, >, \leq, \geq, \neq$ ) has the same expressive power as the positive algebra  $\{\sigma, \pi, \times, \cup\}$

If we restrict selection to  $\sigma_=$  (i.e. selection with a single equality), this fragment is also called at times **UCQs** (Union of Conjunctive Queries) or **USPJ** (Union-Select-Project-Join) queries.



# Outline: T1-4: Datalog & ASP

- Datalog
  - Datalog rules
  - Datalog vs. RA
  - Recursion
  - Recursion in SQL [moved here from T1-U1: SQL]
  - Semantics
  - Naive and Semi-naive evaluation (Incremental View Maintenance)
  - Chase Procedure (and Decompositions=Factorizations)
  - Datalog<sup>¬</sup>: Datalog with stratified negation
  - Datalog<sup>±</sup>
- Answer Set Programming (ASP)

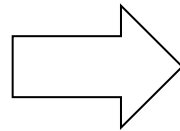


# 1. A simple recursive query

non-recursive part (here same as "select 1")

recursive part, contains reference to the query's output

```
WITH RECURSIVE T(n) as (  
  values (1)  
  UNION ALL  
  select n+1  
  from T  
  where n<=3)  
SELECT n FROM T
```



	n	
	integer	🔒
1		1
2		2
3		3
4		4

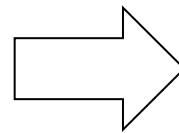
# 1. A simple recursive query



non-recursive part (here same as "select 1")

recursive part, contains reference to the query's output

```
WITH RECURSIVE T(n) as (  
  values (1)  
  UNION ALL  
  select n+1  
  from T  
  where n<=3)  
SELECT n FROM T
```



	n	
	integer	🔒
1		1
2		2
3		3
4		4

Step	WT <sub>start</sub>	$\Delta R = IT = Wt_{end}$	Results
1.			
2.	?	?	?
3.	?	?	?
4.	?	?	?
5.			

## Recursive Query Evaluation ("semi-naive evaluation strategy")

1. Evaluate the non-recursive term. For UNION (but not UNION ALL), discard duplicate rows. Include all remaining rows in the result of the recursive query, and also place them in a temporary *working table*.
2. So long as the working table is not empty, repeat these steps:
  - a. Evaluate the recursive term, substituting the current contents of the *working table* for the recursive self-reference. For UNION (but not UNION ALL), discard duplicate rows and *rows that duplicate any previous result row*. Include all remaining rows in the result of the recursive query, and also place them in a temporary *intermediate table*.
  - b. Replace the contents of the *working table* with the contents of the intermediate table, then empty the *intermediate table*.



# 1. A simple recursive query

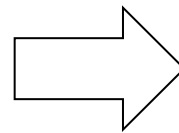
non-recursive part (here same as "select 1")

recursive part, contains reference to the query's output

```

WITH RECURSIVE T(n) as (
  values (1)
 UNION ALL
 select n+1
 from T
 where n<=3)
SELECT n FROM T

```



n	integer
1	1
2	2
3	3
4	4

Step	WT <sub>start</sub>	$\Delta R = IT = Wt_{end}$	Results
1.		{1}	{1}
2.	{1}	{2}	{1,2}
3.	{2}	{3}	{1,2,3}
4.	{3}	{4}	{1,2,3,4}
5.	{4}	$\emptyset$	{1,2,3,4}

## Recursive Query Evaluation ("semi-naive evaluation strategy")

- Evaluate the non-recursive term. For UNION (but not UNION ALL), discard duplicate rows. Include all remaining rows in the result of the recursive query, and also place them in a temporary *working table*.
- So long as the working table is not empty, repeat these steps:
  - Evaluate the recursive term, substituting the current contents of the *working table* for the recursive self-reference. For UNION (but not UNION ALL), discard duplicate rows and *rows that duplicate any previous result row*. Include all remaining rows in the result of the recursive query, and also place them in a temporary *intermediate table*.
  - Replace the contents of the *working table* with the contents of the intermediate table, then empty the *intermediate table*.

## 2. Fibonacci numbers: 0, 1, 1, 2, 3, 5, 8, 13, ...



WITH RECURSIVE Fib as (

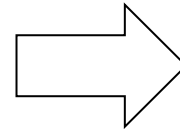
?

UNION ALL

?

SELECT \* FROM Fib

LIMIT 10;



Fib

	n integer	fib <sub>n</sub> integer	fib <sub>n+1</sub> integer
1	0	0	1
2	1	1	1
3	2	1	2
4	3	2	3
5	4	3	5
6	5	5	8
7	6	8	13
8	7	13	21
9	8	21	34
10	9	34	55

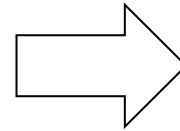
## 2. Fibonacci numbers: 0, 1, 1, 2, 3, 5, 8, 13, ...



```
WITH RECURSIVE Fib as (  
  select 0 as n,  
         0 as "fibn",  
         1 as "fibn+1"  
  UNION ALL
```

?

```
SELECT * FROM Fib  
LIMIT 10;
```



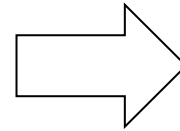
**Fib**

	n integer	fib <sub>n</sub> integer	fib <sub>n+1</sub> integer
1	0	0	1
2	1	1	1
3	2	1	2
4	3	2	3
5	4	3	5
6	5	5	8
7	6	8	13
8	7	13	21
9	8	21	34
10	9	34	55

## 2. Fibonacci numbers: 0, 1, 1, 2, 3, 5, 8, 13, ...



```
WITH RECURSIVE Fib as (  
  select 0 as n,  
         0 as "fibn",  
         1 as "fibn+1"  
UNION ALL  
  select n+1,  
         ?  
        from Fib)  
SELECT * FROM Fib  
LIMIT 10;
```



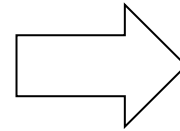
**Fib**

	n integer	fib <sub>n</sub> integer	fib <sub>n+1</sub> integer
1	0	0	1
2	1	1	1
3	2	1	2
4	3	2	3
5	4	3	5
6	5	5	8
7	6	8	13
8	7	13	21
9	8	21	34
10	9	34	55

## 2. Fibonacci numbers: 0, 1, 1, 2, 3, 5, 8, 13, ...



```
WITH RECURSIVE Fib as (  
  select 0 as n,  
         0 as "fibn",  
         1 as "fibn+1"  
UNION ALL  
  select n+1,  
         "fibn+1", ?  
from Fib)  
SELECT * FROM Fib  
LIMIT 10;
```



**Fib**

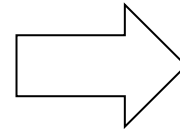
	n integer	fib <sub>n</sub> integer	fib <sub>n+1</sub> integer
1	0	0	1
2	1	1	1
3	2	1	2
4	3	2	3
5	4	3	5
6	5	5	8
7	6	8	13
8	7	13	21
9	8	21	34
10	9	34	55



## 2. Fibonacci numbers: 0, 1, 1, 2, 3, 5, 8, 13, ...



```
WITH RECURSIVE Fib as (  
  select 0 as n,  
         0 as "fibn",  
         1 as "fibn+1"  
  UNION ALL  
  select n+1,  
         "fibn+1",  
         "fibn" + "fibn+1"  
  from Fib)  
SELECT * FROM Fib  
LIMIT 10;
```



**Fib**

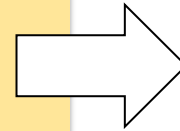
	n integer	fib <sub>n</sub> integer	fib <sub>n+1</sub> integer
1	0	0	1
2	1	1	1
3	2	1	2
4	3	2	3
5	4	3	5
6	5	5	8
7	6	8	13
8	7	13	21
9	8	21	34
10	9	34	55

## 2. Fibonacci numbers: 0, 1, 1, 2, 3, 5, 8, 13, ...



```
WITH RECURSIVE Fib(n,"fib_n","fib_{n+1}") as(
  select 0, 0, 1

  UNION ALL
  select n+1,
         "fib_{n+1}",
         "fib_n" + "fib_{n+1}"
  from Fib)
SELECT * FROM Fib
LIMIT 10;
```



**Fib**

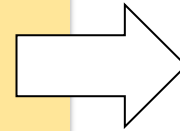
	n integer	fib <sub>n</sub> integer	fib <sub>n+1</sub> integer
1	0	0	1
2	1	1	1
3	2	1	2
4	3	2	3
5	4	3	5
6	5	5	8
7	6	8	13
8	7	13	21
9	8	21	34
10	9	34	55

*"This works because PostgreSQL's implementation evaluates only as many rows of a WITH query as are actually fetched by the parent query. Using this trick in production is not recommended, because other systems might work differently."* Source: <https://www.postgresql.org/docs/current/queries-with.html#QUERIES-WITH-RECURSIVE>

## 2. Fibonacci numbers: 0, 1, 1, 2, 3, 5, 8, 13, ...



```
WITH RECURSIVE Fib(n,"fib_n","fib_{n+1}") as(  
  select 0, 0, 1  
  
  UNION ALL  
  select n+1,  
         "fib_{n+1}",  
         "fib_n" + "fib_{n+1}"  
  from Fib  
  where n<9)  
SELECT * FROM Fib;
```



**Fib**

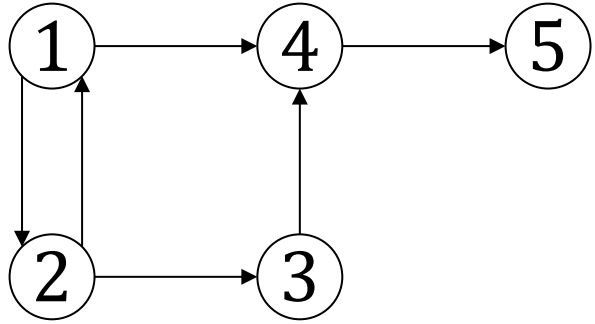
	n integer	fib <sub>n</sub> integer	fib <sub>n+1</sub> integer
1	0	0	1
2	1	1	1
3	2	1	2
4	3	2	3
5	4	3	5
6	5	5	8
7	6	8	13
8	7	13	21
9	8	21	34
10	9	34	55

*condition in WHERE clause is a more general way to write this query*

# 3. Recursion on graphs

*A for arcs or adjacencies (directed edges),  
S for source, T for target; another  
relation E (edges) have both directions*

→ A(S,T)



“Find all paths (transitive closure)”

A

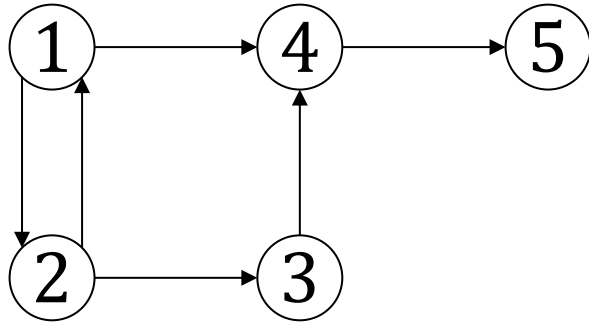
S	T
1	2
1	4
2	1
2	3
3	4
4	5



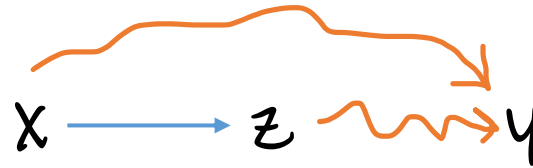
# 3. Recursion on graphs

*A for arcs or adjacencies (directed edges),  
S for source, T for target; another  
relation E (edges) have both directions*

→ A(S,T)



“Find all paths (transitive closure)”



A

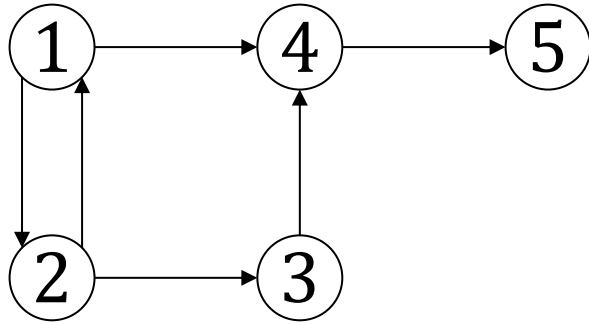
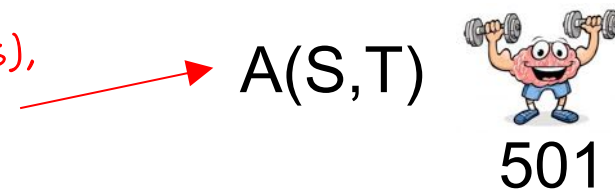
S	T
1	2
1	4
2	1
2	3
3	4
4	5

1. Create a path for every arc

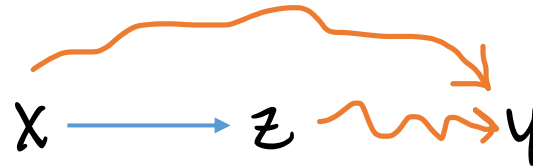
2. An arc + a path can make another path

# 3. Recursion on graphs

A for arcs or adjacencies (directed edges),  
S for source, T for target; another  
relation E (edges) have both directions



“Find all paths (transitive closure)”



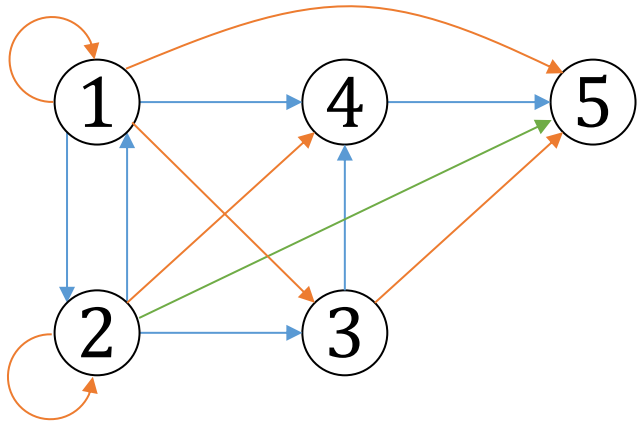
A	S	T
	1	2
	1	4
	2	1
	2	3
	3	4
	4	5

For all nodes x and y:  
If there is an **arc** from x to y,  
then there is a **path** from x to y.

$P(x,y) :- A(x,y).$   
 $P(x,y) :- A(x,z), P(z,y).$

For all nodes x, z, and y:  
If there is an **arc** from x to z, and there is a **path** from z to y  
then there is a **path** from x to y.

# 3. Recursion on graphs



```
P(x,y) :- A(x,y).  
P(x,y) :- A(x,z), P(z,y).
```

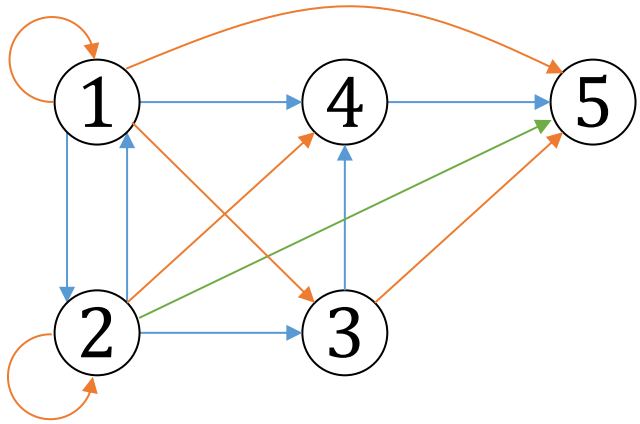
A(S,T)



A	S	T
	1	2
	1	4
	2	1
	2	3
	3	4
	4	5

In SQL ?

# 3. Recursion on graphs



A

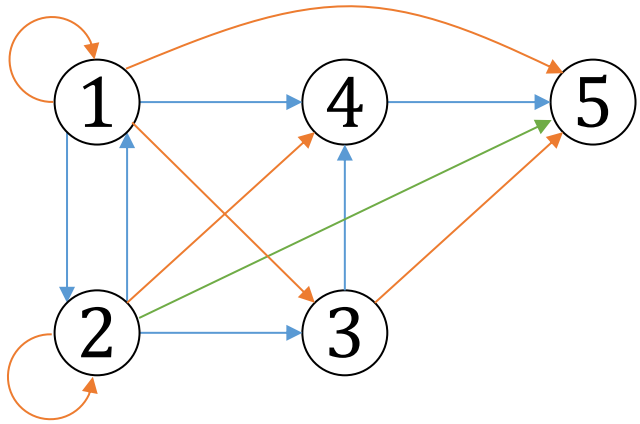
S	T
1	2
1	4
2	1
2	3
3	4
4	5

```
P(x,y) :- A(x,y).  
P(x,y) :- A(x,z), P(z,y).
```

```
WITH RECURSIVE P AS (  
    ?  
    UNION  
    ?  
    SELECT *  
    FROM P
```



# 3. Recursion on graphs



A	S	T
	1	2
	1	4
	2	1
	2	3
	3	4
	4	5

```
P(x,y) :- A(x,y).  
P(x,y) :- A(x,z), P(z,y).
```

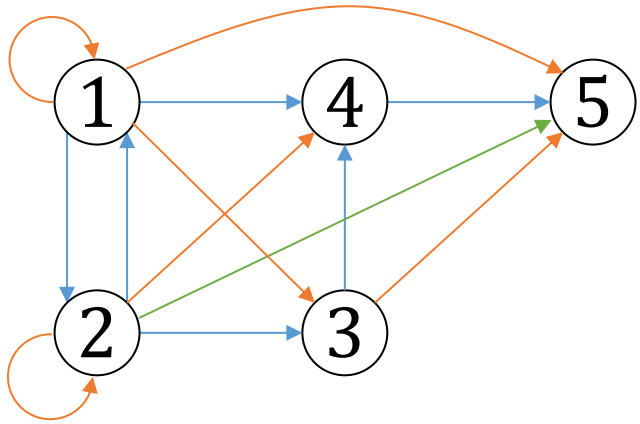
```
WITH RECURSIVE P AS (  
    SELECT S, T  
    FROM A  
    UNION  
    ?  
    SELECT *  
    FROM P
```

A(S,T)



# 3. Recursion on graphs

A(S,T)



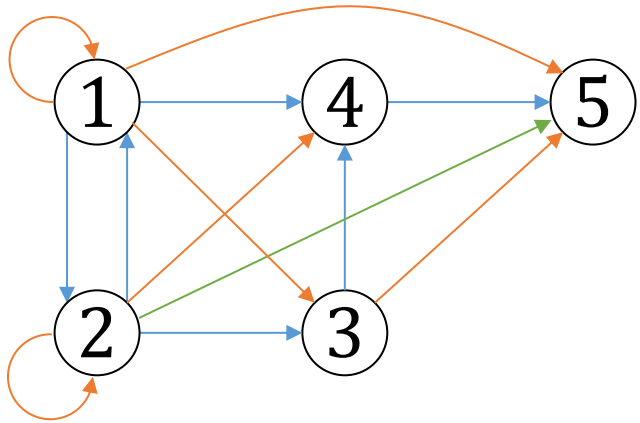
```
P(x,y) :- A(x,y).  
P(x,y) :- A(x,z), P(z,y).
```

A	S	T
	1	2
	1	4
	2	1
	2	3
	3	4
	4	5

```
WITH RECURSIVE P AS (  
  SELECT S, T  
  FROM A  
  UNION  
  SELECT A.S, P.T  
  FROM A, P  
  WHERE A.T = P.S)  
SELECT *  
FROM P
```

# 3. Recursion on graphs

```
P(x,y) :- A(x,y).  
P(x,y) :- A(x,z), P(z,y).
```



A	S	T
	1	2
	1	4
	2	1
	2	3
	3	4
	4	5

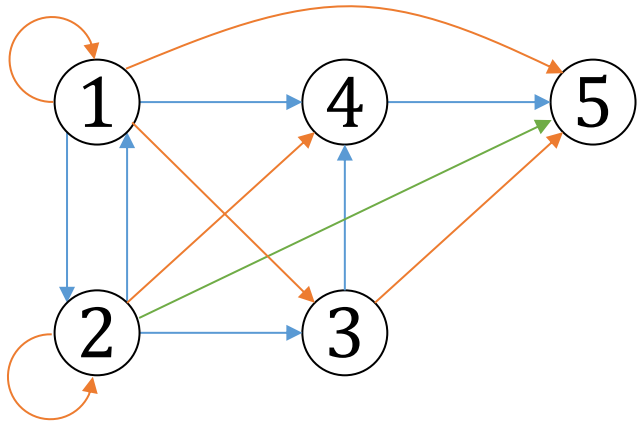
Strictly speaking, this process is iteration, not recursion:

```
WITH RECURSIVE P AS (  
    SELECT S, T  
    FROM A  
    UNION  
    SELECT A.S, P.T  
    FROM A, P  
    WHERE A.T = P.S)  
SELECT *  
FROM P
```

- Recursion and Iteration both repeatedly execute a set of instructions.
- Recursion (self-similarity) is when a statement in a function calls itself repeatedly.
  - Iteration (repetition) is when a loop repeatedly executes until the controlling condition becomes false.

# 3. Recursion on graphs

A(S,T)



```
P(x,y) :- A(x,y).  
P(x,y) :- A(x,z), A(z,y).
```

Probe for understanding: how does the output change with this little change in the query ?

A	S	T
	1	2
	1	4
	2	1
	2	3
	3	4
	4	5

```
WITH RECURSIVE P AS (  
  SELECT S, T  
  FROM A  
  UNION  
  SELECT A1.S, A2.T  
  FROM A A1, A A2  
  WHERE A1.T = A2.S)  
SELECT *  
FROM P
```

P	S	T
	1	2
	2	1
	2	3
	1	4
	3	4
	4	5
	1	1
	2	2
	1	3
	2	4
	1	5
	3	5
	2	5



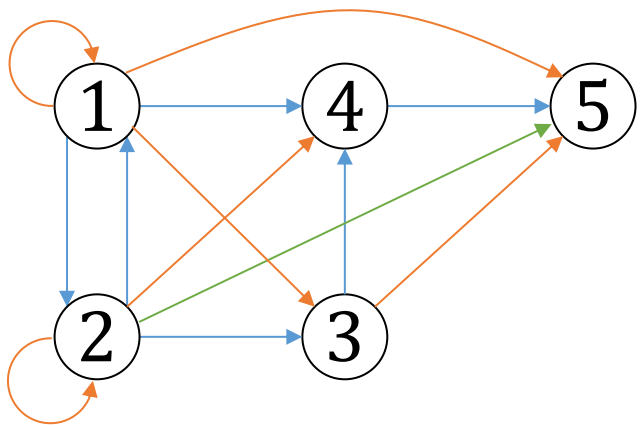
# 3. Recursion on graphs

A(S,T)



501

```
P(x,y) :- A(x,y).
P(x,y) :- A(x,z), A(z,y).
```



Probe for understanding: how the output changes with this little change in the query:

A	S	T
	1	2
	1	4
	2	1
	2	3
	3	4
	4	5

```
WITH RECURSIVE P AS (
  SELECT S, T
  FROM A
  UNION
  SELECT A1.S, A2.T
  FROM A A1, A A2
  WHERE A1.T = A2.S)
SELECT *
FROM P
```

P	S	T
	1	2
	2	1
	2	3
	1	4
	3	4
	4	5
	1	1
	2	2
	1	3
	2	4
	1	5
	3	5
	4	5

# Challenge



- Write a query that finds the shortest path to each node from a starting node
- Create an interesting minimum database instance
- Show interesting variations
- <https://www.postgresql.org/docs/14/queries-with.html>



# Topic 1: Data models and query languages

## Unit 4: Datalog

### Lecture 10

Wolfgang Gatterbauer

CS7240 Principles of scalable data management (sp24)

<https://northeastern-datalab.github.io/cs7240/sp24/>

2/16/2024

# Pre-class conversations

- Last class summary
- Project discussions (today: first project ideas)
  
- today:
  - More on Datalog
  - What happens if we add negation? Answer: it depends on how we do it.
    - Datalog with stratified negation
    - Datalog with more general negation (stable models), leads to ASP



# Outline: T1-4: Datalog & ASP

- Datalog
  - Datalog rules
  - Datalog vs. RA
  - Recursion
  - Recursion in SQL [moved here from T1-U1: SQL]
  - **Semantics**
  - Naive and Semi-naive evaluation (Incremental View Maintenance)
  - Chase Procedure (and Decompositions=Factorizations)
  - Datalog<sup>¬</sup>: Datalog with stratified negation
  - Datalog<sup>±</sup>
- Answer Set Programming (ASP)

# Semantics of Datalog Programs

- Let  $\mathbf{S}$  be a schema,  $\mathbf{D}$  a database over  $\mathbf{S}$ , and  $\mathbf{P}$  be a Datalog program over  $\mathbf{S}$  (i.e., all EDBs predicates belong to  $\mathbf{S}$ )
- The result of evaluating  $\mathbf{P}$  over  $\mathbf{D}$  is a database  $\mathbf{I}$  over the IDB schema of  $\mathbf{P}$
- We give 2 definitions:
  1. Fixpoint semantics *operative (think procedural)*
  2. model-theoretic *declarative*

# 1. Fixpoint semantics via the chase (operative definition)

Pseudo-code of a **chase** procedure:

Chase(**P**,**D**)

```
I := empty
repeat {
  if(D∪I satisfies all the rules of P), then return I
  Find a rule head(x) :- body(x,y) and constants a,b
    s.t. that D∪I contains body(a,b) but not head(a)
  I := I ∪ {head(a)}
}
```

*("D∪I" is here just a set of tuples)*

Notice since rules are monotone, **I** is also monotonically increasing

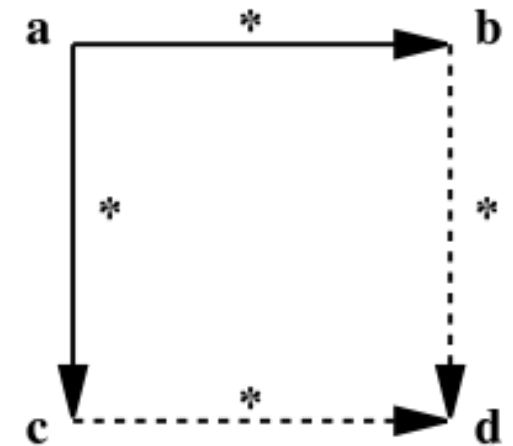
# Nondeterminism

- Note: the chase is underspecified (i.e., not fully defined)
  - There can be many ways of choosing the next violation to handle
  - And each choice can lead to new violations, and so on
- We can view the choice of a new violation as **nondeterministic**

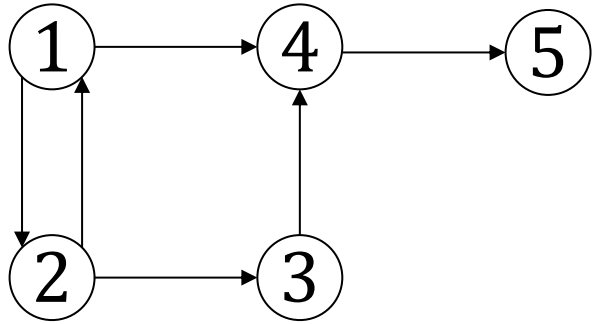
**Church-Rosser property** (defined for term reduction):

*If term **a** can be reduced to both **b** and **c**, then there must be a further term **d** (possibly equal to either **b** or **c**) to which both **b** and **c** can be reduced.*

In computer science, **confluence** is a property of **rewriting** systems, describing which terms in such a system can be rewritten in more than one way, to yield the same result.



# Example



```
Path(x,y) :- Arc(x,y).  
Path(x,y) :- Arc(x,z), Path(z,y).  
Reachable(y) :- Path('1',y).
```

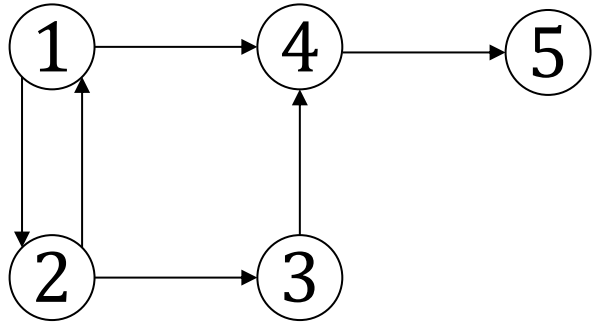
Arc

1	2
2	1
2	3
1	4
3	4
4	5

Path

Reachable

# Example



```
Path(x,y) :- Arc(x,y).  
Path(x,y) :- Arc(x,z), Path(z,y).  
Reachable(y) :- Path('1',y).
```



Arc

1	2
2	1
2	3
1	4
3	4
4	5

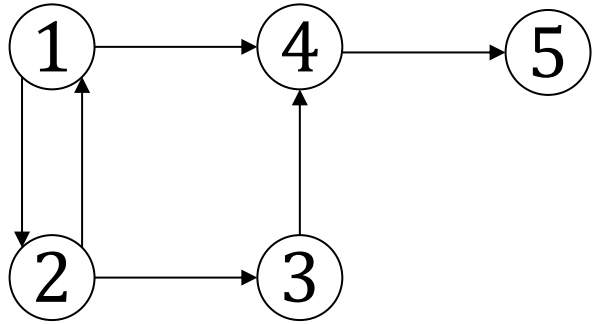


Path

1	2
---	---

Reachable

# Example



```
Path(x,y) :- Arc(x,y).  
Path(x,y) :- Arc(x,z), Path(z,y).  
Reachable(y) :- Path('1',y).
```



Arc

1	2
2	1
2	3
1	4
3	4
4	5

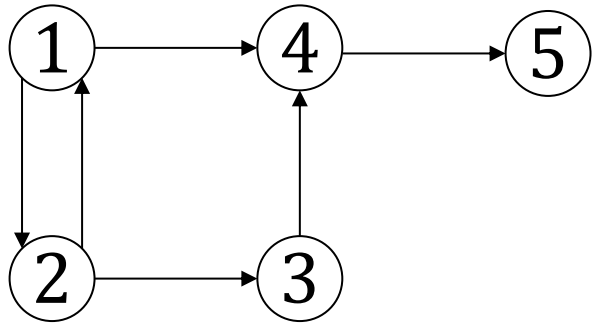


Path

1	2
2	1


Reachable

# Example




```
Path(x,y) :- Arc(x,y).  
Path(x,y) :- Arc(x,z), Path(z,y).  
Reachable(y) :- Path('1',y).
```

Arc



1	2
2	1
2	3
1	4
3	4
4	5

Path

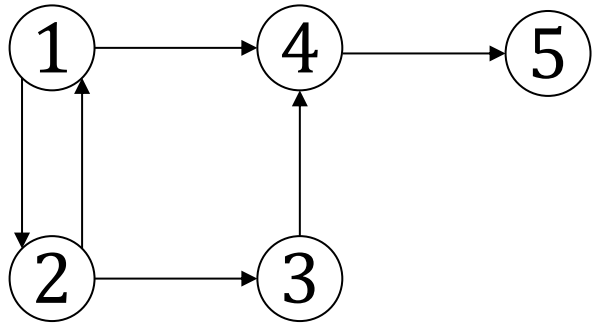


1	2
2	1
2	3

Reachable



# Example



```
Path(x,y) :- Arc(x,y).  
Path(x,y) :- Arc(x,z), Path(z,y).  
Reachable(y) :- Path('1',y).
```

$\{x, z\} \rightarrow \{1, 2\}$

$x \rightarrow 1$

$z \rightarrow 2$

$y \rightarrow 1$



Arc

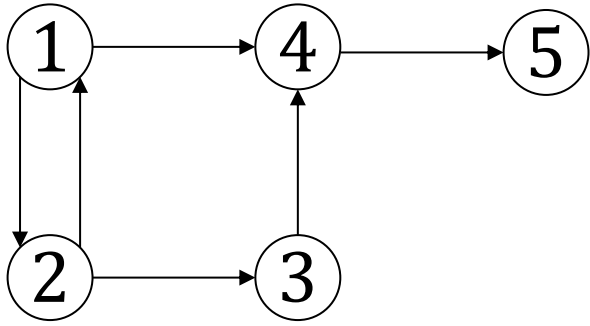
1	2
2	1
2	3
1	4
3	4
4	5

Path

1	2
2	1
2	3
1	1

Reachable

# Example



```
Path(x,y) :- Arc(x,y).  
Path(x,y) :- Arc(x,z), Path(z,y).  
Reachable(y) :- Path('1',y).
```

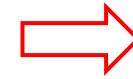
Arc

1	2
2	1
2	3
1	4
3	4
4	5



Path

1	2
2	1
2	3



Reachable

2
---

## 2. Minimal model semantics (model-theoretic definition)

- We say that **IDB I** is a **model** of Datalog program **P** (w.r.t. **EDB D**) if **DU I** satisfies all the rules of **P**

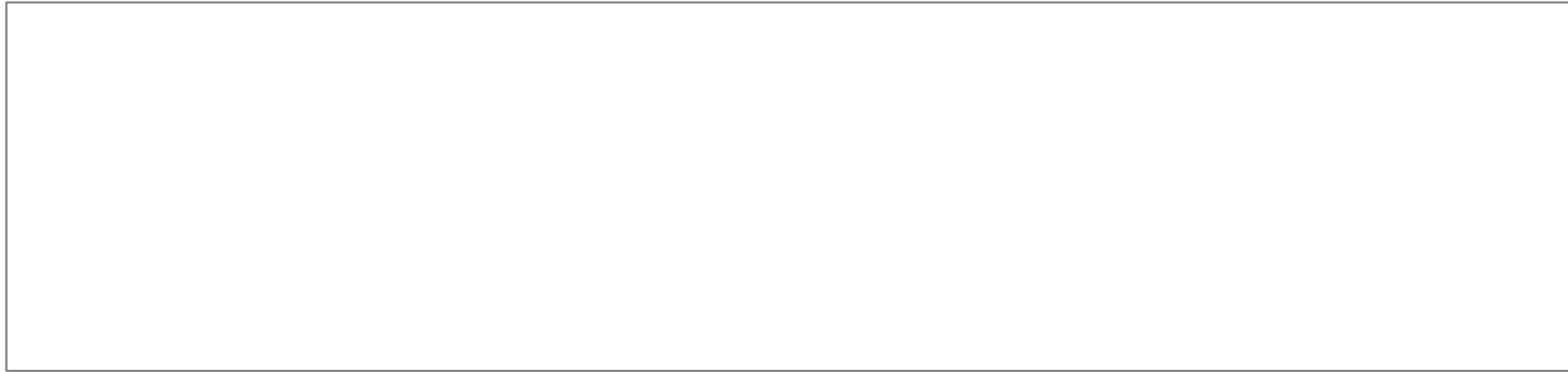
$$\forall \text{var} [\text{Head}(\text{IDB}) \leftarrow \text{Body}(\text{EDB}, \text{IDB})]$$

- We say that **I** is a **minimal model** if **I** does not properly contain any other model
- Theorem: there exists one minimal model

# Illustration with our example

```
Path(x,y) :- Arc(x,y).  
Path(x,y) :- Arc(x,z), Path(z,y).
```

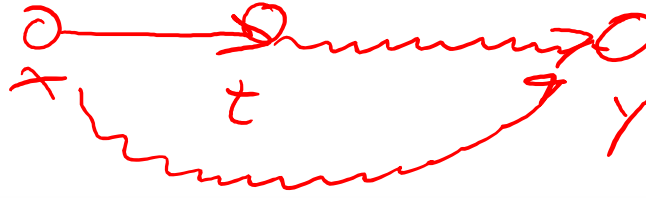
## 1. Fixpoint semantics



## 2. Minimal model semantics: smallest Path s.t.

# Illustration with our example

## 1. Fixpoint semantics



$\text{Path}(x,y) :- \text{Arc}(x,y).$

$\text{Path}(x,y) :- \text{Arc}(x,z), \text{Path}(z,y).$

$\text{Path}^{(0)} := \emptyset, t:=0$

Repeat {

inc(t)

$\text{Path}^{(t)}(x,y) := \text{Arc}(x,y) \cup \Pi_{xy}(\text{Arc}(x,z) \bowtie \text{Path}^{(t-1)}(z,y))$

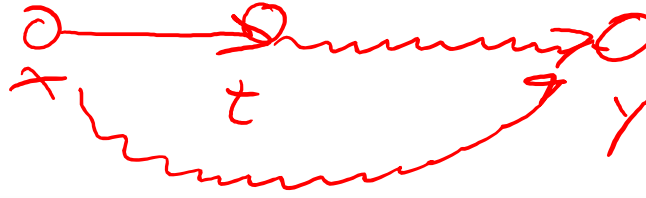
until  $\text{Path}^{(t)} = \text{Path}^{(t-1)}$ }

immediate consequence operator " $T_P$ ":  
 $P^{(t)} = T_P(P^{(t-1)})$

## 2. Minimal model semantics: smallest relation $\text{Path}$ s.t.

# Illustration with our example

## 1. Fixpoint semantics



$\text{Path}(x,y) :- \text{Arc}(x,y).$

$\text{Path}(x,y) :- \text{Arc}(x,z), \text{Path}(z,y).$

$\text{Path}^{(0)} := \emptyset, t:=0$

Repeat {

inc(t)

$\text{Path}^{(t)}(x,y) := \text{Arc}(x,y) \cup \Pi_{xy}(\text{Arc}(x,z) \bowtie \text{Path}^{(t-1)}(z,y))$

until  $\text{Path}^{(t)} = \text{Path}^{(t-1)}$ }

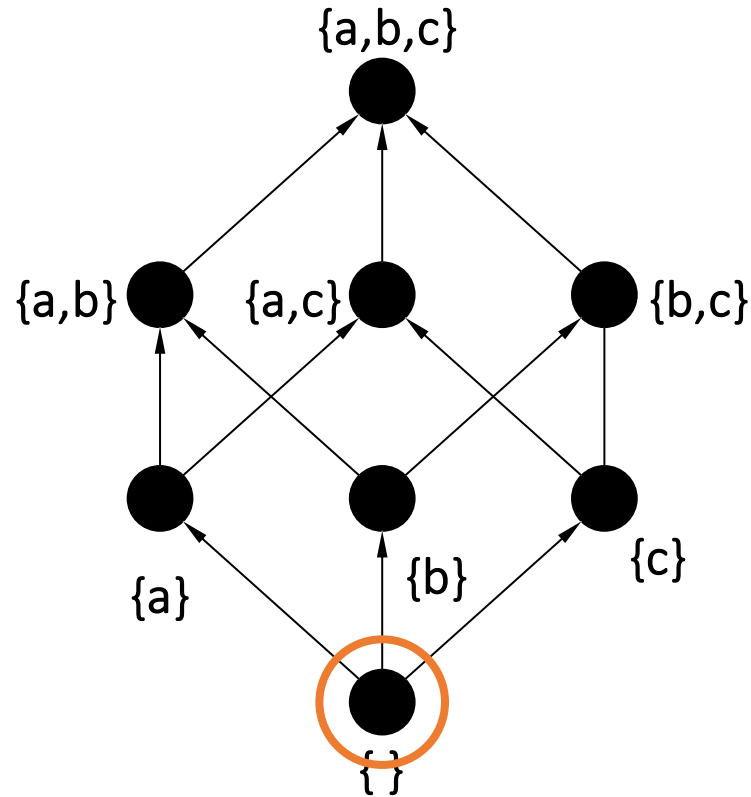
immediate consequence operator " $T_P$ ":  
 $P^{(t)} = T_P(P^{(t-1)})$

## 2. Minimal model semantics: smallest relation $\text{Path}$ s.t.

$\forall x,y [\text{Arc}(x,y) \Rightarrow \text{Path}(x,y)] \wedge$

$\forall x,y,z [\text{Arc}(x,z) \wedge \text{Path}(z,y) \Rightarrow \text{Path}(x,y)]$

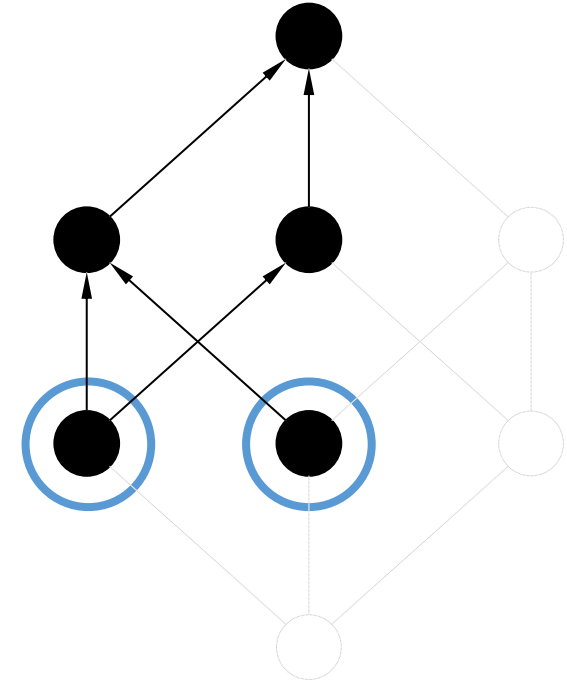
# Minimum (least) element vs minimal elements in partial orders



1 least element

An element  $a$  in  $S$  is called a least (or minimum) element of  $S$  if  $a \leq x$  for all  $x$  in  $S$ .

Consider a partial order  $(S, \leq)$ .  
The set of elements from  $S$  are represented by black circles, arrows show partial order between elements.



2 minimal elements

An element  $a$  in  $S$  is called a minimal element of  $S$  if there is no element  $b$  in  $A$  such that  $b \leq a$ .

# Datalog Semantics & equivalence b/w the definitions

(nondeterministic)

1. The **fixpoint** semantics tells us **how** to compute a Datalog query
2. The **minimal model** semantics is more declarative: only says **what** we get

THEOREM: For all Datalog programs  $P$  and DBs  $D$  there is a **unique minimal model**, and **every chase** returns this model

Proof sketch:

1. If  $I_1$  and  $I_2$  are models, so are  $I_1 \cap I_2$
2. Every chase returns a model (finite)
3. Pick a chase and prove by induction: If  $I'$  is a model, then every intermediate  $I$  is contained in  $I'$  (monotonicity)

The minimal model is the *result*, denoted  $P(D)$



# Details

**Lemma 8.8** *Model intersection property.* Let  $P$  be a positive program, and  $M_1$  and  $M_2$  be two models for  $P$ . Then,  $M_1 \cap M_2$  is also a model for  $P$ .

*Proof: next page*

**Definition 8.9** *Minimal model and least model.* A model  $M$  for a program  $P$  is said to be a minimal model for  $P$  if there exists no other model  $M'$  of  $P$  where  $M' \subset M$ . A model  $M$  for a program  $P$  is said to be its least model if  $M' \supseteq M$  for every model  $M'$  of  $P$ .

Then, as a result of the last lemma we have the following:

**Theorem 8.10** *Every positive program has a least model.*

*Herbrand base* →

**Proof.** Since  $B_P$  is a model,  $P$  has models, and therefore minimal models. Thus, either  $P$  has several minimal models, or it has a unique minimal model, the least model of  $P$ . By contradiction, say that  $M_1$  and  $M_2$  are two distinct minimal models, then  $M_1 \cap M_2 \subset M_1$  is also a model. This contradicts the assumption that  $M_1$  is a minimal model. Therefore, there cannot be two distinct minimal models for  $P$ .  $\square$

**Definition 8.11** *Let  $P$  be a positive program. The least model of  $P$ , denoted  $M_P$ , defines the meaning of  $P$ .*

# Details

**Theorem 2.14 (Model intersection property)** Let  $M$  be a non-empty family of Herbrand models of a definite program  $P$ . Then the intersection  $\mathfrak{S} := \bigcap M$  is a Herbrand model of  $P$ . ■

*Proof:* Assume that  $\mathfrak{S}$  is not a model of  $P$ . Then there exists a ground instance of a clause of  $P$ :

$$A_0 \leftarrow A_1, \dots, A_m \quad (m \geq 0)$$

which is not true in  $\mathfrak{S}$ . This implies that  $\mathfrak{S}$  contains  $A_1, \dots, A_m$  but not  $A_0$ . Then  $A_1, \dots, A_m$  are elements of every interpretation of the family  $M$ . Moreover there must be at least one model  $\mathfrak{S}_i \in M$  such that  $A_0 \notin \mathfrak{S}_i$ . Thus  $A_0 \leftarrow A_1, \dots, A_m$  is not true in this  $\mathfrak{S}_i$ . Hence  $\mathfrak{S}_i$  is not a model of the program, which contradicts the assumption. This concludes the proof that the intersection of any set of Herbrand models of a program is also a Herbrand model. ■

# Semantics Summary

## 1. Fixpoint-theoretic

- Most "operational": Based on the immediate consequence operator for a Datalog program.

## 2. Model-theoretic

- Most "declarative": Based on model-theoretic semantics of first order logic. View rules as logical constraints.

# Semantics Summary

## 1. Fixpoint-theoretic

- Most "**operational**": Based on the immediate consequence operator for a Datalog program.
- Least fixpoint is reached after finitely many iterations of the **immediate consequence operator**.
- Basis for practical, **bottom-up** evaluation strategy.

## 2. Model-theoretic

- Most "**declarative**": Based on model-theoretic semantics of first order logic. View rules as logical constraints.
- Given input DB  $D$  and Datalog program  $P$ , find the smallest possible DB instance  $D'$  that extends  $D$  and satisfies all constraints in  $P$ .

# Monotonicity

- Can Datalog express **difference**?
  - Answer: **No!**
- Proof: Datalog is **monotone**, difference is not
  - That is, if **D** and **D'** are such that every relation of **D** is contained in the corresponding relation of **D'** ( $D \subseteq D'$ ), then  $P(D) \subseteq P(D')$

$$D \subseteq D' \Rightarrow P(D) \subseteq P(D')$$

# Outline: T1-4: Datalog & ASP

- Datalog
  - Datalog rules
  - Datalog vs. RA
  - Recursion
  - Recursion in SQL [moved here from T1-U1: SQL]
  - Semantics
  - Naive and Semi-naive evaluation (Incremental View Maintenance)
  - Chase Procedure (and Decompositions=Factorizations)
  - Datalog<sup>¬</sup>: Datalog with stratified negation
  - Datalog<sup>±</sup>
- Answer Set Programming (ASP)

# Datalog Evaluation Algorithms

- Goal: preserve the efficiency of query optimizers, yet extend them to recursion
- Two general strategies we will discuss:
  - 1. Naive Datalog evaluation
  - 2. Semi-naive Datalog evaluation
- More powerful optimizations:
  - 3. Magic sets (which we will not cover, or may revisit later under "Topic 3: efficient query evaluation & factorized representations")

# 1. Naive Datalog evaluation

$$P^{(t)}(x,y) :- A(x,y).$$
$$P^{(t)}(x,y) :- A(x,z), P^{(t-1)}(z,y).$$
$$P^{(0)} := \emptyset, t := 0$$

Repeat {

  inc(t)

$$P^{(t)}(x,y) := A(x,y) \cup \Pi_{-z}(A(x,z) \bowtie P^{(t-1)}(z,y))$$

until  $P^{(t)} = P^{(t-1)}$ }

*immediate consequence operator "T<sub>P</sub>":*  
 $P^{(t)} = T_P(P^{(t-1)})$

- Problem: The same facts are discovered over and over again
- Goal: The **semi-naive** algorithm tries to reduce the number of facts discovered multiple times



# Example



$$P^{(t)}(x,y) :- A(x,y).$$
$$P^{(t)}(x,y) :- A(x,z), P^{(t-1)}(z,y).$$



A

1	2
2	3
3	4
4	5

$P^{(1)}$

?

$P^{(2)}$

?

$P^{(3)}$

?

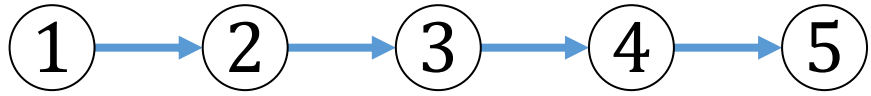
$P^{(4)}$

?

# Example



$$P^{(t)}(x,y) :- A(x,y).$$
$$P^{(t)}(x,y) :- A(x,z), P^{(t-1)}(z,y).$$



paths of LEN  $\leq 1$

A

1	2
2	3
3	4
4	5

P(1)

1	2
2	3
3	4
4	5

} L=1

# Example



$$P^{(t)}(x,y) :- A(x,y).$$
$$P^{(t)}(x,y) :- A(x,z), P^{(t-1)}(z,y).$$



paths of LEN  $\leq 1$

A

1	2
2	3
3	4
4	5

P(1)

1	2
2	3
3	4
4	5

L=1

paths of LEN  $\leq 2$

P(2)

1	2
2	3
3	4
4	5
1	3
2	4
3	5

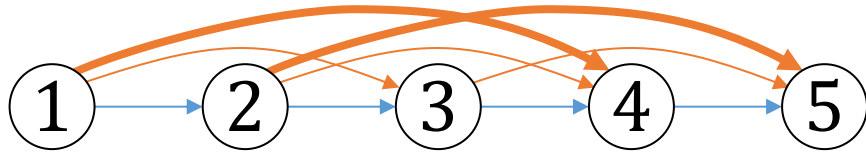
L=1

L=2

# Example



$$P^{(t)}(x,y) :- A(x,y).$$
$$P^{(t)}(x,y) :- A(x,z), P^{(t-1)}(z,y).$$



**A**

1	2
2	3
3	4
4	5

paths of LEN  $\leq 1$

**P(1)**

1	2
2	3
3	4
4	5

$L=1$

paths of LEN  $\leq 2$

**P(2)**

1	2
2	3
3	4
4	5
1	3
2	4
3	5

$L=1$

$L=2$

paths of LEN  $\leq 3$

**P(3)**

1	2
2	3
3	4
4	5
1	3
2	4
3	5
1	4
2	5

$L=1$

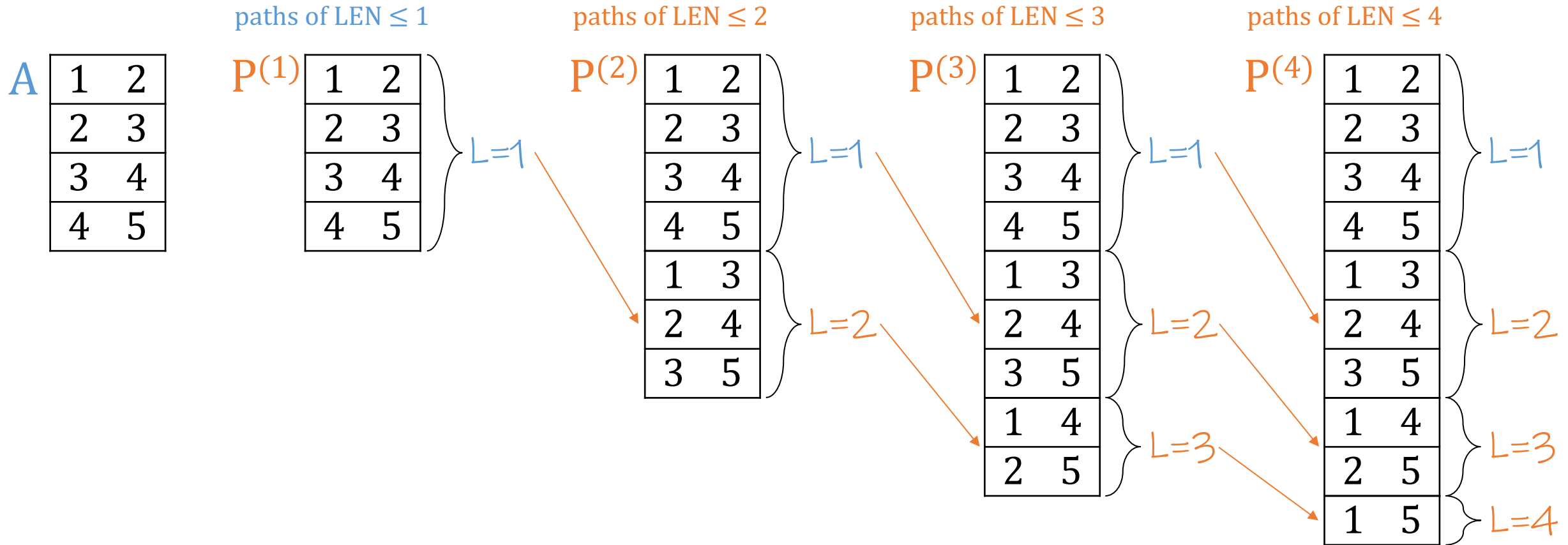
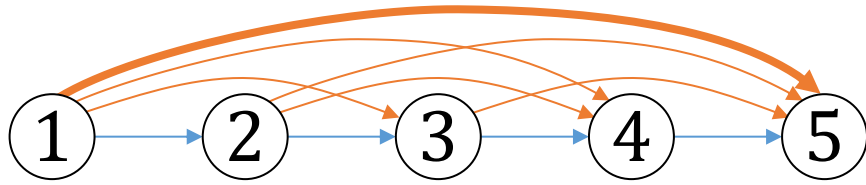
$L=2$

$L=3$

# Example



$$P^{(t)}(x,y) :- A(x,y).$$
$$P^{(t)}(x,y) :- A(x,z), P^{(t-1)}(z,y).$$



Side-topic:  
Incremental View  
Maintenance

# Background: Incremental View Maintenance

Let  $Q$  be a "view" computed by a single Datalog rule without recursion, thus a simple conjunctive query

$$Q \text{ :- } R_1, R_2, \dots$$

```
SELECT ...  
FROM R1  
NATURAL JOIN R2  
NATURAL JOIN R3 ...
```

Add tuples to some of the relations:

$$R_1 \leftarrow R_1 \cup \Delta R_1, R_2 \leftarrow R_2 \cup \Delta R_2, \dots$$

Then the view  $Q$  will also increase in size:

$$Q \leftarrow Q \cup \Delta Q$$

**Incremental view maintenance problem:**

Compute  $\Delta Q$  without having to recompute  $Q$  from scratch

# Background: Incremental View Maintenance



Example 1:

$Q(x,y) :- R(x,z), S(z,y)$

$\Delta Q(x,y) :-$  ?

If  $R \leftarrow R \cup \Delta R$ ,  
then what is  $\Delta Q$  ?

Q

1	1
1	2

$\Delta Q$  ?

	R		S
	1	0	0 1
$\Delta R$	2	0	0 2
			9 1



# Background: Incremental View Maintenance



Example 1:

$Q(x,y) :- R(x,z), S(z,y)$

$\Delta Q(x,y) :-$  ?

If  $R \leftarrow R \cup \Delta R$ ,  
then what is  $\Delta Q$  ?

	Q	
	1	1
	1	2
$\Delta Q$	2	1
	2	2

	R		S	
	1	0	0	1
$\Delta R$	2	0	0	2
			9	1

# Background: Incremental View Maintenance



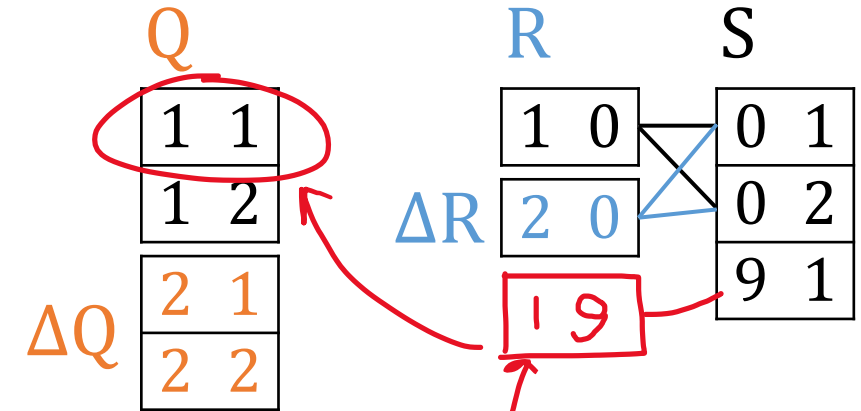
Example 1:

$$Q(x,y) :- R(x,z), S(z,y)$$

$$\Delta Q(x,y) :- \Delta R(x,z), S(z,y)$$

If  $R \leftarrow R \cup \Delta R$ ,  
then what is  $\Delta Q$  ?

(to be more precise: we still need to subtract  $Q$ :  
 $\Delta Q = \Delta R \bowtie S - Q$ , e.g. for  $\Delta R = (1,1)$ . More on that later)



Relational Algebra:

$$Q = R \bowtie S$$

$$Q \cup \Delta Q = (R \cup \Delta R) \bowtie S$$

?

# Background: Incremental View Maintenance



Example 1:

$$Q(x,y) :- R(x,z), S(z,y)$$

$$\Delta Q(x,y) :- \Delta R(x,z), S(z,y)$$

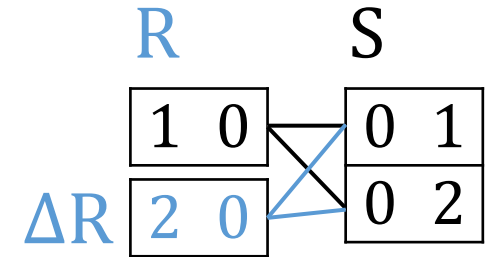
If  $R \leftarrow R \cup \Delta R$ ,  
then what is  $\Delta Q$  ?

Q

1	1
1	2
2	1
2	2

$\Delta Q$

2	1
2	2



(to be more precise: we still need to subtract Q:  
 $\Delta Q = \Delta R \bowtie S - Q$ , e.g. for  $\Delta R = (1,1)$ . More on that later)

Relational Algebra:

$$Q = R \bowtie S$$

$$Q \cup \Delta Q = (R \cup \Delta R) \bowtie S$$

?

?

# Background: Incremental View Maintenance



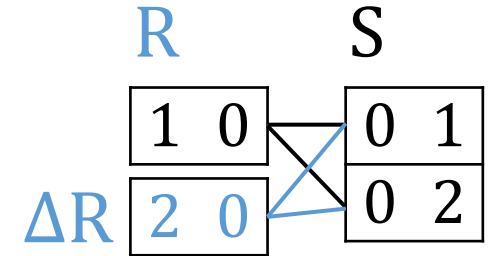
Example 1:

$$Q(x,y) :- R(x,z), S(z,y)$$

$$\Delta Q(x,y) :- \Delta R(x,z), S(z,y)$$

If  $R \leftarrow R \cup \Delta R$ ,  
then what is  $\Delta Q$  ?

Q	
1	1
1	2
2	1
2	2



(to be more precise: we still need to subtract Q:  
 $\Delta Q = \Delta R \bowtie S - Q$ , e.g. for  $\Delta R = (1,1)$ . More on that later)

Multiplication  $\otimes$  distributes  
over Addition  $\oplus$

$$z = x \cdot y$$

$$(a+b)c = ac+bc$$

$$z + \Delta z = (x + \Delta x) \cdot y$$

$$z + \Delta z = (x \cdot y) + (\Delta x \cdot y)$$

$$z + \Delta z = z + (\Delta x \cdot y)$$

$$\Delta z = \Delta x \cdot y$$

Relational Algebra:

$$Q = R \bowtie S$$

$$Q \cup \Delta Q = (R \cup \Delta R) \bowtie S$$

$$Q \cup \Delta Q = (R \bowtie S) \cup (\Delta R \bowtie S)$$

$$Q \cup \Delta Q = Q \cup (\Delta R \bowtie S)$$

$$\Delta Q = \Delta R \bowtie S$$

Join  $\bowtie$  distributes  
over union  $\cup$

$$(a \cup b) \bowtie c = a \bowtie c \cup b \bowtie c$$

# Background: Incremental View Maintenance



Example 2:

$$Q(x,y) :- R(x,z), S(z,y)$$

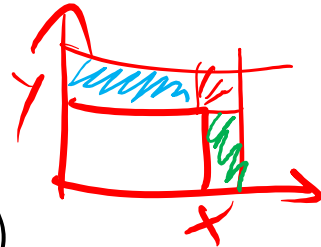
If  $R \leftarrow R \cup \Delta R$ , and  $S \leftarrow S \cup \Delta S$ ,  
then what is  $\Delta Q$  ?

*(as before, we ignore the subtraction of Q here)*

?

$$z = x \cdot y$$

$$z + \Delta z = (x + \Delta x) \cdot (y + \Delta y)$$



?

# Background: Incremental View Maintenance



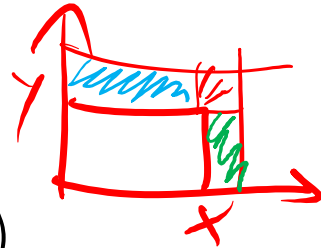
Example 2:

$$Q(x,y) :- R(x,z), S(z,y)$$

If  $R \leftarrow R \cup \Delta R$ , and  $S \leftarrow S \cup \Delta S$ ,  
then what is  $\Delta Q$  ?

*(as before, we ignore the subtraction of Q here)*

?



$$z = x \cdot y$$

$$z + \Delta z = (x + \Delta x) \cdot (y + \Delta y)$$

$$z + \Delta z = (x \cdot y) + (\Delta x \cdot y) + (x \cdot \Delta y) + (\Delta x \cdot \Delta y)$$

$$z + \Delta z = z + (\Delta x \cdot y) + (x \cdot \Delta y) + (\Delta x \cdot \Delta y)$$

$$\Delta z = (\Delta x \cdot y) + (x \cdot \Delta y) + (\Delta x \cdot \Delta y)$$

*Relational Algebra:*

$$Q = R \bowtie S$$

$$Q \cup \Delta Q = (R \cup \Delta R) \bowtie (S \cup \Delta S)$$

$$Q \cup \Delta Q = (R \bowtie S) \cup (\Delta R \bowtie S) \cup (R \bowtie \Delta S) \cup (\Delta R \bowtie \Delta S)$$

$$Q \cup \Delta Q = Q \cup (\Delta R \bowtie S) \cup (R \bowtie \Delta S) \cup (\Delta R \bowtie \Delta S)$$

$$\Delta Q = (\Delta R \bowtie S) \cup (R \bowtie \Delta S) \cup (\Delta R \bowtie \Delta S)$$

# Background: Incremental View Maintenance



Example 2:

$$Q(x,y) :- R(x,z), S(z,y)$$

$$\Delta Q(x,y) :- \Delta R(x,z), S(z,y)$$

$$\Delta Q(x,y) :- R(x,z), \Delta S(z,y)$$

$$\Delta Q(x,y) :- \Delta R(x,z), \Delta S(z,y)$$

If  $R \leftarrow R \cup \Delta R$ , and  $S \leftarrow S \cup \Delta S$ ,  
then what is  $\Delta Q$  ?

*(as before, we ignore the subtraction of Q here)*

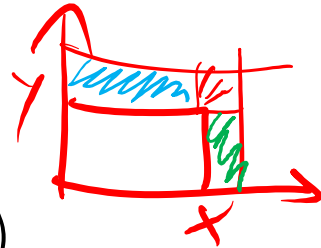
$$z = x \cdot y$$

$$z + \Delta z = (x + \Delta x) \cdot (y + \Delta y)$$

$$z + \Delta z = (x \cdot y) + (\Delta x \cdot y) + (x \cdot \Delta y) + (\Delta x \cdot \Delta y)$$

$$z + \Delta z = z + (\Delta x \cdot y) + (x \cdot \Delta y) + (\Delta x \cdot \Delta y)$$

$$\Delta z = (\Delta x \cdot y) + (x \cdot \Delta y) + (\Delta x \cdot \Delta y)$$



Relational Algebra:

$$Q = R \bowtie S$$

$$Q \cup \Delta Q = (R \cup \Delta R) \bowtie (S \cup \Delta S)$$

$$Q \cup \Delta Q = (R \bowtie S) \cup (\Delta R \bowtie S) \cup (R \bowtie \Delta S) \cup (\Delta R \bowtie \Delta S)$$

$$Q \cup \Delta Q = Q \cup (\Delta R \bowtie S) \cup (R \bowtie \Delta S) \cup (\Delta R \bowtie \Delta S)$$

$$\Delta Q = (\Delta R \bowtie S) \cup (R \bowtie \Delta S) \cup (\Delta R \bowtie \Delta S)$$

# Background: Incremental View Maintenance



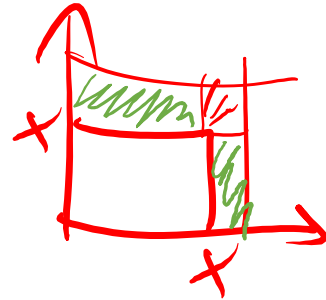
Example 3:

$$Q(x,y) :- R(x,z), R(z,y)$$

If  $R \leftarrow R \cup \Delta R$ ,  
then what is  $\Delta Q$  ?

*(as before, we ignore the subtraction of Q here)*

?



$$z = x^2$$

$$z + \Delta z = (x + \Delta x)^2$$

?



# Background: Incremental View Maintenance



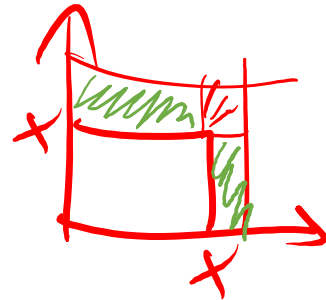
Example 3:

$$Q(x,y) :- R(x,z), R(z,y)$$

If  $R \leftarrow R \cup \Delta R$ ,  
then what is  $\Delta Q$  ?

*(as before, we ignore the subtraction of Q here)*

?



Relational Algebra:

$$Q = R \bowtie_c R$$

$$QU\Delta Q = (RU\Delta R) \bowtie_c (RU\Delta R)$$

?

$$z = x^2$$

$$z + \Delta z = (x + \Delta x)^2$$

$$z + \Delta z = x^2 + (\Delta x \cdot x) + (x \cdot \Delta x) + \Delta x^2$$

$$z + \Delta z = z + 2x\Delta x + \Delta x^2$$

$$\Delta z = 2x\Delta x + \Delta x^2$$

# Background: Incremental View Maintenance



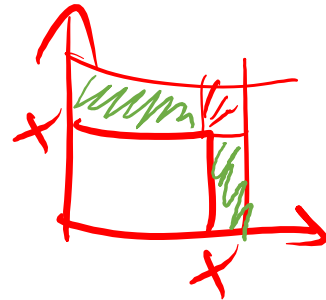
Example 3:

$$Q(x,y) :- R(x,z), R(z,y)$$

If  $R \leftarrow R \cup \Delta R$ ,  
then what is  $\Delta Q$  ?

*(as before, we ignore the subtraction of Q here)*

?



$$z = x^2$$

$$z + \Delta z = (x + \Delta x)^2$$

$$z + \Delta z = x^2 + (\Delta x \cdot x) + (x \cdot \Delta x) + \Delta x^2$$

$$z + \Delta z = z + 2x\Delta x + \Delta x^2$$

$$\Delta z = 2x\Delta x + \Delta x^2$$

Relational Algebra:

$$Q = R \bowtie_c R$$

$$Q \cup \Delta Q = (R \cup \Delta R) \bowtie_c (R \cup \Delta R)$$

$$Q \cup \Delta Q = (R \bowtie_c R) \cup (\Delta R \bowtie_c R) \cup (R \bowtie_c \Delta R) \cup (\Delta R \bowtie_c \Delta R)$$

$$Q \cup \Delta Q = Q \cup (\Delta R \bowtie_c R) \cup (R \bowtie_c \Delta R) \cup (\Delta R \bowtie_c \Delta R)$$

$$\Delta Q = (\Delta R \bowtie_c R) \cup (R \bowtie_c \Delta R) \cup (\Delta R \bowtie_c \Delta R)$$

# Background: Incremental View Maintenance



Example 3:

$$Q(x,y) :- R(x,z), R(z,y)$$

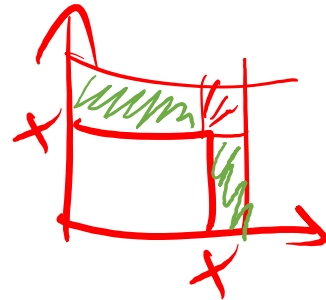
$$\Delta Q(x,y) :- \Delta R(x,z), R(z,y)$$

$$\Delta Q(x,y) :- R(x,z), \Delta R(z,y)$$

$$\Delta Q(x,y) :- \Delta R(x,z), \Delta R(z,y)$$

If  $R \leftarrow R \cup \Delta R$ ,  
then what is  $\Delta Q$  ?

*(as before, we ignore the subtraction of Q here)*



$$z = x^2$$

$$z + \Delta z = (x + \Delta x)^2$$

$$z + \Delta z = x^2 + (\Delta x \cdot x) + (x \cdot \Delta x) + \Delta x^2$$

$$z + \Delta z = z + 2x\Delta x + \Delta x^2$$

$$\Delta z = 2x\Delta x + \Delta x^2$$

Relational Algebra:

$$Q = R \bowtie_c R$$

$$Q \cup \Delta Q = (R \cup \Delta R) \bowtie_c (R \cup \Delta R)$$

$$Q \cup \Delta Q = (R \bowtie_c R) \cup (\Delta R \bowtie_c R) \cup (R \bowtie_c \Delta R) \cup (\Delta R \bowtie_c \Delta R)$$

$$Q \cup \Delta Q = Q \cup (\Delta R \bowtie_c R) \cup (R \bowtie_c \Delta R) \cup (\Delta R \bowtie_c \Delta R)$$

$$\Delta Q = (\Delta R \bowtie_c R) \cup (R \bowtie_c \Delta R) \cup (\Delta R \bowtie_c \Delta R)$$

# Back to Datalog evaluation

## 2. Semi-Naive Datalog evaluation

$$P(x,y) :- A(x,y).$$
$$P(x,y) :- A(x,z), P(z,y).$$

Recall the naive evaluation:

$P^{(0)} := \emptyset, t := 0$   
Repeat {  
  inc(t)  
   $P^{(t)}(x,y) := A(x,y) \cup \pi_{xy}(A(x,z) \bowtie P^{(t-1)}(z,y))$   
until  $P^{(t)} = P^{(t-1)}$ }

*immediate consequence operator " $T_P$ ":*  
 $P^{(t)} = T_P(P^{(t-1)})$

Semi-naive evaluation:

$P := A(x,z); \Delta P^{(0)} := A(x,z)$   
Repeat {  
  inc(t)  
   $\Delta P^{(t)}(x,y) := \pi_{xy}(A(x,z) \bowtie \Delta P^{(t-1)}(z,y)) - P(x,y)$   
   $P := P \cup \Delta P^{(t)}$   
until  $\Delta P^{(t)} = \emptyset$ }

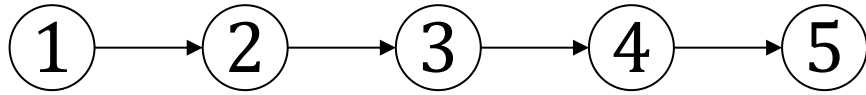
*"incrementalized" immediate consequence operator:*  
 $\Delta P^{(t)} = T_P(\Delta P^{(t-1)}) - P^{(t-1)}$

The idea of semi-naive evaluation predates following paper which is often cited as main reference:

Bancilhon, Ramakrishnan. An Amateur's Introduction to Recursive Query Processing Strategies. SIGMOD 1986. <https://doi.org/10.1145/16894.16859> (the 1988 revision is better)

Wolfgang Gatterbauer. Principles of scalable data management: <https://northeastern-datalab.github.io/cs7240/>

# Example



paths of LEN  $\leq 1$

A

1	2
2	3
3	4
4	5

P

?

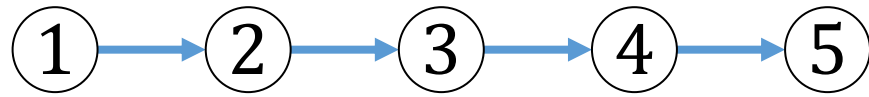
$$P^{(t)}(x,y) :- A(x,y).$$

$$P^{(t)}(x,y) :- A(x,z), P^{(t-1)}(z,y).$$

$$\Delta P^{(t)}(x,y) :- A(x,z), \Delta P^{(t-1)}(z,y), \text{ not } P(x,y).$$

$$P(x,y) :- \Delta P^{(t)}(x,y).$$

# Example



$$P^{(t)}(x,y) :- A(x,y).$$

$$P^{(t)}(x,y) :- A(x,z), P^{(t-1)}(z,y).$$

$$\Delta P^{(t)}(x,y) :- A(x,z), \Delta P^{(t-1)}(z,y), \text{ not } P(x,y).$$

$$P(x,y) :- \Delta P^{(t)}(x,y).$$

paths of LEN  $\leq 1$

A

1	2
2	3
3	4
4	5

P

$\Delta P^{(1)}$

1	2
2	3
3	4
4	5

paths of LEN  $\leq 2$

P

?

# Example



$$P^{(t)}(x,y) :- A(x,y).$$
$$P^{(t)}(x,y) :- A(x,z), P^{(t-1)}(z,y).$$

$$\Delta P^{(t)}(x,y) :- A(x,z), \Delta P^{(t-1)}(z,y), \text{ not } P(x,y).$$
$$P(x,y) :- \Delta P^{(t)}(x,y).$$



paths of LEN  $\leq 1$

A

1	2
2	3
3	4
4	5

P

$\Delta P^{(1)}$

1	2
2	3
3	4
4	5

paths of LEN  $\leq 2$

P

$\Delta P^{(2)}$

1	2
2	3
3	4
4	5
1	3
2	4
3	5

paths of LEN  $\leq 3$

P





# Example

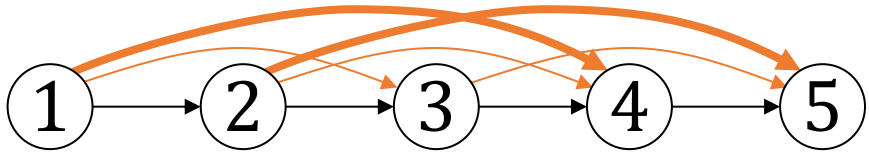


$$P^{(t)}(x,y) :- A(x,y).$$

$$P^{(t)}(x,y) :- A(x,z), P^{(t-1)}(z,y).$$

$$\Delta P^{(t)}(x,y) :- A(x,z), \Delta P^{(t-1)}(z,y), \text{ not } P(x,y).$$

$$P(x,y) :- \Delta P^{(t)}(x,y).$$



paths of LEN  $\leq 1$

A

1	2
2	3
3	4
4	5

P

1	2
2	3
3	4
4	5

$\Delta P^{(1)}$

paths of LEN  $\leq 2$

P

1	2
2	3
3	4
4	5
1	3
2	4
3	5

$\Delta P^{(2)}$

paths of LEN  $\leq 3$

P

1	2
2	3
3	4
4	5
1	3
2	4
3	5
1	4
2	5

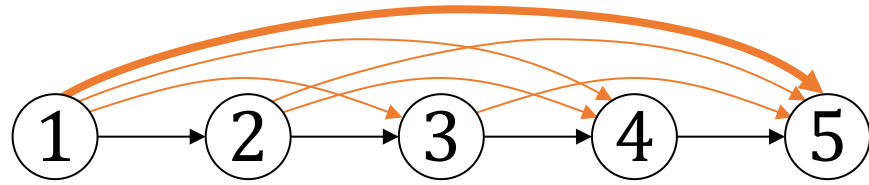
$\Delta P^{(3)}$

paths of LEN  $\leq 4$

P



# Example



$$P^{(t)}(x,y) :- A(x,y).$$

$$P^{(t)}(x,y) :- A(x,z), P^{(t-1)}(z,y).$$

$$\Delta P^{(t)}(x,y) :- A(x,z), \Delta P^{(t-1)}(z,y), \text{ not } P(x,y).$$

$$P(x,y) :- \Delta P^{(t)}(x,y).$$

paths of LEN  $\leq 1$

**A**

1	2
2	3
3	4
4	5

**P**

1	2
2	3
3	4
4	5

$\Delta P^{(1)}$

paths of LEN  $\leq 2$

**P**

1	2
2	3
3	4
4	5
1	3
2	4
3	5

$\Delta P^{(2)}$

paths of LEN  $\leq 3$

**P**

1	2
2	3
3	4
4	5
1	3
2	4
3	5
1	4
2	5

$\Delta P^{(3)}$

paths of LEN  $\leq 4$

**P**

1	2
2	3
3	4
4	5
1	3
2	4
3	5
1	4
2	5
1	5

$\Delta P^{(4)}$

# Outline: T1-4: Datalog & ASP

- Datalog
  - Datalog rules
  - Datalog vs. RA
  - Recursion
  - Recursion in SQL [moved here from T1-U1: SQL]
  - Semantics
  - Naive and Semi-naive evaluation (Incremental View Maintenance)
  - Chase Procedure (and Decompositions=Factorizations)
  - Datalog<sup>¬</sup>: Datalog with stratified negation
  - Datalog<sup>±</sup>
- Answer Set Programming (ASP)

# The Chase

- A simple fixed-point algorithm to test implication of data dependencies.
- In its simplest incarnation it tests whether the projection of a relation schema constrained by some **functional dependencies** onto a given decomposition can be recovered by rejoining the projections
  - i.e. whether a particular **decomposition is "lossless"**
  - Problem is motivated by from **schema normalization (decomposition of relations)**
- The interesting aspect is that this algorithm is **confluent**: we can apply rules in any order and will still arrive at **a unique fixed-point**

The term “chase” was coined in "Maier, Mendelzon, Sagiv: Testing implications of data dependencies, TODS 1979. <https://doi.org/10.1145/320107.320115>", where it was used to test the logical implication of dependencies. "Aho, Sagiv, Ullman: Equivalences among relational expressions, SICOMP 1979. <https://doi.org/10.1137/0208017>" introduced tableaux queries with an algorithm that coincides with the chase with functional dependencies. "Aho, Beeri, Ullman: The theory of joins in relational databases, TODS 1979. <https://doi.org/10.1145/320083.320091>" extends this algorithm to include also multivalued dependencies, for the purpose of checking whether the join of several relations is lossless. See also "Deutsch, Nash: Chase. Encyclopedia of Database Systems. 2009. [https://doi.org/10.1007/978-0-387-39940-9\\_1250](https://doi.org/10.1007/978-0-387-39940-9_1250)" for more details

Wolfgang Gatterbauer. Principles of scalable data management: <https://northeastern-datalab.github.io/cs7240/>

# Notation



- We usually denote relations by a name and an ordered set of attributes
  - $R_1(A, B, C, D), R_2(D, E, F)$
- We can also ignore relation names and the order among attributes. A relation is then just a set of attributes (unordered or named perspective)
  - $S_1 = \{A, B, C, D\}, S_2 = \{D, E, F\}$
- We can then view a relational schema  $R$  as a pair  $(S, \Sigma)$  where:
  - $S$  is a finite set of attributes
    - $S = \{A, B, C, D, E, F\},$
  - $\Sigma$  is a set of **functional dependencies (FDs)** over  $S$ 
    - $\Sigma = \{D \rightarrow E, D \rightarrow F\}$
- We want to know if we can always **decompose**  $S$  into  $S_1$  and  $S_2$ , s.t.:
  - $R_1 = \pi_{S_1}(R), R_2 = \pi_{S_2}(R), R = R_1 \bowtie R_2$

# A possibly familiar example

Assume we decompose  $R(A, B, C, D, E, F)$  with  $\Sigma = \{D \rightarrow E, D \rightarrow F\}$  into  $R_1(A, B, C, D)$  and  $R_2(D, E, F)$ . Is  $R = R_1 \bowtie R_2$  for every database over this schema?

$R$

A	B	C	D	E	F
a	e	i	l	o	s
b	f	i	l	o	s
c	g	j	m	p	t
d	h	k	n	q	t

$\Sigma:$

$D \rightarrow E$

$D \rightarrow F$

$\pi_{A,B,C,D}$

$\pi_{D,E,F}$

$R_1$

A	B	C	D
a	e	i	l
b	f	i	l
c	g	j	m
d	h	k	n

$R_2$

D	E	F
l	o	s
m	p	t
n	q5	t

# A possibly familiar example: now even more familiar 😊

Assume we decompose **Item**(N,P,C,M,S,C) with  $\Sigma = \{M \rightarrow S, M \rightarrow C\}$  into **Product**(N,P,C,M) and **Company**(M,S,C). Is **Item** = **Product**  $\bowtie$  **Company** for every database?

**Item**

Name	Price	Category	Manufacturer	StockPrice	Country
Gizmo	\$19.99	Gadgets	GizmoWorks	25	USA
Powergizmo	\$29.99	Gadgets	GizmoWorks	25	USA
SingleTouch	\$149.99	Photography	Canon	65	Japan
MultiTouch	\$203.99	Household	Hitachi	15	Japan

$\Sigma:$   
 $M \rightarrow S$   
 $M \rightarrow C$

$\pi_{N,P,C,M}$

$\pi_{M,S,C}$

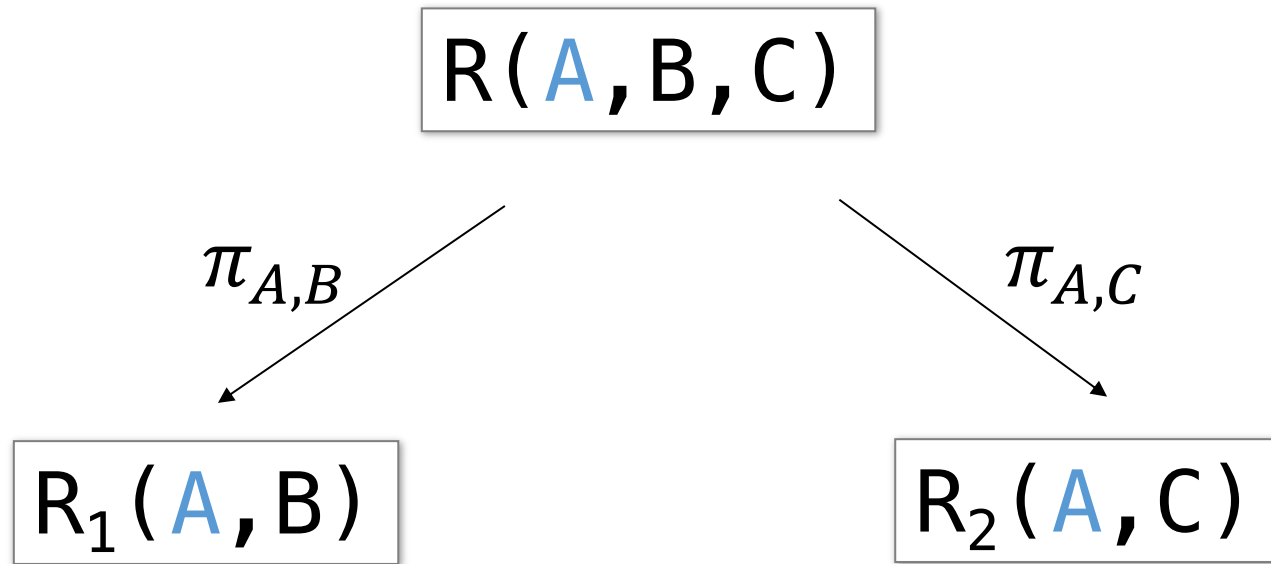
**Product**

Name	Price	Category	Manufacturer
Gizmo	\$19.99	Gadgets	GizmoWorks
Powergizmo	\$29.99	Gadgets	GizmoWorks
SingleTouch	\$149.99	Photography	Canon
MultiTouch	\$203.99	Household	Hitachi

**Company**

<u>Manufacturer</u>	StockPrice	Country
GizmoWorks	25	USA
Canon	65	Japan
Hitachi	15	Japan

# Decompositions in General



Notice that  $R \subseteq R_1 \bowtie R_2$  for every database over any schema (we never lose tuples).

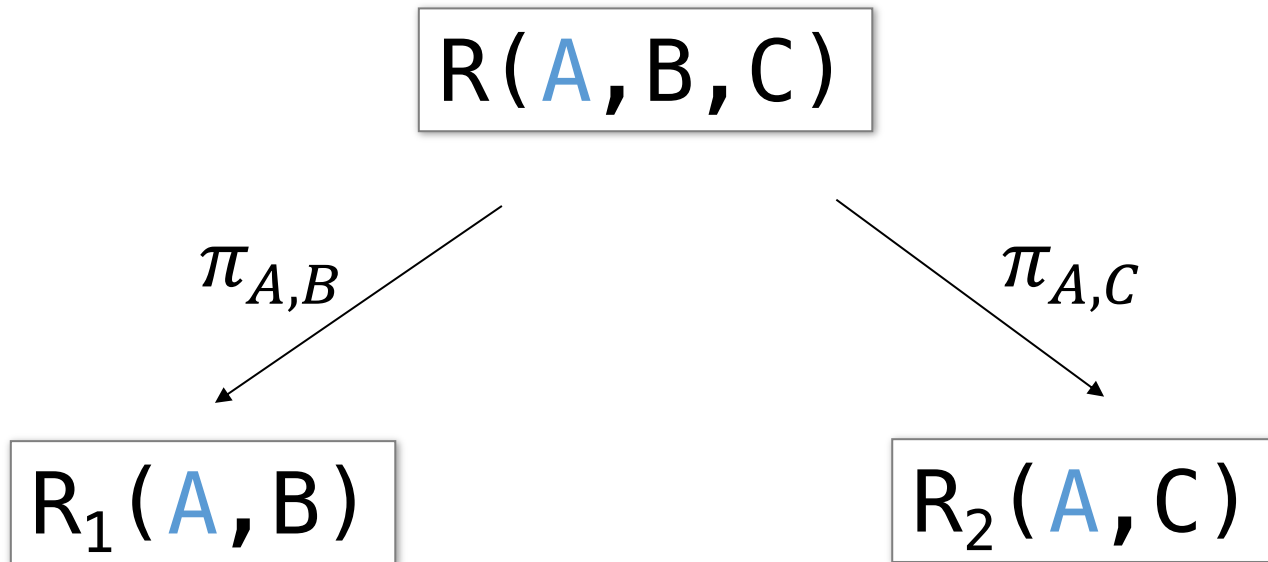
But we want that  $R = R_1 \bowtie R_2$  for every database over this schema.

We then say that the decomposition of  $R$  into  $(R_1, R_2)$  is lossless if  $R = R_1 \bowtie R_2$ .

When is this the case? **?**



# Decompositions in General



The decomposition is lossless iff:

- $A \rightarrow B$ , even if we don't have  $A \rightarrow C$  at the same time, or
- $A \rightarrow C$ , even if we don't have  $A \rightarrow B$  at the same time, or

Notice that  $R \subseteq R_1 \bowtie R_2$  for every database over any schema (we never lose tuples).

But we want that  $R = R_1 \bowtie R_2$  for every database over this schema.

We then say that the decomposition of  $R$  into  $(R_1, R_2)$  is lossless if  $R = R_1 \bowtie R_2$ .

**When is this the case?**

# Lossless Decomposition

*A*                      *B*                      *C*

Name	Price	Category
Gizmo	19	Gadget
OneClick	24	Camera
Gizmo	19	Camera

Is this decomposition  
lossless = correct? ?

*A*                      *B*

↙

Name	Price
Gizmo	19
OneClick	24
<del>Gizmo</del>	<del>19</del>

*A*                      *C*

↘

Name	Category
Gizmo	Gadget
OneClick	Camera
Gizmo	Camera

# Lossless Decomposition

*A* *B* *C*

*A* → *B*

Name	Price	Category
Gizmo	19	Gadget
OneClick	24	Camera
Gizmo	19	Camera

Is this decomposition  
lossless = correct?

Yes, we don't lose  
information

*A* *B* *A* *C*

Name	Price
Gizmo	19
OneClick	24
<del>Gizmo</del>	<del>19</del>

Name	Category
Gizmo	Gadget
OneClick	Camera
Gizmo	Camera

# Lossless Decomposition

<i>C</i>	<i>B</i>	<i>A</i>
Name	Price	Category
Gizmo	19	Gadget
OneClick	24	Camera
Gizmo	19	Camera

Is this decomposition  
lossless = correct? ?

*C*      *A*

Name	Category
Gizmo	Gadget
OneClick	Camera
Gizmo	Camera

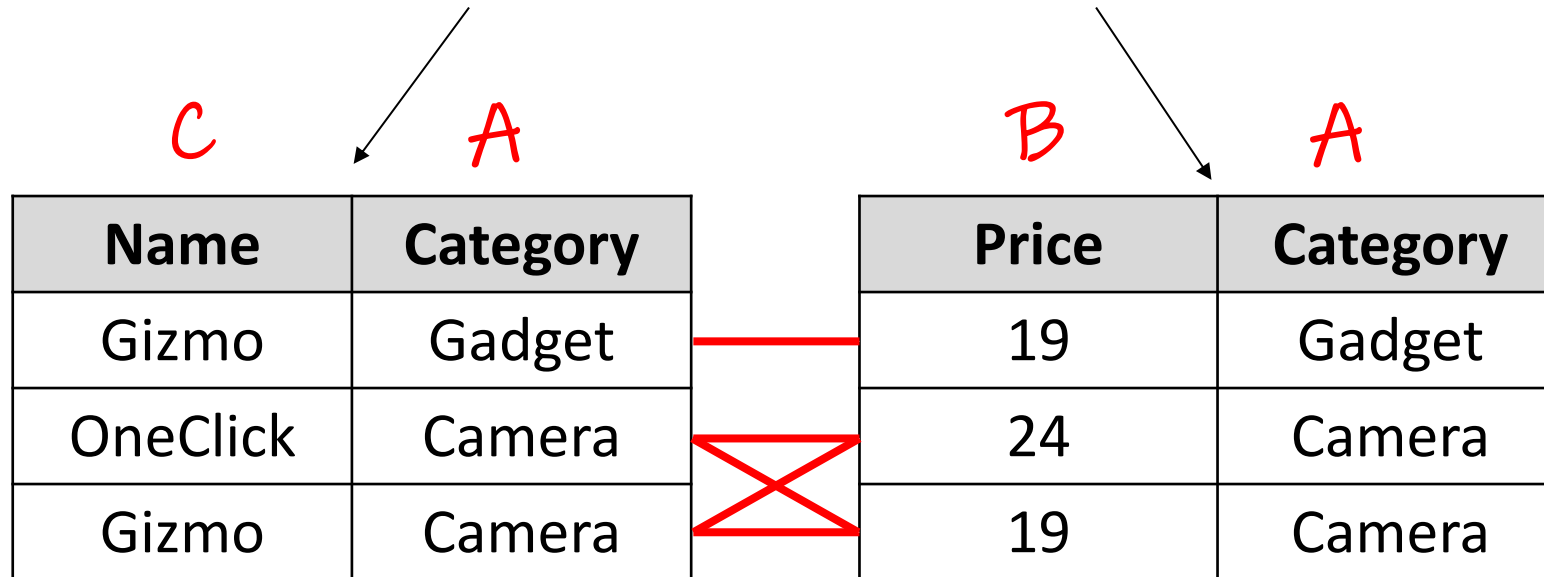
*B*      *A*

Price	Category
19	Gadget
24	Camera
19	Camera

# Lossless Decomposition

*C*                      *B*                      *A*

Name	Price	Category
Gizmo	19	Gadget
OneClick	24	Camera
Gizmo	19	Camera



Is this decomposition lossless = correct?

No, here we lost information (Does Gizmo cost 19 or 24?).

Why does this happen?

(Neither  $A \rightarrow B$ , nor  $A \rightarrow C$ )

# More general question: is a given decomposition lossless?

- Given a relation  $R$  with attributes  $S$ , a set of FDs  $\Sigma$  over  $S$ , and a set of subsets of  $S$ :  $S_1, S_2, \dots, S_k$ .
- Is the decomposition of  $R$  into  $R_1 = \pi_{S_1}(R), \dots, R_k = \pi_{S_k}(R)$  lossless? I.e. Is it true that  $R_1 \bowtie R_2 \bowtie \dots \bowtie R_k = R$ ?
- All we need to prove is that

– ...



# More general question: is a given decomposition lossless?

- Given a relation  $R$  with attributes  $S$ , a set of FDs  $\Sigma$  over  $S$ , and a set of subsets of  $S$ :  $S_1, S_2, \dots, S_k$ .
- Is the decomposition of  $R$  into  $R_1 = \pi_{S_1}(R), \dots, R_k = \pi_{S_k}(R)$  lossless? I.e. Is it true that  $R_1 \bowtie R_2 \bowtie \dots \bowtie R_k = R$ ?
- All we need to prove is that
  - $R \supseteq R_1 \bowtie R_2 \bowtie \dots \bowtie R_k$
- because we already know that we never loose tuples:
  - $R \subseteq R_1 \bowtie R_2 \bowtie \dots \bowtie R_k$

# The chase in a page (a test for lossless join decomposition)

- Given  $R(A, B, C, D)$ , is the decomposition into  $R_1 = \pi_{A,D}(R)$ ,  $R_2 = \pi_{A,C}(R)$ ,  $R_3 = \pi_{B,C,D}(R)$  lossless, if  $R$  satisfies  $\Sigma = \{A \rightarrow B, B \rightarrow C, CD \rightarrow A\}$ ?
- We need to check that  $R \supseteq R_1 \bowtie R_2 \bowtie R_3$ :
  - Suppose  $(a, b, c, d) \in R_1 \bowtie R_2 \bowtie R_3$ . Question: Is it also in  $R$ ?
  - Since  $(a, b, c, d) \in R_1 \bowtie R_2 \bowtie R_3$ , therefore also  $(a, d) \in R_1$ ,  $(a, c) \in R_2$ ,  $(b, c, d) \in R_3$
  - We therefor know that  $R$  must contain the following tuples (Irrespective of the FDs  $\Sigma$ ):

A	B	C	D
a	b <sub>1</sub>	c <sub>1</sub>	d
a	b <sub>2</sub>	c	d <sub>2</sub>
a <sub>3</sub>	b	c	d

Why?





# The chase in a page (a test for lossless join decomposition)

- Given  $R(A, B, C, D)$ , is the decomposition into  $R_1 = \pi_{A,D}(R)$ ,  $R_2 = \pi_{A,C}(R)$ ,  $R_3 = \pi_{B,C,D}(R)$  lossless, if  $R$  satisfies  $\Sigma = \{A \rightarrow B, B \rightarrow C, CD \rightarrow A\}$ ?
- We need to check that  $R \supseteq R_1 \bowtie R_2 \bowtie R_3$ :
  - Suppose  $(a, b, c, d) \in R_1 \bowtie R_2 \bowtie R_3$ . Question: Is it also in  $R$ ?
  - Since  $(a, b, c, d) \in R_1 \bowtie R_2 \bowtie R_3$ , therefore also  $(a, d) \in R_1$ ,  $(a, c) \in R_2$ ,  $(b, c, d) \in R_3$
  - We therefore know that  $R$  must contain the following tuples (Irrespective of the FDs  $\Sigma$ ):

A	B	C	D
a	b <sub>1</sub>	c <sub>1</sub>	d
a	b <sub>2</sub>	c	d <sub>2</sub>
a <sub>3</sub>	b	c	d

Why?

because  $(a, d) \in R_1$  which was derived from  $R$  as  $\pi_{A,D}(R)$

because  $(a, c) \in R_2$  which was derived from  $R$  as  $\pi_{A,C}(R)$

because  $(b, c, d) \in R_3$  which was derived from  $R$  as  $\pi_{B,C,D}(R)$

$\leftarrow R_1(A, D)$

- Idea: “Chase” them (apply given FDs  $\Sigma$  by **equating constants**) until we can either prove that  $(a, b, c, d) \in R$  or until we cannot apply any more FDs.

# The chase in a page (a test for lossless join decomposition)

- Idea: “Chase” them (apply given FDs  $\Sigma$  by **equating constants**) until we can either prove that  $(a, b, c, d) \in R$  or until we cannot apply any more FDs.
- Our FDs  $\Sigma$ :
  - $A \rightarrow B$
  - $B \rightarrow C$
  - $CD \rightarrow A$

A	B	C	D
a	b <sub>1</sub>	c <sub>1</sub>	d
a	b <sub>2</sub>	c	d <sub>2</sub>
a <sub>3</sub>	b	c	d

apply:  
 $A \rightarrow B$



# The chase in a page (a test for lossless join decomposition)

- Idea: “Chase” them (apply given FDs  $\Sigma$  by **equating constants**) until we can either prove that  $(a, b, c, d) \in R$  or until we cannot apply any more FDs.
- Our FDs  $\Sigma$ :
  - $A \rightarrow B$
  - $B \rightarrow C$
  - $CD \rightarrow A$

A	B	C	D
a	b <sub>1</sub>	c <sub>1</sub>	d
a	b <sub>2</sub>	c	d <sub>2</sub>
a <sub>3</sub>	b	c	d

apply:  
 $A \rightarrow B$

A	B	C	D
a	b <sub>1</sub>	c <sub>1</sub>	d
a	b <sub>1</sub>	c	d <sub>2</sub>
a <sub>3</sub>	b	c	d

apply:  
 $B \rightarrow C$



# The chase in a page (a test for lossless join decomposition)

- Idea: “Chase” them (apply given FDs  $\Sigma$  by **equating constants**) until we can either prove that  $(a, b, c, d) \in R$  or until we cannot apply any more FDs.
- Our FDs  $\Sigma$ :
  - $A \rightarrow B$
  - $B \rightarrow C$
  - $CD \rightarrow A$

A	B	C	D
a	b <sub>1</sub>	c <sub>1</sub>	d
a	b <sub>2</sub>	c	d <sub>2</sub>
a <sub>3</sub>	b	c	d

apply:  
 $A \rightarrow B$

A	B	C	D
a	b <sub>1</sub>	c <sub>1</sub>	d
a	b <sub>1</sub>	c	d <sub>2</sub>
a <sub>3</sub>	b	c	d

apply:  
 $B \rightarrow C$

A	B	C	D
a	b <sub>1</sub>	c	d
a	b <sub>1</sub>	c	d <sub>2</sub>
a <sub>3</sub>	b	c	d

apply:  
 $CD \rightarrow A$



# The chase in a page (a test for lossless join decomposition)

- Idea: “Chase” them (apply given FDs  $\Sigma$  by **equating constants**) until we can either prove that  $(a, b, c, d) \in R$  or until we cannot apply any more FDs.
- Our FDs  $\Sigma$ :
  - $A \rightarrow B$
  - $B \rightarrow C$
  - $CD \rightarrow A$

A	B	C	D
a	b <sub>1</sub>	c <sub>1</sub>	d
a	b <sub>2</sub>	c	d <sub>2</sub>
a <sub>3</sub>	b	c	d

apply:  
 $A \rightarrow B$

A	B	C	D
a	b <sub>1</sub>	c <sub>1</sub>	d
a	b <sub>1</sub>	c	d <sub>2</sub>
a <sub>3</sub>	b	c	d

apply:  
 $B \rightarrow C$

A	B	C	D
a	b <sub>1</sub>	c	d
a	b <sub>1</sub>	c	d <sub>2</sub>
a <sub>3</sub>	b	c	d

apply:  
 $CD \rightarrow A$

A	B	C	D
a	b <sub>1</sub>	c	d
a	b <sub>1</sub>	c	d <sub>2</sub>
a	b	c	d

Hence  $R$  contains  $(a, b, c, d)$

# The chase in a page (a test for lossless join decomposition)

- Idea: “Chase” them (apply given FDs  $\Sigma$  by **equating constants**) until we can either prove that  $(a, b, c, d) \in R$  or until we cannot apply any more FDs.
- Our FDs  $\Sigma$ :
  - $A \rightarrow B$
  - $B \rightarrow C$
  - $CD \rightarrow A$

A	B	C	D
a	b <sub>1</sub>	c <sub>1</sub>	d
a	b <sub>2</sub>	c	d <sub>2</sub>
a <sub>3</sub>	b	c	d

apply:  
 $A \rightarrow B$

A	B	C	D
a	b <sub>1</sub>	c <sub>1</sub>	d
a	b <sub>1</sub>	c	d <sub>2</sub>
a <sub>3</sub>	b	c	d

apply:  
 $B \rightarrow C$

A	B	C	D
a	b <sub>1</sub>	c	d
a	b <sub>1</sub>	c	d <sub>2</sub>
a <sub>3</sub>	b	c	d

apply:  
 $CD \rightarrow A$

A	B	C	D
a	b <sub>1</sub>	c	d
a	b <sub>1</sub>	c	d <sub>2</sub>
a	b	c	d

Hence  $R$  contains  $(a, b, c, d)$

apply:  
 $A \rightarrow B$

A	B	C	D
<del>a</del>	<del>b</del>	c	d
a	b	c	d <sub>2</sub>
a	b	c	d

unique fix point

# Chase example 2

$C \rightarrow E$

$D \rightarrow E$

$E \rightarrow B$

$E \rightarrow D$

A	B	C	D	E
a	b <sub>1</sub>	c	d <sub>1</sub>	e <sub>1</sub>
a <sub>2</sub>	b	c	d	e <sub>2</sub>
a <sub>3</sub>	b <sub>3</sub>	c <sub>3</sub>	d	e

?

# Chase example 2

$C \rightarrow E$     $D \rightarrow E$     $E \rightarrow B$   
 $E \rightarrow D$

A	B	C	D	E
a	b <sub>1</sub>	c	d <sub>1</sub>	e <sub>1</sub>
a <sub>2</sub>	b	c	d	e <sub>2</sub>
a <sub>3</sub>	b <sub>3</sub>	c <sub>3</sub>	d	e

$C \rightarrow E$

A	B	C	D	E
a	b <sub>1</sub>	c	d <sub>1</sub>	e <sub>1</sub>
a <sub>2</sub>	b	c	d	e <sub>1</sub>
a <sub>3</sub>	b <sub>3</sub>	c <sub>3</sub>	d	e

$D \rightarrow E$     $e = e_1$

A	B	C	D	E
a	b <sub>1</sub>	c	d <sub>1</sub>	e
a <sub>2</sub>	b	c	d	e
a <sub>3</sub>	b <sub>3</sub>	c <sub>3</sub>	d	e

$E \rightarrow BD$

A	B	C	D	E
a	b	c	d	e
a <sub>2</sub>	b	c	d	e
a <sub>3</sub>	b	c <sub>3</sub>	d	e



# Chase example 2

$C \rightarrow E$     $D \rightarrow E$     $E \rightarrow B$   
 $E \rightarrow D$

A	B	C	D	E
a	b <sub>1</sub>	c	d <sub>1</sub>	e <sub>1</sub>
a <sub>2</sub>	b	c	d	e <sub>2</sub>
a <sub>3</sub>	b <sub>3</sub>	c <sub>3</sub>	d	e

$C \rightarrow E$

A	B	C	D	E
a	b <sub>1</sub>	c	d <sub>1</sub>	e <sub>1</sub>
a <sub>2</sub>	b	c	d	e <sub>1</sub>
a <sub>3</sub>	b <sub>3</sub>	c <sub>3</sub>	d	e

$D \rightarrow E$

$D \rightarrow E$

A	B	C	D	E
a	b <sub>1</sub>	c	d <sub>1</sub>	e <sub>1</sub>
a <sub>2</sub>	b	c	d	e
a <sub>3</sub>	b <sub>3</sub>	c <sub>3</sub>	d	e

$C \rightarrow E$

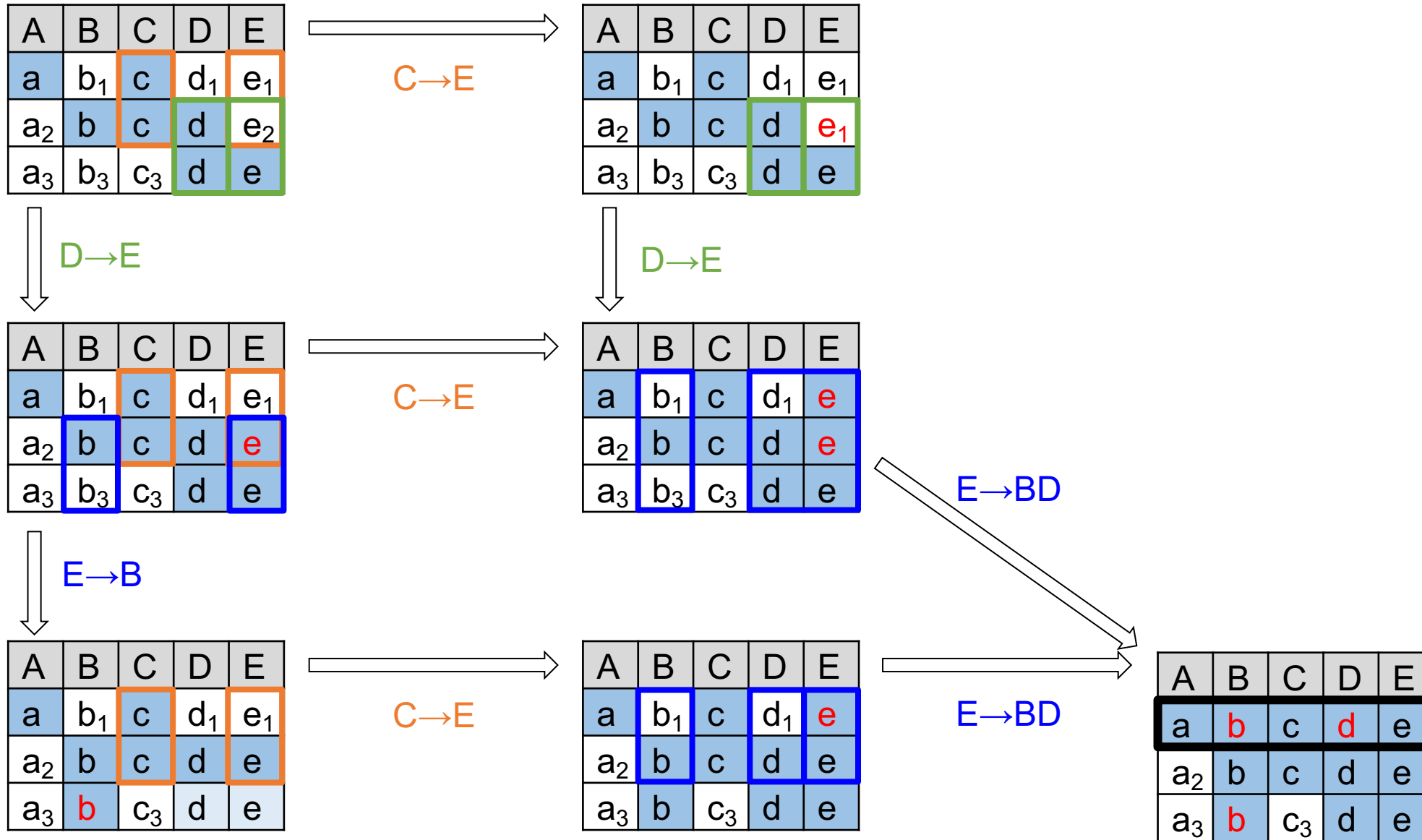
A	B	C	D	E
a	b <sub>1</sub>	c	d <sub>1</sub>	e
a <sub>2</sub>	b	c	d	e
a <sub>3</sub>	b <sub>3</sub>	c <sub>3</sub>	d	e

$E \rightarrow BD$

A	B	C	D	E
a	b	c	d	e
a <sub>2</sub>	b	c	d	e
a <sub>3</sub>	b	c <sub>3</sub>	d	e

# Chase example 2

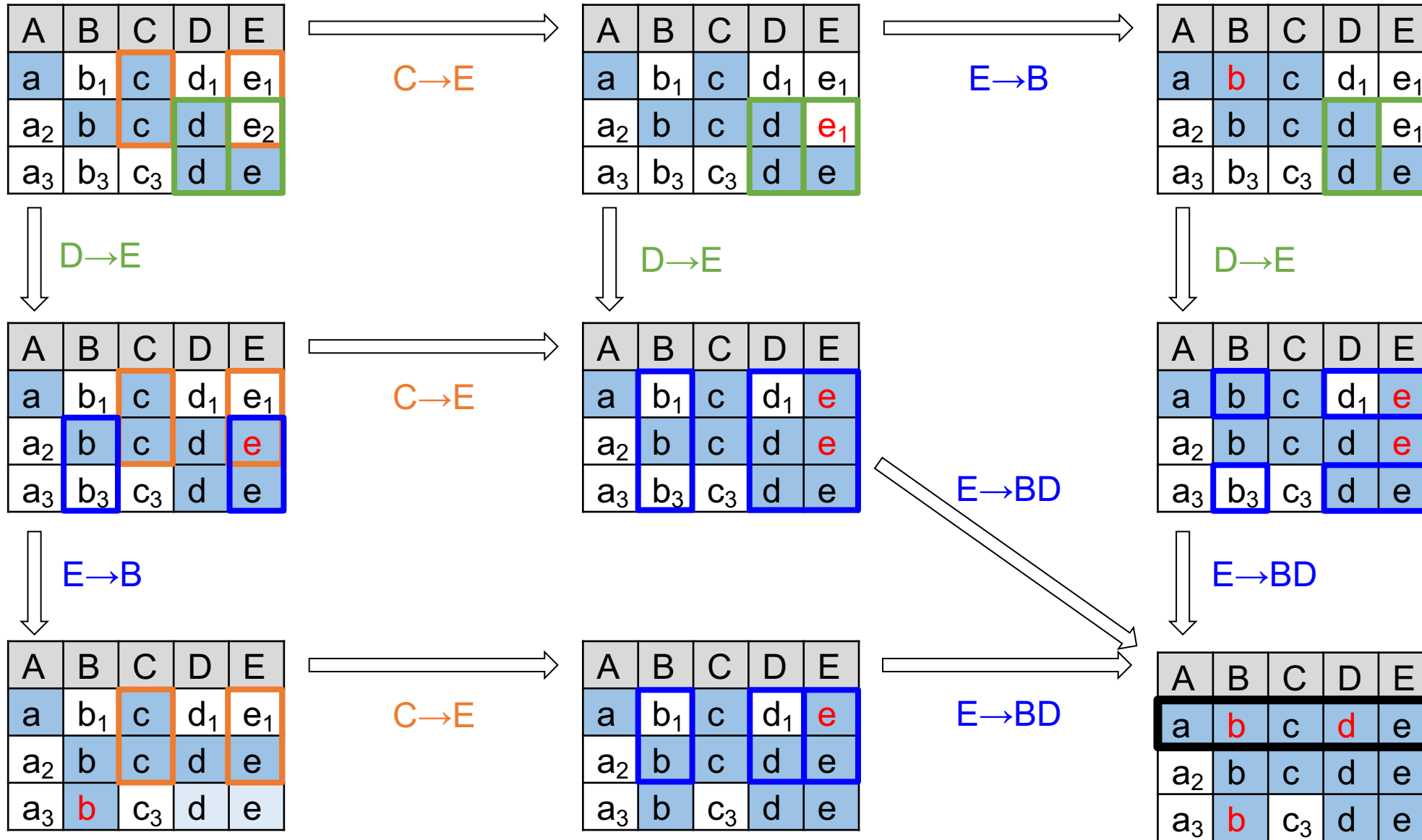
$C \rightarrow E$     $D \rightarrow E$     $E \rightarrow B$   
 $E \rightarrow D$



The chase is confluent (has a unique fix point)

# Chase example 2

$C \rightarrow E$     $D \rightarrow E$     $E \rightarrow B$   
 $E \rightarrow D$

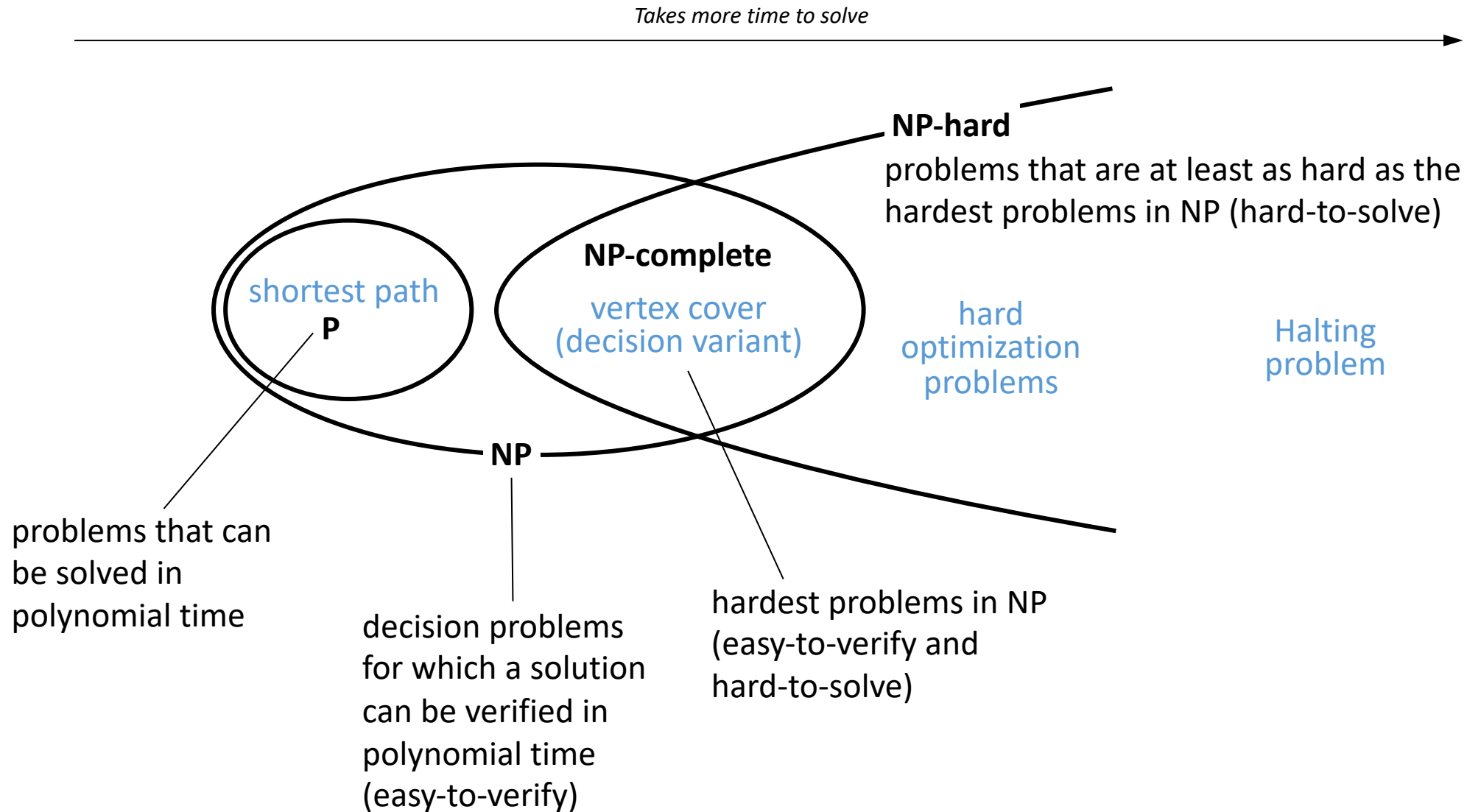


The chase is confluent  
 (has a unique fix point)

# Outline: T1-4: Datalog & ASP

- Datalog
  - Datalog rules
  - Datalog vs. RA
  - Recursion
  - Recursion in SQL [moved here from T1-U1: SQL]
  - Semantics
  - Naive and Semi-naive evaluation (Incremental View Maintenance)
  - Chase Procedure (and Decompositions=Factorizations)
  - Datalog<sup>¬</sup>: Datalog with stratified negation
  - Datalog<sup>±</sup>
- Answer Set Programming (ASP)

# NP-hardness (assuming $P \neq NP$ )



# What should be the Semantics?



**Friend**(x,y) :- Likes(x,y), ¬Parent(y,x).

Likes (1,2). Parent (2,1).  
Likes (1,3).



# What should be the Semantics?



$\text{Friend}(x,y) :- \text{Likes}(x,y), \neg \text{Parent}(y,x).$

$\text{Box}(x) :- \text{Item}(x), \neg \text{Box}(x).$

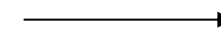
$\text{Likes}(1,2).$   $\text{Parent}(2,1).$

$\text{Likes}(1,3).$



$\text{Friend}(1,3)$

$\text{Item}('ball')$



?

# What should be the Semantics?



$\text{Friend}(x,y) \text{ :- Likes}(x,y), \neg \text{Parent}(y,x).$

$\text{Box}(x) \text{ :- Item}(x), \neg \text{Box}(x).$

$\text{LeftBox}(x) \text{ :- Item}(x), \neg \text{RightBox}(x).$   
 $\text{RightBox}(x) \text{ :- } \neg \text{LeftBox}(x).$

Likes (1,2). Parent (2,1).

Likes (1,3).  $\longrightarrow$  Friend(1,3)

Item('ball')  $\longrightarrow$  Box('ball') ???

Item('ball')  $\longrightarrow$  ?



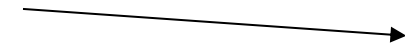
# What should be the Semantics?



$\text{Friend}(x,y) :- \text{Likes}(x,y), \neg \text{Parent}(y,x).$

Likes (1,2). Parent (2,1).

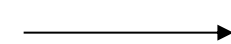
Likes (1,3).



Friend(1,3)

$\text{Box}(x) :- \text{Item}(x), \neg \text{Box}(x).$

Item('ball')

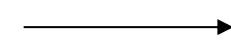


Box('ball') ???

$\text{LeftBox}(x) :- \text{Item}(x), \neg \text{RightBox}(x).$

$\text{RightBox}(x) :- \neg \text{LeftBox}(x).$

Item('ball')



LeftBox('ball') ???

*unsafe!*

$\text{LeftBox}(x) :- \text{Item}(x), \neg \text{RightBox}(x).$

$\text{RightBox}(x) :- \text{Item}(x), \neg \text{LeftBox}(x).$

Item('ball')

?

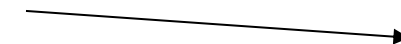
# What should be the Semantics?



$\text{Friend}(x,y) \text{ :- Likes}(x,y), \neg \text{Parent}(y,x).$

Likes (1,2). Parent (2,1).

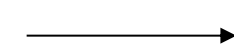
Likes (1,3).



Friend(1,3)

$\text{Box}(x) \text{ :- Item}(x), \neg \text{Box}(x).$

Item('ball')

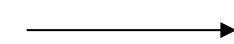


Box('ball') ???

$\text{LeftBox}(x) \text{ :- Item}(x), \neg \text{RightBox}(x).$

$\text{RightBox}(x) \text{ :- } \neg \text{LeftBox}(x).$

Item('ball')



LeftBox('ball') ???

*unsafe!*

$\text{LeftBox}(x) \text{ :- Item}(x), \neg \text{RightBox}(x).$

$\text{RightBox}(x) \text{ :- Item}(x), \neg \text{LeftBox}(x).$

Item('ball')



LeftBox('ball')

RightBox('ball')

*⇒ Adding negation to Datalog is not straightforward!*

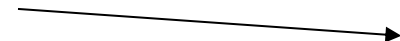
# What should be the Semantics?



$\text{Friend}(x,y) \text{ :- Likes}(x,y), \neg \text{Parent}(y,x).$

Likes (1,2). Parent (2,1).

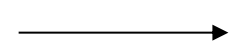
Likes (1,3).



Friend(1,3)

$\text{Box}(x) \text{ :- Item}(x), \neg \text{Box}(x).$

Item('ball')



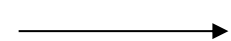
~~Box('ball')~~

no "stable" model

$\text{LeftBox}(x) \text{ :- Item}(x), \neg \text{RightBox}(x).$

$\text{RightBox}(x) \text{ :- } \neg \text{LeftBox}(x).$

Item('ball')



~~LeftBox('ball')~~

unsafe!

$\text{LeftBox}(x) \text{ :- Item}(x), \neg \text{RightBox}(x).$

$\text{RightBox}(x) \text{ :- Item}(x), \neg \text{LeftBox}(x).$

Item('ball')



LeftBox('ball')

RightBox('ball')

Later discussed "stable model" semantics  
(intended models = answer sets)

# Negation in Datalog

- Various semantics have been proposed for supporting negation in Datalog that still allow tractability
- We will first look at two:
  - 1. Semipositive Datalog<sup>-</sup> (restricted): PTIME
  - 2. Stratified Datalog<sup>-</sup> (standard): PTIME
- We will later look at a more powerful (but intractable) semantics
  - Stable Models semantics (or answer set programming ASP): NP-complete and beyond!



# 1. Semipositive Programs and Safety

`Friend(x,y) :- Likes(x,y), ¬Parent(y,x).`

Likes  $- \pi_{y,x}$  Parent

A **semipositive** program is a program where **only EDBs** may be negated

- Semantics: same as ordinary Datalog programs
- **Safety**: rule is safe if every variable occurs in a positive (= unnegated) relational atom (ensures domain independence: the results of programs are finite and depend only on the actual contents of the database)

Exercise: Are following rules safe?

`S(x) :- T(y), Arc(z,y), ¬Arc(x,y).`

?

`S(x) :- T(y), ¬T(x).`

?

# 1. Semipositive Programs and Safety



`Friend(x,y) :- Likes(x,y), ¬Parent(y,x).`

*Likes* –  $\pi_{y,x}$  *Parent*

A **semipositive** program is a program where **only EDBs** may be negated

- Semantics: same as ordinary Datalog programs
- **Safety**: rule is safe if every variable occurs in a positive (= unnegated) relational atom (ensures domain independence: the results of programs are finite and depend only on the actual contents of the database)

Exercise: Are following rules safe?

`S(x) :- T(y), Arc(z,y), ¬Arc(x,y).`

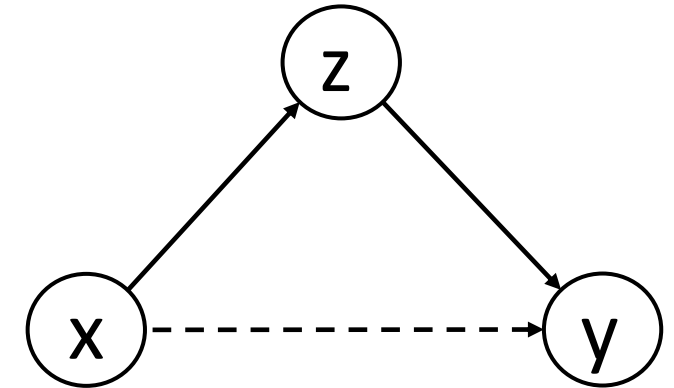
*unsafe (what is the domain for "x"?)*

`S(x) :- T(y), ¬T(x).`

*unsafe*

# 1. Semipositive: Negated Atoms

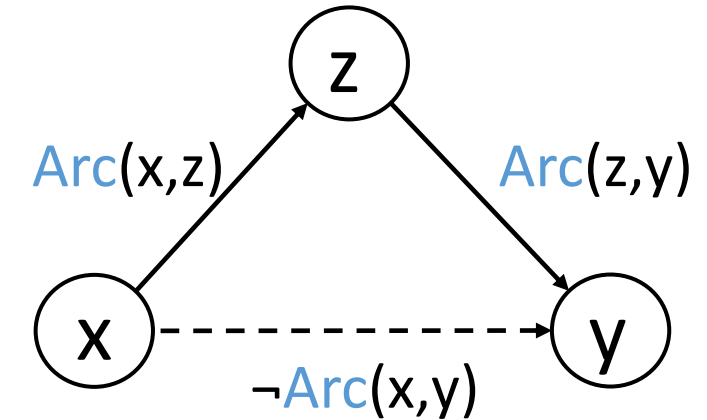
- We may put  $\neg$ ,  $!$ ,  $\sim$ , or **not** in front of an EDB atom to negate its meaning.
- EXAMPLE: Return all pairs of nodes (x,y) where y is two hops away from x, but not an immediate neighbor of x.



# 1. Semipositive: Negated Atoms

- We may put  $\neg$ ,  $!$ ,  $\sim$ , or **not** in front of an EDB atom to negate its meaning.
- EXAMPLE: Return all pairs of nodes (x,y) where y is two hops away from x, but not an immediate neighbor of x.

**TwoHopsAway**(x,y) :- Arc(x,z), Arc(z,y),  $\neg$ Arc(x,y).



A(S,T)   
501

SQL ?



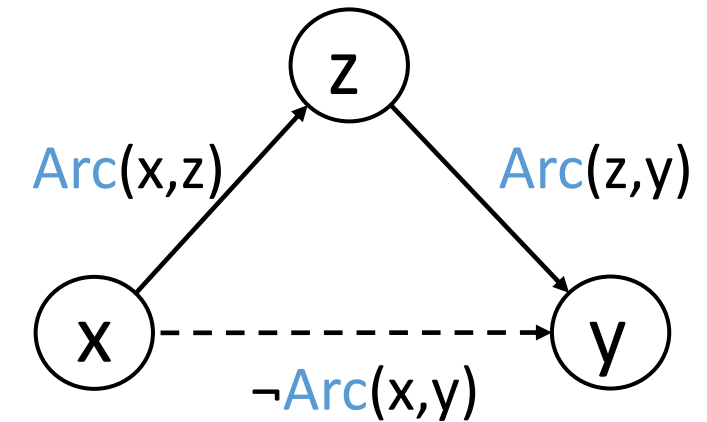
# 1. Semipositive: Negated Atoms

- We may put  $\neg$ ,  $!$ ,  $\sim$ , or **not** in front of an EDB atom to negate its meaning.
- EXAMPLE: Return all pairs of nodes (x,y) where y is two hops away from x, but not an immediate neighbor of x.

**TwoHopsAway**(x,y) :- Arc(x,z), Arc(z,y),  $\neg$ Arc(x,y).

A(S,T)   
501

```
SELECT A1.S, A2.T
FROM A A1, A A2
WHERE A1.T = A2.S
AND NOT EXISTS
  (SELECT *
   FROM A A3
   WHERE A3.S = A1.S
   AND A3.T = A2.T)
```



# Example: beyond Semipositive

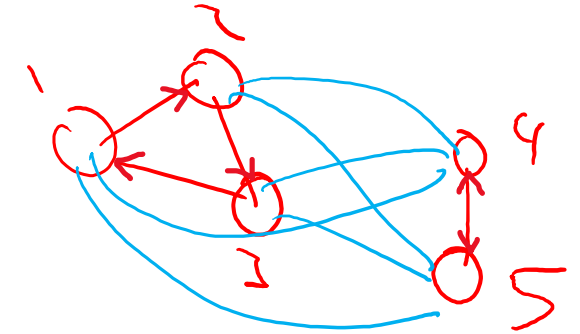
Node is basically  $A\text{Dom}$ :  
 $\text{Node}(x) \text{ :- Arc}(x,y)$   
 $\text{Node}(y) \text{ :- Arc}(x,y)$

$\text{Arc}(\text{Source}, \text{Target})$   
 $\text{Node}(\text{id})$



Compute all pairs of disconnected nodes in a graph.

?



# Example: beyond Semipositive

Node is basically  $ADom$ :  
 $Node(x) :- Arc(x,y)$   
 $Node(y) :- Arc(x,y)$

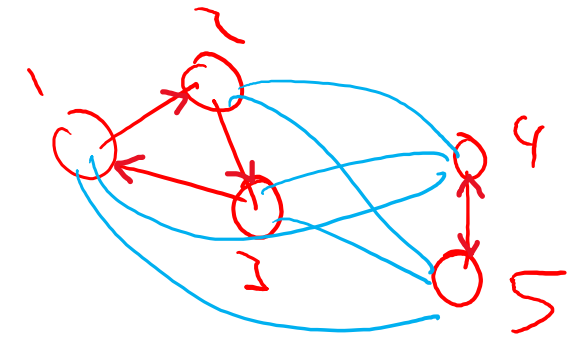
$Arc(Source, Target)$   
 $Node(id)$



Compute all pairs of disconnected nodes in a graph.

$Reachable(x,y) :- Arc(x,y).$

$Reachable(x,y) :- Arc(x,z), Reachable(z,y).$



# Example: beyond Semipositive

Node is basically ADom:  
Node(x) :- Arc(x,y)  
Node(y) :- Arc(x,y)

Arc(Source,Target)  
Node(id)



Compute all pairs of disconnected nodes in a graph.

Reachable(x,y) :- Arc(x,y).

Reachable(x,y) :- Arc(x,z), Reachable(z,y).

Unreachable(x,y) :- Node(x), Node(y), ¬Reachable(x,y).

Stratum 1

Reachable

Stratum 2

Unreachable

- Straightforward syntactic restriction.
- When the Datalog program is stratified, we can evaluate IDB predicates stratum-by-stratum
- Once evaluated, treat it as EDB for higher strata.

## Precedence graph

- Nodes = IDB predicates
- Arc  $p \rightarrow q$  if predicate q depends on p
- Label this arc "¬" if predicate p is negated *think: "topological sort"*

Non-stratified example: LeftBox(x) :- ¬LeftBox(x), Item(x).



# Example: beyond Semipositive

Node is basically ADom:  
Node(x) :- Arc(x,y)  
Node(y) :- Arc(x,y)

Arc(Source,Target)  
Node(id)

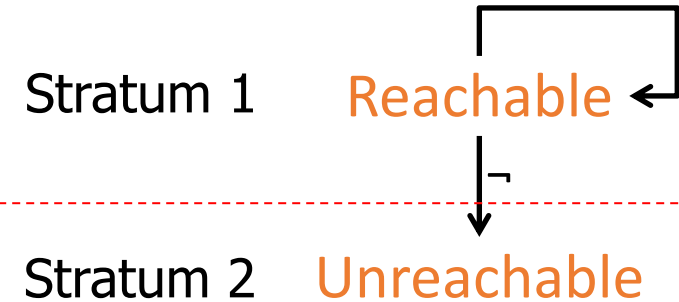


Compute all pairs of disconnected nodes in a graph.

Reachable(x,y) :- Arc(x,y).

Reachable(x,y) :- Arc(x,z), Reachable(z,y).

Unreachable(x,y) :- Node(x), Node(y), ¬Reachable(x,y).

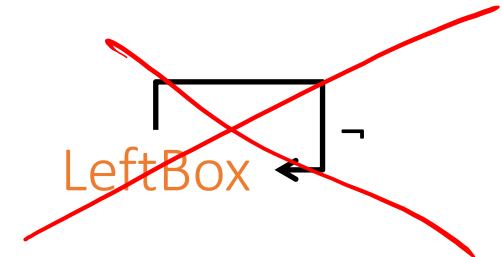


- Straightforward syntactic restriction.
- When the Datalog program is stratified, we can evaluate IDB predicates stratum-by-stratum
- Once evaluated, treat it as EDB for higher strata.

## Precedence graph

- Nodes = IDB predicates
- Arc  $p \rightarrow q$  if predicate q depends on p
- Label this arc "¬" if predicate p is negated    think: "topological sort"

Non-stratified example: LeftBox(x) :- ¬LeftBox(x), Item(x).



## 2. Stratified Programs: Definition and Semantics

- DEFINITION: Let  $\mathbf{P}$  be a Datalog program,  $\mathbf{E}$  be the set of EDB predicates, and  $\mathbf{I}$  be the set of IDB predicates. A stratification of  $\mathbf{P}$  is a partitioning of the IDB predicates into disjoint sets  $I_1, \dots, I_k$  such that:
  - For  $i=1, \dots, k$ , every rule with head in  $I_i$  has possible body predicates only from  $\mathbf{E}, I_1, \dots, I_i$
  - For  $i=1, \dots, k$ , every rule with head in  $I_i$  has negated body predicates only from  $\mathbf{E}, I_1, \dots, I_{i-1}$
- SEMANTICS:
  - For  $i=1, \dots, k$ :
    - Compute the IDBs of the stratum  $I_i$ , possibly via recursion
    - Add computed IDBs to the EDBs
  - Due to the definition of stratification, each  $E_i$  can be viewed as semipositive

## 2. Theorems on Stratification

*Contrast with our earlier definition of recursive programs!*

- THEOREM 1: A program has a **stratification** if and only if its **dependency graph** does not contain a **cycle with a "negated edge"**
  - Dependency graph is defined as previously, except that edges can be labeled with negation
  - Hence, we can test for stratifiability efficiently, via graph reachability

```
A(x) :- B(x).  
B(x) :- C(x).  
C(x) :- ¬A(x).
```

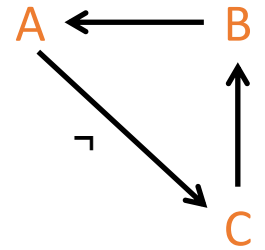
*Can it be stratified?* 

## 2. Theorems on Stratification

Contrast with our earlier definition of recursive programs!

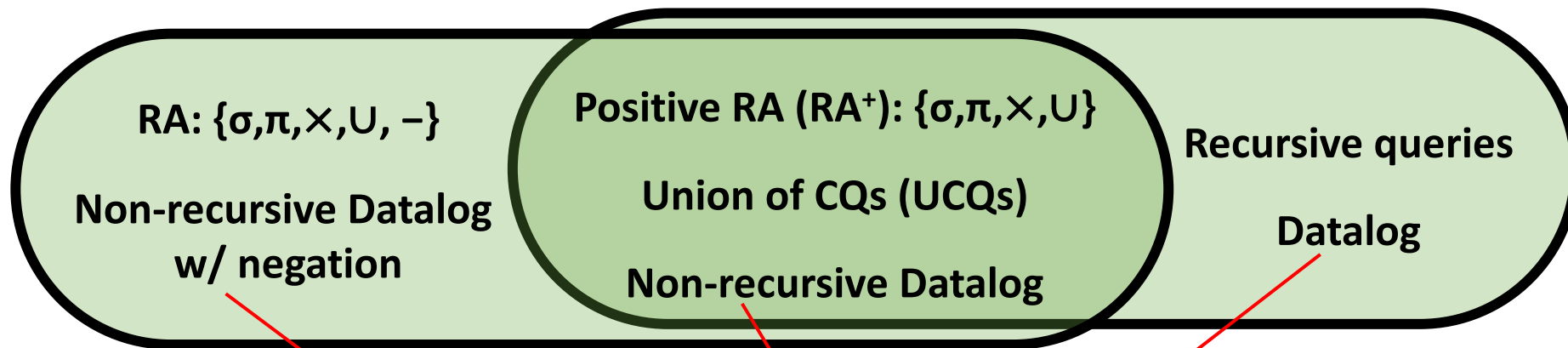
- THEOREM 1: A program has a **stratification** if and only if its **dependency graph** does not contain a **cycle with a "negated edge"**
  - Dependency graph is defined as previously, except that edges can be labeled with negation
  - Hence, we can test for stratifiability efficiently, via graph reachability
- THEOREM 2: **Non-recursive Datalog with negation** can always be stratified via the topological order
- THEOREM 3: **Non-recursive Datalog with negation** has the same expressive power as the algebra  $\{\sigma_{=}, \pi, \times, \cup, -\}$ 
  - Extendable to RA if we add the comparison predicates  $<, >, !=, <=, >=$

```
A(x) :- B(x).
B(x) :- C(x).
C(x) :- ¬A(x).
```



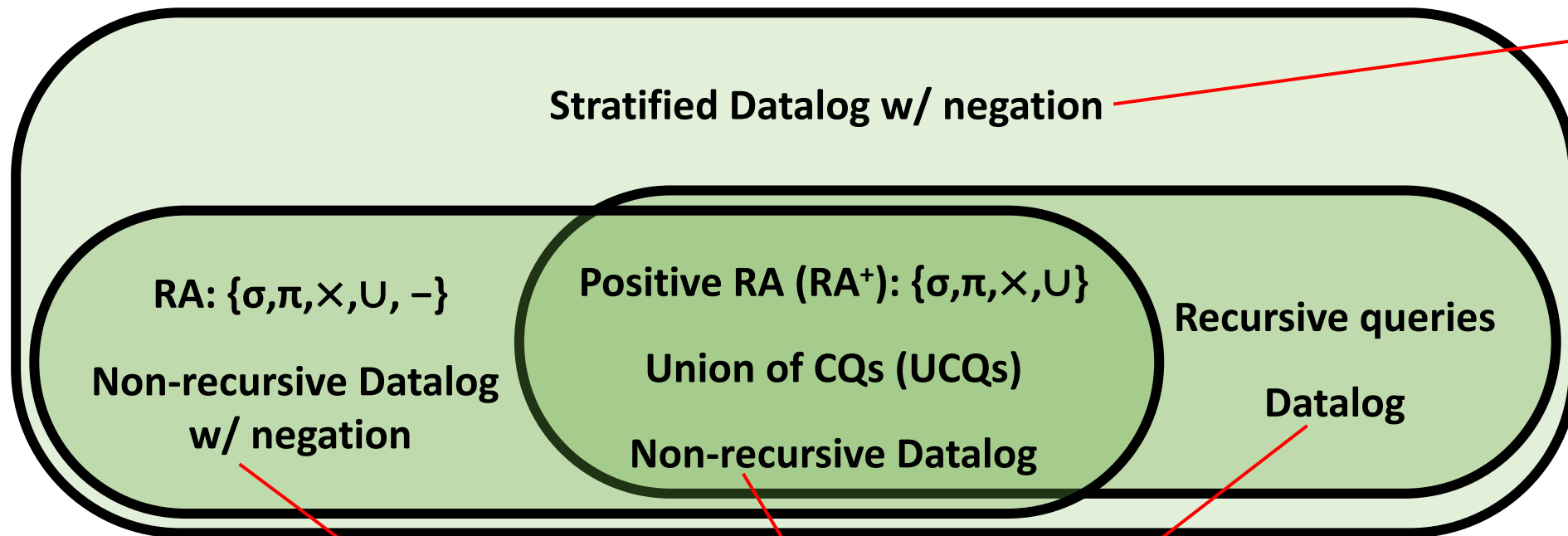


# Hierarchy of expressiveness



*Notice that Datalog and UCQs often assume an unordered domain and no built-in predicates. For equality, we assume here an ordered domain and allow built-in predicates ( $>, <, \leq, \geq, \neq$ ).*

# Hierarchy of expressiveness

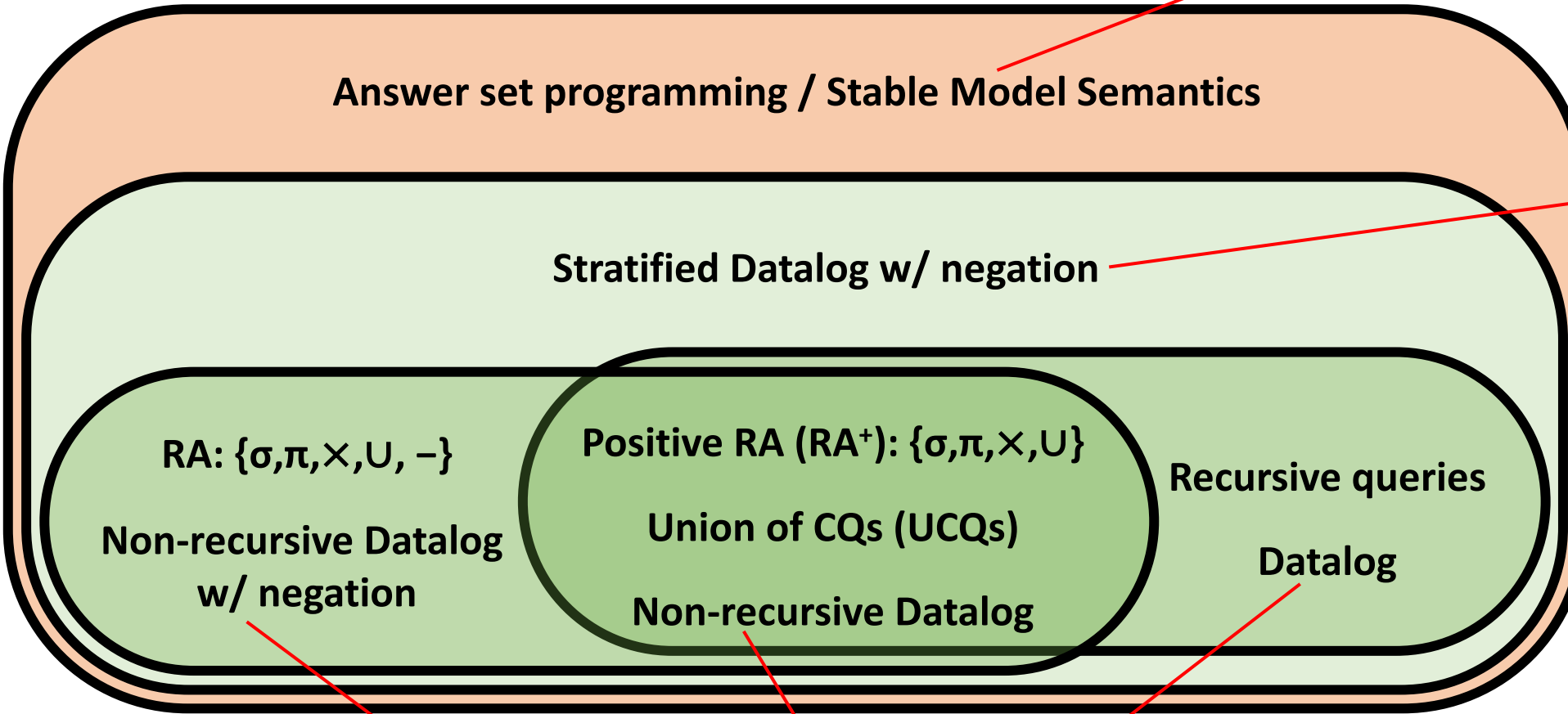


can express all polynomial time queries on ordered databases relying on only information encoded in tables (e.g. excludes arithmetical functions)

Notice that Datalog and UCQs often assume an unordered domain and no built-in predicates. For equality, we assume here an ordered domain and allow built-in predicates ( $>$ ,  $<$ ,  $\leq$ ,  $\geq$ ,  $\neq$ ).

# Hierarchy of expressiveness

ASP can express NP-complete problems (and even problems higher in the Polynomial hierarchy)  
(For Turing-completeness, we would only have to add functions, i.e. the ability to create new values not previously found in the EDB)



can express all polynomial time queries on ordered databases relying on only information encoded in tables (e.g. excludes arithmetical functions)

Notice that Datalog and UCQs often assume an unordered domain and no built-in predicates. For equality, we assume here an ordered domain and allow built-in predicates ( $>, <, \leq, \geq, \neq$ ).

## 2. Stratification practice

Parent(P,C)



Q: Find all descendants of Alice,  
who are not descendants of Bob

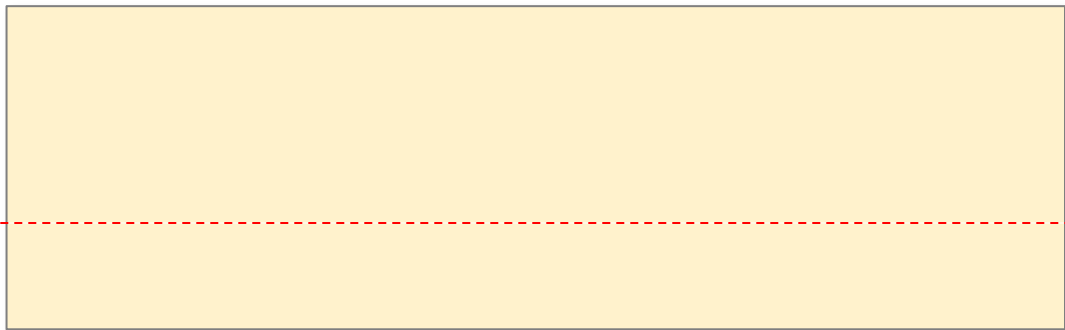
?

## 2. Stratification practice

Parent(P,C)



Q: Find all descendants of Alice,  
who are not descendants of Bob



*first compute for each  
person their descendants*

*then use negation*

?

## 2. Stratification practice

Parent(P,C)

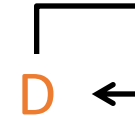


Q: Find all descendants of Alice,  
who are not descendants of Bob

$D(x,y) :- \text{Parent}(x,y).$

$D(x,z) :- \text{Parent}(y,z), D(x,y).$

first compute for each  
person their descendants



then use negation

?

## 2. Stratification practice

Parent(P,C)



Q: Find all descendants of Alice,  
who are not descendants of Bob

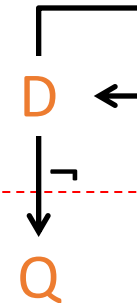
$D(x,y) :- \text{Parent}(x,y).$

$D(x,z) :- \text{Parent}(y,z), D(x,y).$

$Q(x) :- D('Alice',x), \neg D('Bob',x).$

first compute for each  
person their descendants

then use negation



## 2. Stratification practice

Parent(P,C)



Q: Find all descendants of Alice, who are not descendants of Bob

$D(x,y) :- \text{Parent}(x,y).$

$D(x,z) :- \text{Parent}(y,z), D(x,y).$

$Q(x) :- D('Alice',x), \neg D('Bob',x).$

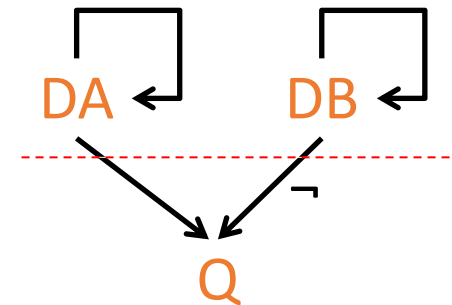
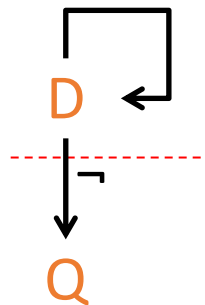
$DA(y) :- \text{Parent}('Alice',y).$

$DA(y) :- \text{Parent}(x,y), DA(x).$

$DB(y) :- \text{Parent}('Bob',y).$

$DB(y) :- \text{Parent}(x,y), DB(x).$

$Q(x) :- DA(x), \neg DB(x).$





# Datalog Summary

- **EDB** (extensional/base relations), **IDB** (intentional/derived relations)
- Datalog program = set of rules; base relations are also rules
- Datalog can be **recursive**
  - **Stratified** Datalog with negation still PTIME
  - Non-stratified Datalog: stable model semantics, ASP, can model NPC problems
- **SQL** has also been extended to express limited form of recursion
  - Using a recursive "with" clause, also called CTE (**Common Table Expression**)
  - Can only have a **single IDB**

# Topic 1: Data models and query languages

## Unit 4: Datalog

### Lecture 11

Wolfgang Gatterbauer

CS7240 Principles of scalable data management (sp24)

<https://northeastern-datalab.github.io/cs7240/sp24/>

2/20/2024

# Pre-class conversations

- Last class summary
- Project discussions (in class and after)
- Faculty candidates (today, Feb 29, March 20)
  
- today:
  - More on Datalog
  - What happens if we add negation? Answer: it depends on how we do it.
    - Datalog with stratified negation
    - Datalog with more general negation (stable models), leads to ASP
  - Later: Beyond NP with ASP (including 3-colorability in 2 lines)

# Outline: T1-4: Datalog & ASP

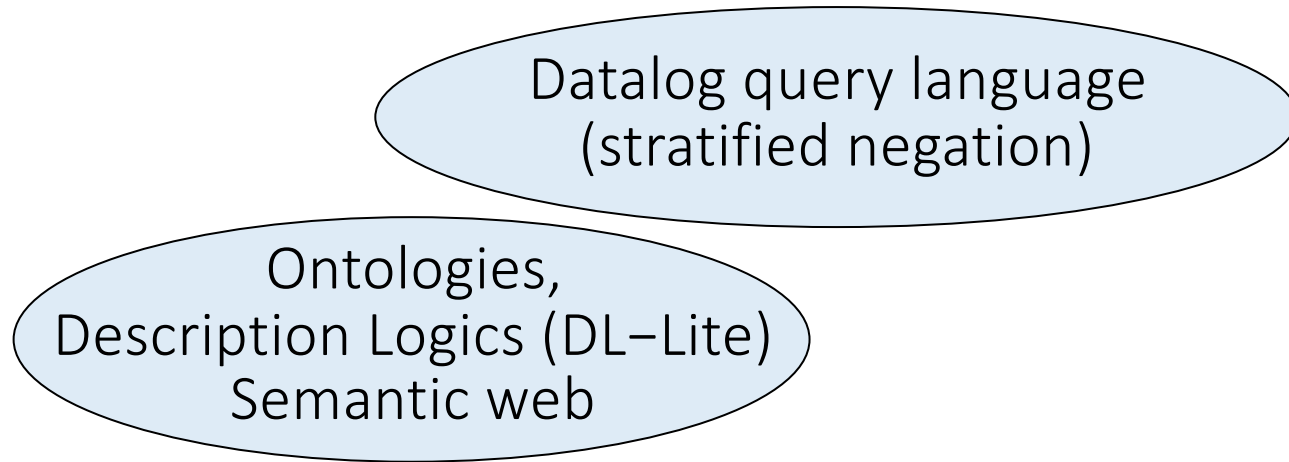
- Datalog
  - Datalog rules
  - Datalog vs. RA
  - Recursion
  - Recursion in SQL [moved here from T1-U1: SQL]
  - Semantics
  - Naive and Semi-naive evaluation (Incremental View Maintenance)
  - Chase Procedure (and Decompositions=Factorizations)
  - Datalog<sup>¬</sup>: Datalog with stratified negation
  - Datalog<sup>±</sup>
- Answer Set Programming (ASP)

# Datalog<sup>±</sup>: background

Datalog query language  
(stratified negation)

- Much is possible with Datalog

# Datalog<sup>±</sup>: background



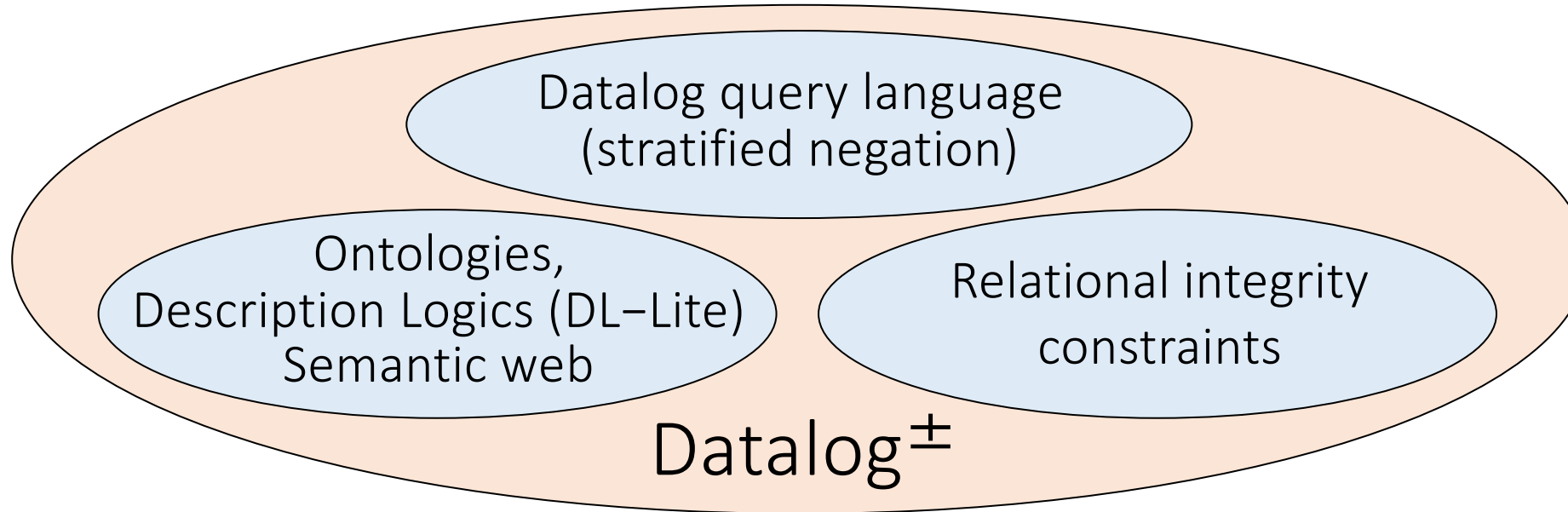
- Much is possible with Datalog
- Much is not (observed e.g. by [Patel-Schneider, Horrocks 2006])

Patel-Schneider, Horrocks. Position paper: A comparison of two modelling paradigms in the Semantic Web. WWW (Semantic Web track). 2006. <https://dl.acm.org/doi/10.1145/1135777.1135784>

Based on a presentation by Andrea Cali

Wolfgang Gatterbauer. Principles of scalable data management: <https://northeastern-datalab.github.io/cs7240/>

# Datalog<sup>±</sup>: goal



- Much is possible with Datalog
- Much is not (observed e.g. by [Patel-Schneider, Horrocks 2006])
- **Datalog<sup>±</sup>** is a framework that extends Datalog with:
  - **value invention** ( $\exists$ -variables in the head): **TGDs** (Tuple-Generating Dependencies)
  - **equality predicate** in the head: **EGDs** (Equality Generating Dependencies)
  - **constant  $\perp$**  in the head: **negative constraints** (disjointness)

Patel-Schneider, Horrocks. Position paper: A comparison of two modelling paradigms in the Semantic Web. WWW (Semantic Web track). 2006. <https://dl.acm.org/doi/10.1145/1135777.1135784>

Cali, Gottlob, Lukasiewicz, Marnette, Pieris. Datalog+/-: A Family of Logical Knowledge Representation and Query Languages for New Applications. LICS 2010. <https://doi.org/10.1109/LICS.2010.27>

Based on a presentation by Andrea Cali

Wolfgang Gatterbauer. Principles of scalable data management: <https://northeastern-datalab.github.io/cs7240/>

# Datalog and expressiveness for ontological reasoning

Assertion type	Datalog rule
Inclusion	$\text{emp}(X) \rightarrow \text{person}(X)$
(Inverse) role inclusion	$\text{reportsTo}(X, Y) \rightarrow \text{manages}(Y, X)$
Reflexive expansion	$\text{boss}(X) \rightarrow \text{manages}(X, X)$
Transitivity	$\text{manages}(X, Y), \text{manages}(Y, Z) \rightarrow \text{manages}(X, Z)$
Concept product	$\text{seniorEmp}(X), \text{emp}(Y) \rightarrow \text{higher}(X, Y)$
Participation	?
Disjointness	?
Functionality	?

Ontology assertion	Datalog <sup>±</sup> rule
Participation	$\text{boss}(X) \rightarrow \exists Y \text{ reports}(Y, X)$
Disjointness	$\text{customer}(X), \text{boss}(X) \rightarrow \perp$
Functionality	$\text{reports}(X, Y1), \text{reports}(X, Y2) \rightarrow Y1 = Y2$



# Datalog<sup>±</sup> vs. DL

The above example corresponds to the following set of DL axioms, expressed in an extension of  $\mathcal{ELHI}$  by nonmonotonic negation:

$$\begin{aligned} &FiveStar(X) \rightarrow Hotel(X), \\ &FiveStar(X), \text{not}Pool(X, Y) \rightarrow \exists Z Beach(X, Z), \\ &FiveStar(X), \text{not}Beach(X, Y) \rightarrow \exists Z Pool(X, Z), \\ &Beach(X, Y) \rightarrow \exists Z SwimOpp(X, Z), \\ &Pool(X, Y) \rightarrow \exists Z SwimOpp(X, Z), \end{aligned}$$
$$\begin{aligned} &FiveStar \sqsubseteq Hotel, \\ &FiveStar \sqcap \text{not}\exists Pool \sqsubseteq \exists Beach, \\ &FiveStar \sqcap \text{not}\exists Beach \sqsubseteq \exists Pool, \\ &\quad \exists Beach \sqsubseteq \exists SwimOpp, \\ &\quad \exists Pool \sqsubseteq \exists SwimOpp, \end{aligned}$$

# Interesting Observations

- Exploiting schema knowledge in query answering is **not trivial**
- Languages and algorithms exist that allow for **tractable query answering**
- Applications in **real-world scenarios** are possible
  - Industrial applications in data integration, Semantic Web, ontological reasoning
  - Companies and Products: RelationalAI, Deepreason.ai, Oracle Semantic Technologies, IBM IODT, OntoDLV (Vienna)

# Outline: T1-4: Datalog & ASP

- Datalog
- Answer Set Programming
  - Intro to Rules with Negation
  - Horn clauses and Logic Programming
  - Stable model semantics
  - An application and surprising complexity result
  - The power of Disjunctions
  - [A surprising application: automating hardness proofs: moved to T2-U4: Reverse Data Management]

# Negation in Souffle vs. Negation in ASP

## Negation in Rules

A rules of the form

```
CanRenovate(person, building) :- Owner(person, building), !Heritage(building).
```

expresses the rule that an owner can renovate a building with the condition that the building is not classified as heritage. Thus the literal "Heritage(building)" is negated (via "!") in the body of the rule. Not all negations are semantically permissible. For example,

```
A(x) :- ! B(x).  
B(x) :- ! A(x).
```

is a circular definition. One cannot determine if anything belongs to the relation "A" without determining if it belongs to relation "B". But to determine if it is a "B" one needs to determine if the item belongs to "A". Such circular definitions are forbidden. Technically, rules involving negation must be stratifiable.

Negated literals do not bind variables. For example,

```
A(x,y) :- R(x), !S(y).
```

is not valid as the set of values that "y" can take is not clear. This can be rewritten as,

```
A(x,y) :- R(x), Scope(y), !S(y).
```

where the relation "Scope" defines the set of values that "y" can take.

*YES: stable model semantics as used by ASP can deal with this circular definition*

*NO: safety conditions are still the same as for souffle*

# Answer Set Programming (ASP)

- Programming paradigm that can model AI problems (e.g, planning, combinatorics)
- Basic idea
  - Allow **non-stratified negation** and encode problem (**specification & "instance"**) as logic program rules
  - Solutions are so-called "**stable models**" of the program
- Semantics based on Possible Worlds and Stable Models
  - Given an answer set program P, there can be **multiple solutions (stable models, answer sets)**
  - Each model **M**: assignment of true/false value to propositions to make all formulas true (combinatorial)
  - Captures default reasoning, non-monotonic reasoning, constrained optimization, exceptions, weak exceptions, preferences, etc., in a natural way
- Finding stable models of answer set programs is not easy
  - Current systems CLASP, **DLV**, **clingo**, Smodels, etc., extremely sophisticated
  - Work by **first grounding** the program (= replacing variables with constants), suitably transforming it to a propositional theory whose models are stable models of the original program (contrast with "**lifted inference**" later )
  - These models are found using a SAT solver or solvers using similar ideas to SAT solvers

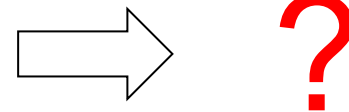
# Rules with Negation



- **Closed world assumption (CWA)** as used in standard Datalog:
  - If a fact does not logically follow from a set of Datalog clauses, then we conclude that the negation of this fact is true.
- Problem: CWA can lead to inconsistencies when negation is allowed in rule bodies. Intuition: we can have multiple **minimal** models ("Herbrand models")

Example 1:

boring(chess) :- boring(chess).



What are all the possible *\*minimal\** models:

- Herbrand universe  $U_P$  (set of all constants) = {chess}
- Herbrand base  $B_P$  (set of grounded atoms) = {boring(chess)}
- Interpretations (all subsets of  $B_P$ ) = { {}, {boring(chess)} }
- Model: interpretation that makes each ground instance of each rule true

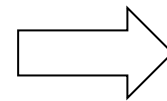
# Rules with Negation



- **Closed world assumption (CWA)** as used in standard Datalog:
  - If a fact does not logically follow from a set of Datalog clauses, then we conclude that the negation of this fact is true.
- Problem: CWA can lead to inconsistencies when negation is allowed in rule bodies. Intuition: we can have multiple **minimal** models ("Herbrand models")

Example 1:

boring(chess) :- boring(chess).



$M_1 = \{\}$

What are all the possible *\*minimal\** models:

$M_2 = \{\text{boring(chess)}\}$  is a model, but not minimal

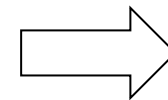
# Rules with Negation



- **Closed world assumption (CWA)** as used in standard Datalog:
  - If a fact does not logically follow from a set of Datalog clauses, then we conclude that the negation of this fact is true.
- Problem: CWA can lead to inconsistencies when negation is allowed in rule bodies. Intuition: we can have multiple **minimal** models ("Herbrand models")

Example 1:

boring(chess) :- boring(chess).



$M_1 = \{\}$

What are all the possible *\*minimal\** models:

$M_2 = \{\text{boring(chess)}\}$  is a model, but not minimal

Example 2:

boring(chess) :-  $\neg$ interesting(chess).



?

What are all the possible *\*minimal\** models:

Possible interpretations:  
 $\{\{\}, \{b(c)\}, \{i(c)\}, \{b(c), i(c)\}\}$



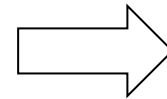


# Rules with Negation

- **Closed world assumption (CWA)** as used in standard Datalog:
  - If a fact does not logically follow from a set of Datalog clauses, then we conclude that the negation of this fact is true.
- Problem: CWA can lead to inconsistencies when negation is allowed in rule bodies. Intuition: we can have multiple **minimal** models ("Herbrand models")

Example 1:

boring(chess) :- boring(chess).



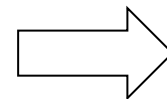
$M_1 = \{\}$

What are all the possible *\*minimal\** models:

$M_2 = \{\text{boring(chess)}\}$  is a model, but not minimal

Example 2:

boring(chess) :-  $\neg$ interesting(chess).



$M_1 = \{\text{boring(chess)}\}$

$M_2 = \{\text{interesting(chess)}\}$

What are all the possible *\*minimal\** models:

# Outline: T1-4: Datalog & ASP

- Datalog
- Answer Set Programming
  - Intro to Rules with Negation
  - Horn clauses and Logic Programming
  - Stable model semantics
  - An application and surprising complexity result
  - The power of Disjunctions
  - [A surprising application: automating hardness proofs: moved to T2-U4: Reverse Data Management]

# Horn clauses and logic programming



A **clause** is a disjunction of literals.

$$\bar{a} \vee \bar{b} \vee c \vee d$$

$$a \wedge b \Rightarrow c \vee d$$

$$1 \wedge a \wedge b \Rightarrow c \vee d \vee 0$$

A **Horn clause** has at most one positive (i.e. unnegated) literal.

?



Alfred Horn, ~1973  
[https://en.wikipedia.org/wiki/Alfred\\_Horn](https://en.wikipedia.org/wiki/Alfred_Horn)

# Horn clauses and logic programming

A **clause** is a disjunction of literals.

$$\bar{a} \vee \bar{b} \vee c \vee d$$

$$a \wedge b \Rightarrow c \vee d$$

$$1 \wedge a \wedge b \Rightarrow c \vee d \vee 0$$

A **Horn clause** has at most one positive (i.e. unnegated) literal.

$$\bar{a} \vee \bar{b} \vee c$$

c

$$\bar{a} \vee \bar{b}$$

?  
?  
?

**definite** clause (exactly one positive)

**unit** clause (**facts**, unconditional knowledge, empty body)

goal clause



Alfred Horn, ~1973  
[https://en.wikipedia.org/wiki/Alfred\\_Horn](https://en.wikipedia.org/wiki/Alfred_Horn)

# Horn clauses and logic programming

A **clause** is a disjunction of literals.

$$\bar{a} \vee \bar{b} \vee c \vee d \qquad a \wedge b \Rightarrow c \vee d$$
$$1 \wedge a \wedge b \Rightarrow c \vee d \vee 0$$

A **Horn clause** has at most one positive (i.e. unnegated) literal.

$\bar{a} \vee \bar{b} \vee c$	$a \wedge b \Rightarrow c$	definite clause (exactly one positive)
$c$	$1 \Rightarrow c$	unit clause (facts, unconditional knowledge, empty body)
$\bar{a} \vee \bar{b}$	$a \wedge b \Rightarrow 0$	goal clause



Alfred Horn, ~1973  
[https://en.wikipedia.org/wiki/Alfred\\_Horn](https://en.wikipedia.org/wiki/Alfred_Horn)

Universal quantification (everything above was propositional)

$$\neg \text{human}(X) \vee \text{mortal}(X)$$

?

?

Recall:  $\bar{a} = \neg a = !a = \sim a = \text{NOT } a$

Wolfgang Gatterbauer. Principles of scalable data management: <https://northeastern-datalab.github.io/cs7240/>

# Horn clauses and logic programming

A **clause** is a disjunction of literals.

$$\bar{a} \vee \bar{b} \vee c \vee d \qquad a \wedge b \Rightarrow c \vee d$$
$$1 \wedge a \wedge b \Rightarrow c \vee d \vee 0$$

A **Horn clause** has at most one positive (i.e. unnegated) literal.

$\bar{a} \vee \bar{b} \vee c$	$a \wedge b \Rightarrow c$	definite clause (exactly one positive)
$c$	$1 \Rightarrow c$	unit clause (facts, unconditional knowledge, empty body)
$\bar{a} \vee \bar{b}$	$a \wedge b \Rightarrow 0$	goal clause



Alfred Horn, ~1973  
[https://en.wikipedia.org/wiki/Alfred\\_Horn](https://en.wikipedia.org/wiki/Alfred_Horn)

Universal quantification (everything above was propositional)

$$\neg \text{human}(X) \vee \text{mortal}(X)$$
$$\forall X [\neg \text{human}(X) \vee \text{mortal}(X)] \qquad \forall X [\text{human}(X) \Rightarrow \text{mortal}(X)]$$

Recall:  $\bar{a} = \neg a = !a = \sim a = \text{NOT } a$

Wolfgang Gatterbauer. Principles of scalable data management: <https://northeastern-datalab.github.io/cs7240/>

# Datalog grammar

$P \in \text{program} = r_1 \cdot r_2 \cdot \dots \cdot r_n \cdot$

$r \in \text{rule} = a_0 \text{ :- } a_1, \dots, a_m \cdot$

$a \in \text{atom} = p(t_1, \dots, t_k)$

$t \in \text{term} = x \mid \text{"c"}$

$p = \text{set of predicate symbols}$

$x = \text{set of variable symbols}$

$c = \text{set of constants}$

a ground atom has only constants as terms (no variables)

# Concepts from logic programming



- P: Program ?
- $U_p$ : Herbrand universe (or Herbrand domain or vocabulary) ?
- $B_p$ : Herbrand base (or alphabet) ?
- I: Interpretation (or database instance or dataset) ?
- M: Model of P ?
- A model is minimal if ?



Jacques Herbrand, 1931  
[https://en.wikipedia.org/wiki/Jacques\\_Herbrand](https://en.wikipedia.org/wiki/Jacques_Herbrand)



# Concepts from logic programming



- P: Program
  - set of facts (assertions) and rules (sentences that allow to infer new facts from existing ones)
- $U_p$ : Herbrand universe (or Herbrand domain or vocabulary)
- $B_p$ : Herbrand base (or alphabet)
- I: Interpretation (or database instance or dataset)
- M: Model of P
- A model is minimal if



Jacques Herbrand, 1931  
[https://en.wikipedia.org/wiki/Jacques\\_Herbrand](https://en.wikipedia.org/wiki/Jacques_Herbrand)

# Concepts from logic programming



- P: Program
  - set of facts (assertions) and rules (sentences that allow to infer new facts from existing ones)
- $U_p$ : Herbrand universe (or Herbrand domain or vocabulary)
  - set of all constants (variable-free terms) appearing in P (cp. with active domain interpretation)
- $B_p$ : Herbrand base (or alphabet)
  - set of all ground atoms (variable-free) constructible with predicates from P and terms from  $U_p$
- I: Interpretation (or database instance or dataset)
- M: Model of P
- A model is minimal if

# Concepts from logic programming



- **P: Program**
  - set of **facts** (assertions) and **rules** (sentences that allow to infer new facts from existing ones)
- **$U_p$ : Herbrand universe** (or Herbrand domain or vocabulary)
  - set of all **constants** (variable-free terms) appearing in P (cp. with **active domain** interpretation)
- **$B_p$ : Herbrand base** (or alphabet)
  - set of all **ground atoms** (variable-free) constructible with predicates from P and terms from  $U_p$
- **I: Interpretation** (or database instance or dataset)
  - any subset of  $B_p$
- **M: Model** of P
  - an **interpretation** that makes each **ground instance** of each rule in P true (a ground instance of a rule is obtained by replacing all variables in the rule by elements from  $U_p$ )
- A model is **minimal** if **?**

# Concepts from logic programming



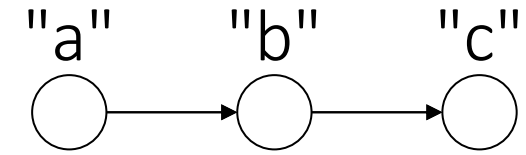
- **P: Program**
  - set of **facts** (assertions) and **rules** (sentences that allow to infer new facts from existing ones)
- **$U_p$ : Herbrand universe** (or Herbrand domain or vocabulary)
  - set of all **constants** (variable-free terms) appearing in P (cp. with **active domain** interpretation)
- **$B_p$ : Herbrand base** (or alphabet)
  - set of all **ground atoms** (variable-free) constructible with predicates from P and terms from  $U_p$
- **I: Interpretation** (or database instance or dataset)
  - any subset of  $B_p$
- **M: Model** of P
  - an **interpretation** that makes each **ground instance** of each rule in P true (a ground instance of a rule is obtained by replacing all variables in the rule by elements from  $U_p$ )
- A model is **minimal** if it does not properly contain any other model

# Herbrand, interpretations, models

Program P

```
arc("a","b"). arc("b","c").  
path(x,y) :- arc(x,y).  
path(x,y) :- arc(x,z), path(z,y).
```

Interpretation



Herbrand universe  $U_P$



Herbrand base  $B_P$

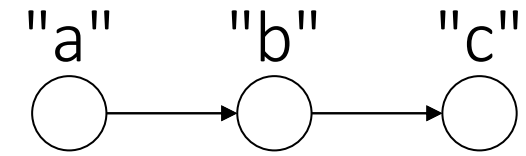


# Herbrand, interpretations, models

Program P

```
arc("a","b"). arc("b","c").  
path(x,y) :- arc(x,y).  
path(x,y) :- arc(x,z), path(z,y).
```

Interpretation



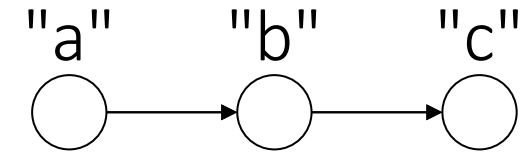
Herbrand universe  $U_P$

$\{"a", "b", "c"\}$

Herbrand base  $B_P$



# Herbrand, interpretations, models



Program P

```
arc("a","b"). arc("b","c").  
path(x,y) :- arc(x,y).  
path(x,y) :- arc(x,z), path(z,y).
```

Interpretation



Herbrand universe  $U_P$

$\{"a", "b", "c"\}$

Herbrand base  $B_P$

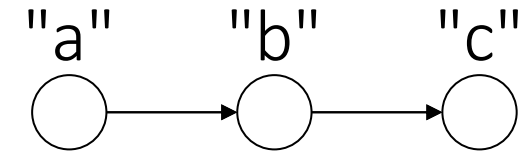
$|B_P| = 18$

```
{ arc("a","a"). path("a","a").  
  arc("a","b"). path("a","b").  
  arc("a","c"). path("a","c").  
  ⋮ ⋮  
  arc("c","b"). path("c","b").  
  arc("c","c"). path("c","c"). }
```

Contains a wild mix of

- explicit facts that we know (IDB) like  $arc("a","b")$ ,
- facts that can be inferred (EDB) like  $path("a","b")$ , and
- facts that cannot be inferred like  $path("c","a")$  or  $arc("a","a")$

# Herbrand, interpretations, models



Program P

```
arc("a","b"). arc("b","c").  
path(x,y) :- arc(x,y).  
path(x,y) :- arc(x,z), path(z,y).
```

Interpretation *one of many interpretations*

```
arc("a","b"). arc("b","c"). arc("b","a").  
path("a","b"). path("b","c"). path("b","a").  
path("a","c"). path("a","a").
```

Herbrand universe  $U_P$

$\{"a", "b", "c"\}$

*Is this interpretation a model?*



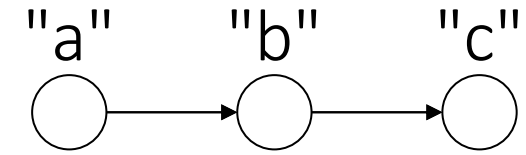
Herbrand base  $B_P$

$|B_P| = 18$

```
{ arc("a","a"). path("a","a").  
  arc("a","b"). path("a","b").  
  arc("a","c"). path("a","c").  
  ⋮ ⋮  
  arc("c","b"). path("c","b").  
  arc("c","c"). path("c","c"). }
```



# Herbrand, interpretations, models



Program P

```
arc("a","b"). arc("b","c").  
path(x,y) :- arc(x,y).  
path(x,y) :- arc(x,z), path(z,y).
```

Herbrand universe  $U_P$

$\{"a", "b", "c"\}$

Herbrand base  $B_P$

$|B_P| = 18$

```
{ arc("a","a"). path("a","a").  
  arc("a","b"). path("a","b").  
  arc("a","c"). path("a","c").  
  ⋮ ⋮  
  arc("c","b"). path("c","b").  
  arc("c","c"). path("c","c"). }
```

Interpretation *one of many interpretations*

```
arc("a","b"). arc("b","c"). arc("b","a").  
path("a","b"). path("b","c"). path("b","a").  
path("a","c"). path("a","a").
```

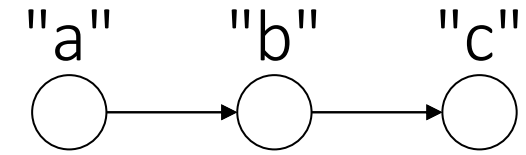
*Is this interpretation a model?*

No! There is a rule for which there is a ground instance that is not true in this interpretation

```
x → "b", y → "b", z → "a":  
path("b","b") :- arc("b","a"), path("a","b").
```

*This is an example grounding of a rule.*

# Herbrand, interpretations, models



Program P

```
arc("a","b"). arc("b","c").  
path(x,y) :- arc(x,y).  
path(x,y) :- arc(x,z), path(z,y).
```

Interpretation

```
arc("a","b"). arc("b","c"). arc("b","a").  
path("a","b"). path("b","c"). path("b","a").  
path("a","c"). path("a","a"). path("b","b").
```

Herbrand universe  $U_P$

$\{"a", "b", "c"\}$

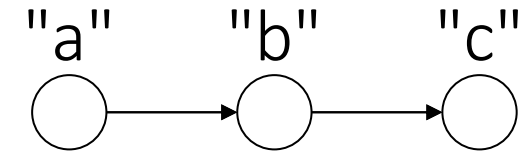
Herbrand base  $B_P$

$|B_P| = 18$

```
{ arc("a","a"). path("a","a").  
  arc("a","b"). path("a","b").  
  arc("a","c"). path("a","c").  
  ⋮ ⋮  
  arc("c","b"). path("c","b").  
  arc("c","c"). path("c","c"). }
```

Is this new interpretation a model? ?

# Herbrand, interpretations, models



Program P

```
arc("a","b"). arc("b","c").  
path(x,y) :- arc(x,y).  
path(x,y) :- arc(x,z), path(z,y).
```

Interpretation

```
arc("a","b"). arc("b","c"). arc("b","a").  
path("a","b"). path("b","c"). path("b","a").  
path("a","c"). path("a","a"). path("b","b").
```

Herbrand universe  $U_P$

$\{"a", "b", "c"\}$

Herbrand base  $B_P$

$|B_P| = 18$

```
{ arc("a","a"). path("a","a").  
  arc("a","b"). path("a","b").  
  arc("a","c"). path("a","c").  
  ⋮ ⋮  
  arc("c","b"). path("c","b").  
  arc("c","c"). path("c","c"). }
```

Is this new interpretation a model?

Yes!

Is this model minimal?



# Herbrand, interpretations, models

Program P

```
arc("a","b"). arc("b","c").  
path(x,y) :- arc(x,y).  
path(x,y) :- arc(x,z), path(z,y).
```

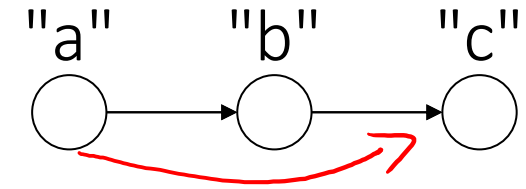
Herbrand universe  $U_P$

$\{"a", "b", "c"\}$

Herbrand base  $B_P$

$|B_P| = 18$

$\{$ arc("a","a").	path("a","a").
arc("a","b").	path("a","b").
arc("a","c").	path("a","c").
$\vdots$	$\vdots$
arc("c","b").	path("c","b").
arc("c","c").	path("c","c").
$\}$	



Interpretation

```
arc("a","b"). arc("b","c"). arc("b","a").  
path("a","b"). path("b","c"). path("b","a").  
path("a","c"). path("a","a"). path("b","b").
```

Is this new interpretation a model?

Yes!

Is this model minimal?

No! There is a properly contained model

# Herbrand, interpretations, models

## Program P

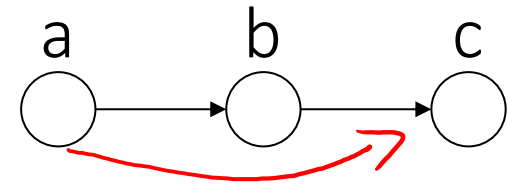
```
arc(a,b). arc(b,c).  
path(X,Y) :- arc(X,Y).  
path(X,Y) :- arc(X,Z), path(Z,Y).
```

## Herbrand universe $U_P$

{a, b, c}

## Herbrand base $B_P$ $|B_P| = 18$

<code>arc(a,a).</code>	<code>path(a,a).</code>
<code>arc(a,b).</code>	<code>path(a,b).</code>
<code>arc(a,c).</code>	<code>path(a,c).</code>
<code>⋮</code>	<code>⋮</code>
<code>arc(c,b).</code>	<code>path(c,b).</code>
<code>arc(c,c).</code>	<code>path(c,c).</code>



## Interpretation

```
arc(a,b). arc(b,c). arc(b,a).  
path(a,b). path(b,c). path(b,a).  
path(a,c). path(a,a). path(b,b).
```

*Convention in ASP:*

- Variables begin with upper-case
- constants begin with lower-case

Is this new interpretation a model?

Yes!

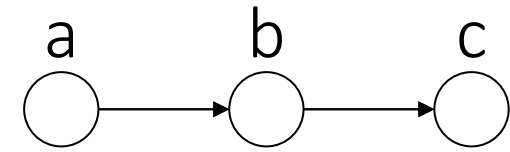
*Is this model minimal?*

No! There is a properly contained model

# Evaluating ASP's with Clingo

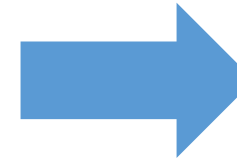
paths1.txt

```
arc(a,b). arc(b,c).  
path(X,Y) :- arc(X,Y).  
path(X,Y) :- arc(X,Z), path(Z,Y).
```



paths1

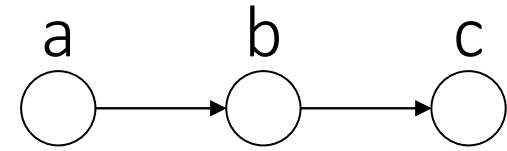
clingo paths1.txt



# Evaluating ASP's with Clingo

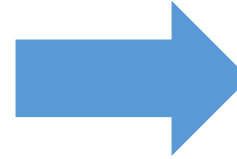
paths1.txt

```
arc(a,b). arc(b,c).  
path(X,Y) :- arc(X,Y).  
path(X,Y) :- arc(X,Z), path(Z,Y).
```



paths1

```
clingo paths1.txt
```



```
Solving...  
Answer: 1  
arc(a,b) arc(b,c) path(a,b)  
path(b,c) path(a,c)  
SATISFIABLE
```

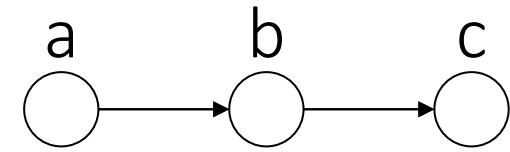
*Shows all predicates, including EDBs*

# Evaluating ASP's with Clingo

paths2.txt

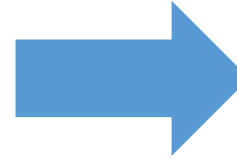
```
arc(a,b). arc(b,c).  
path(X,Y) :- arc(X,Y).  
path(X,Y) :- arc(X,Z), path(Z,Y).  
#show path/2.
```

Show only the facts in the predicate named "path" with arity "2"



paths2

```
clingo paths2.txt
```



```
Solving...  
Answer: 1  
path(a,b) path(b,c) path(a,c)  
SATISFIABLE
```



# Outline: T1-4: Datalog & ASP

- Datalog
- Answer Set Programming
  - Intro to Rules with Negation
  - Horn clauses and Logic Programming
  - Stable model semantics
  - An application and surprising complexity result
  - The power of Disjunctions
  - [A surprising application: automating hardness proofs: moved to T2-U4: Reverse Data Management]

# Semantics: Informally

- Informally, a **stable model**  $M$  of a ground program  $P$  is a set of ground atoms such that

1. Every rule is satisfied:

i.e., for any rule in  $P$

$$h \text{ :- } a_1, \dots, a_m, \neg b_1, \dots, \neg b_n.$$

if each atom  $a_i$  is satisfied ( $a_i$ 's are in  $M$ ) and no atom  $b_i$  is satisfied (i.e. **no**  $b_i$  is in  $M$ ), then  $h$  is in  $M$ .

2. Every  $h \in M$  can be derived from a rule by a "**non-circular reasoning**" (informal for: we are looking for **minimal models**, or there is some "**derivation provenance**")

# Semantics: "non-circular" more formally

Idea: Guess a model  $M$  (= a set of atoms). Then verify  $M$  is the exact set of atoms that "can be derived" under standard minimal model semantics on  $P^M$  on a modified positive program  $P^M$  (called "the **reduct**") derived from  $P$  as follows:

1. Create all possible groundings of the rules of program  $P$
2. Delete all grounded rules that contradict  $M$

~~$h :- a_1, \dots, a_m, \neg b_1, \dots, \neg b_n.$~~       if some  $b_i \in M$

3. In remaining grounded rules, delete all negative literals

~~$h :- a_1, \dots, a_m, \neg b_1, \dots, \neg b_n.$~~       if **no**  $b_i \in M$

$M$  is a **stable model** of  $P$  iff  $M$  is the least model of  $P^M$

# Semantics: "non-circular" more concisely

Idea: Guess a model  $M$  (= a set of atoms). Then verify  $M$  is the exact set of atoms that "can be derived" under standard minimal model semantics on  $P^M$  on a modified positive program  $P^M$  (called "the **reduct**") derived from  $P$  as follows:

The **reduct** of  $P$  w.r.t  $M$  is:

$$P^M = \left\{ \begin{array}{l} h \text{ :- } a_1, \dots, a_m. \\ h \text{ :- } a_1, \dots, a_m, \neg b_1, \dots, \neg b_n. \end{array} \mid \begin{array}{l} \text{grounding of } P \wedge \text{no } b_i \in M \end{array} \right\}$$

$M$  is a **stable model** of  $P$  iff  $M$  is the least model of  $P^M$

# Examples



"a" is a proposition that is either true or false

P1:

a :- a.

M={a}

Is M a stable model of P1?

?

# Examples



"a" is a proposition that is either true or false

P1:

a :- a.

~~M={a}~~

not a stable model (not minimal, derivation of "a" is based on circular reasoning: {a} is not least model of a :- a)

?

What is a stable model?

# Examples



"a" is a proposition that is either true or false. Intuitively a predicate with zero arguments (arity 0)

P1:  $a :- a.$

~~$M = \{a\}$~~

not a stable model (not minimal, derivation of "a" is based on circular reasoning:  $\{a\}$  is not least model of  $a :- a$ )

$M = \{\}$

stable model

P2:  $a :- \text{not } b.$

?

Interpretations:

$\{ \{a\}, \{b\}, \{\}, \{a,b\} \}$

# Examples



"a" is a proposition that is either true or false

P1:  $a :- a.$

~~$M = \{a\}$~~  not a stable model (not minimal, derivation of "a" is based on circular reasoning:  $\{a\}$  is not least model of  $a :- a$ )

$M = \{\}$  stable model

P2:  $a :- \text{not } b.$

?

Interpretations:

$\{ \{a\}, \{b\}, \{\}, \{a,b\} \}$

~~$a :- \text{not } b.$~~

$\longrightarrow \{\}$



# Examples



P1:  $a :- a.$  "a" is a proposition that is either true or false

$a :- a.$

~~$M = \{a\}$~~

not a stable model (not minimal, derivation of "a" is based on circular reasoning:  $\{a\}$  is not least model of  $a :- a$ )

$M = \{\}$

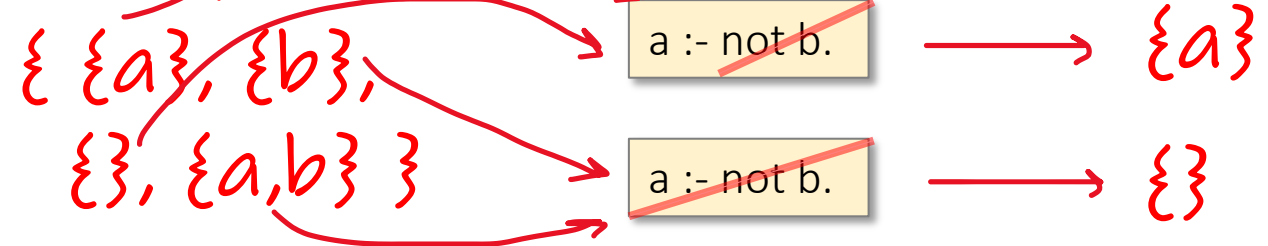
stable model

P2:  $a :- \text{not } b.$

$a :- \text{not } b.$

?

Interpretations:





# Examples

"a" is a proposition that is either true or false

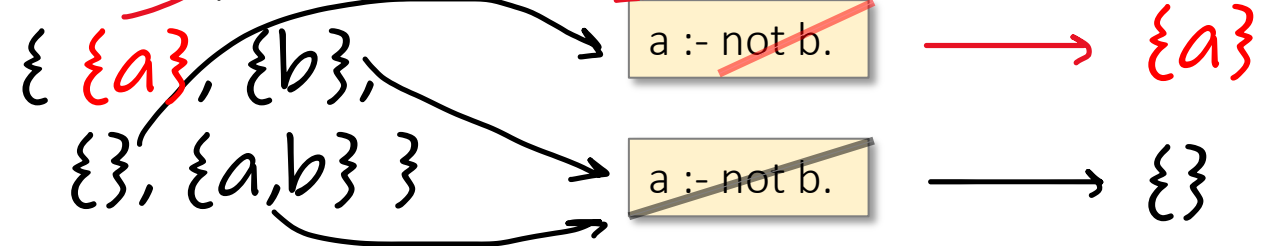
P1:  $a :- a.$

~~$M = \{a\}$~~  not a stable model (not minimal, derivation of "a" is based on circular reasoning:  $\{a\}$  is not least model of  $a :- a$ )

$M = \{\}$  stable model

P2:  $a :- \text{not } b.$

Interpretations:



only stable model (compare to the the earlier chess example)

P3:  $a :- \text{not } a.$

$\{ \{\}, \{a\} \}$



# Examples



P1:  $a :- a.$  *"a" is a proposition that is either true or false*

$a :- a.$

~~$M = \{a\}$~~  *not a stable model (not minimal, derivation of "a" is based on circular reasoning:  $\{a\}$  is not least model of  $a :- a$ )*

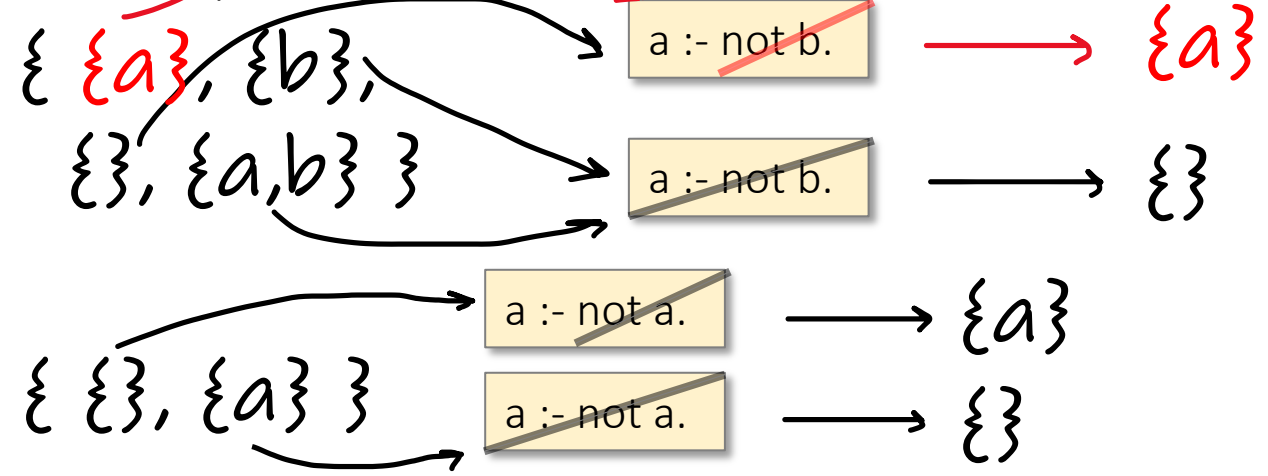
$M = \{\}$  *stable model*

P2:  $a :- \text{not } b.$

$M = \{a\}$   
*only stable model*

P3:  $a :- \text{not } a.$

~~Interpretations:~~



*has no stable model (cp. to earlier "Box(x) :- Item(x), ¬Box(x).")*

# Examples



P4: a :- not b.  
b :- not a.



# Examples



P4:  $a \text{ :- not } b.$   
 $b \text{ :- not } a.$

$M_1 = \{a\}$

$M_2 = \{b\}$

*two stable models*

*How can you "prove" that  $M_1$  is a stable model?*

*?*

# Examples



P4:  
a :- not b.  
b :- not a.

~~a :- not b.~~  
~~b :- not a.~~

$M_1 = \{a\}$

$M_2 = \{b\}$

*two stable models*

# Examples



P4:  
a :- not b.  
b :- not a.

~~a :- not b.~~  
~~b :- not a.~~

$M_1 = \{a\}$

$M_2 = \{b\}$

*two stable models*

P5:  
a :- not b.  
b :- not a.  
a :- not a.

*? { {}, {a}, {b}, {a,b} }*

# Examples



P4:  
a :- not b.  
b :- not a.

~~a :- not b.~~  
~~b :- not a.~~

$M_1 = \{a\}$

$M_2 = \{b\}$

*two stable models*

P5:  
a :- not b.  
b :- not a.  
a :- not a.

$M = \{a\}$

*only stable model*

*How can you "prove" that  
M is a stable model?*

*?*



# Examples



P4:  
a :- not b.  
b :- not a.

~~a :- not b.~~  
~~b :- not a.~~

$M_1 = \{a\}$

$M_2 = \{b\}$

*two stable models*

P5:  
a :- not b.  
b :- not a.  
a :- not a.

~~a :- not b.~~  
~~b :- not a.~~  
~~a :- not a.~~

$M = \{a\}$

*only stable model*

# Evaluating ASP's with Clingo



p4, p5

p4.txt

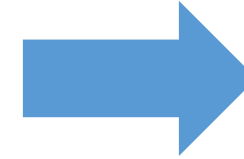
```
a :- not b.  
b :- not a.
```

$M_1 = \{a\}$

$M_2 = \{b\}$

*print all stable models (not just one)*

```
clingo p4.txt -n 0
```



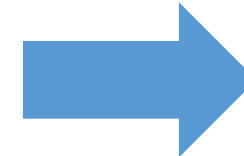
```
Answer: 1  
b  
Answer: 2  
a  
SATISFIABLE
```

p5.txt

```
a :- not b.  
b :- not a.  
a :- not a.
```

$M = \{a\}$

```
clingo p5.txt -n 0
```



```
Answer: 1  
a  
SATISFIABLE
```

# Topic 1: Data models and query languages

## Unit 4: Datalog

### Lecture 12

Wolfgang Gatterbauer

CS7240 Principles of scalable data management (sp24)

<https://northeastern-datalab.github.io/cs7240/sp24/>

2/23/2024

# Pre-class conversations

- Last class summary
- Scribe correction: I make a pass on Monday (before next class)
- Project discussions (in class and after)
- Faculty candidates (THU Feb 29, WED March 20)
  
- Today:
  - Stable models, ASP
  - Later: Beyond NP with ASP (including 3-colorability in 2 lines)

# Outline: T1-4: Datalog & ASP

- Datalog
- Answer Set Programming
  - Intro to Rules with Negation
  - Horn clauses and Logic Programming
  - Stable model semantics
  - An application and surprising complexity result
  - The power of Disjunctions
  - [A surprising application: automating hardness proofs: moved to T2-U4: Reverse Data Management]

# Discussion from last time



P2:  $a \text{ :- not } b.$

$M = \{a\}$  is the only stable model

$\text{not } b \Rightarrow a$

$b \vee a$

$a \vee b$

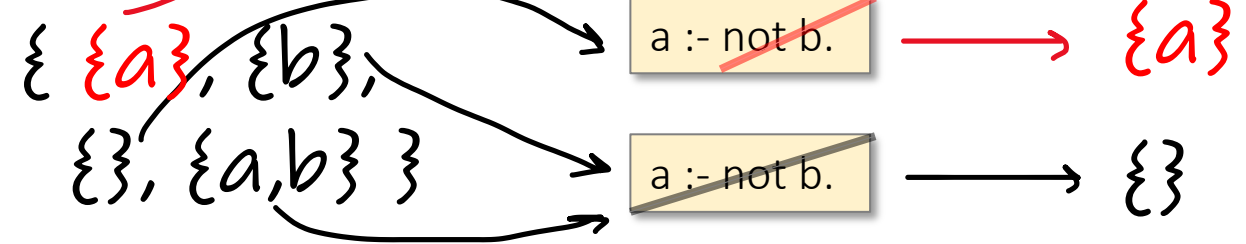
$\text{not } a \Rightarrow b$

Logically  
equivalent

P6:  $b \text{ :- not } a.$

$M = \{b\}$  is the only stable model

Interpretations:



?

"Why should syntax determine the semantics?"

# Discussion from last time



P2:  $a \text{ :- not } b.$

$M = \{a\}$  is the only stable model

$\text{not } b \Rightarrow a$

$b \vee a$

$a \vee b$

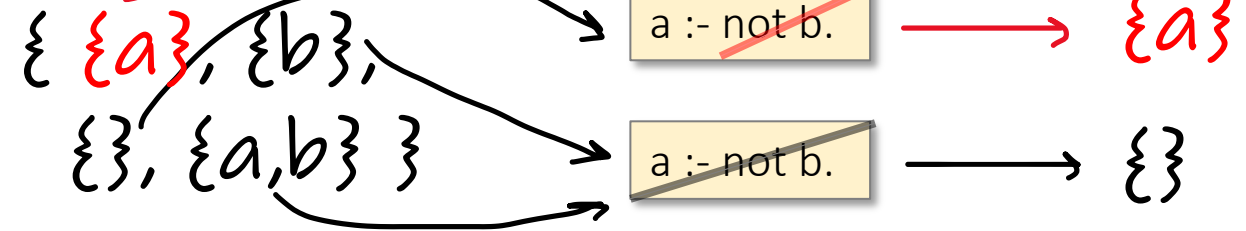
$\text{not } a \Rightarrow b$

Logically  
equivalent

P6:  $b \text{ :- not } a.$

$M = \{b\}$  is the only stable model

Interpretations:



$a \text{ :- } a.$

$a \Rightarrow a$

$\bar{a} \vee a$

recall that we want to have  
the least model in standard  
Datalog (non-circular)

# What do empty bodies or heads mean in ASP?

a :- b, not c.

Think of the head as a disjunction, body as conjunction

$$0 \vee a \leftarrow 1 \wedge b \wedge \neg c$$

"Disjunctive Logic Programming": disjunctions in the head

Empty body:

a.

?

Empty head:

:- b, not c.

?



# What do empty bodies or heads mean in ASP?

a :- b, not c.

Think of the head as a disjunction, body as conjunction

$$0 \vee a \leftarrow 1 \wedge b \wedge \neg c$$

"Disjunctive Logic Programming": disjunctions in the head

Empty body:

a.

$$a \leftarrow 1$$

Empty body describes a fact:  
"a" needs to be true.  
Also in Datalog

Empty head:

:- b, not c.

?

# What do empty bodies or heads mean in ASP?

$a \text{ :- } b, \text{ not } c.$

Think of the head as a disjunction, body as conjunction

$$0 \vee a \leftarrow 1 \wedge b \wedge \neg c$$

"Disjunctive Logic Programming": disjunctions in the head

Empty body:

$a.$

$$a \leftarrow 1$$

Empty body describes a fact:  
"a" needs to be true.  
Also in Datalog

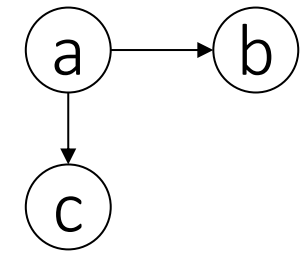
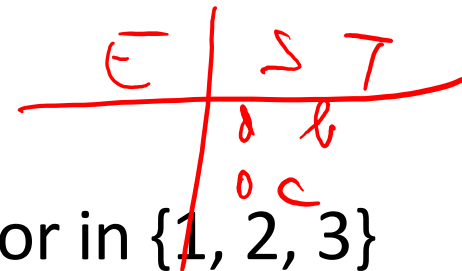
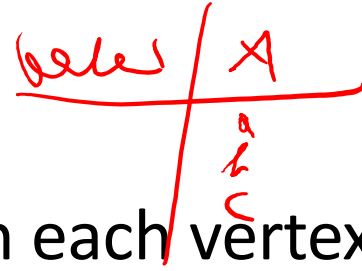
Empty head:

$\text{ :- } b, \text{ not } c.$

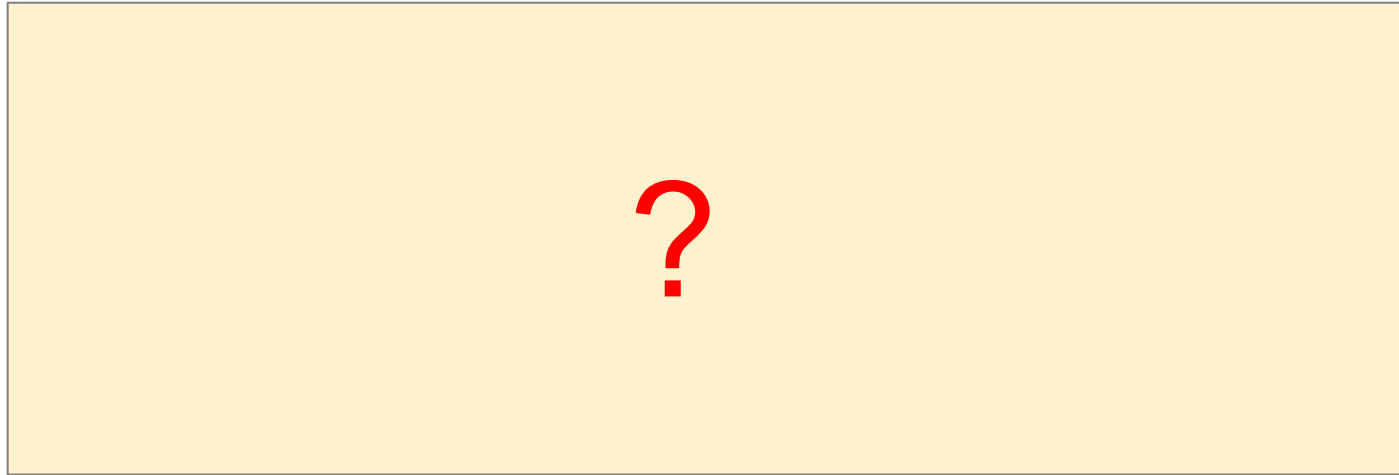
$$0 \leftarrow b \wedge \neg c$$

Empty heads describes a constraint: "b and not c" must not be true in any model. Empty head describes a condition in the body which leads to contradiction (false)

# 3-colorability



Q: For a graph  $(V, E)$  assign each vertex a color in  $\{1, 2, 3\}$  such that no adjacent vertices have the same color.



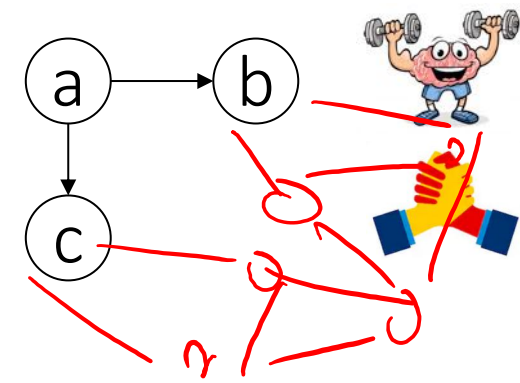
Convention in ASP:  
Capital letters are variables, lower case letters constants

Cp.  $edge(x,a)$   
vs.  $edge(x,"a")$

# 3-colorability

edges	X
	a
	b
	c

E	S	T
	a	b
	a	c



Q: For a graph  $(V, E)$  assign each vertex a color in  $\{1, 2, 3\}$  such that no adjacent vertices have the same color.

**EDB (facts)**

`vertex(a). vertex(b). vertex(c). edge(a,b). edge(a,c).`

**IDB**

Convention in ASP:  
Capital letters are variables, lower case letters constants

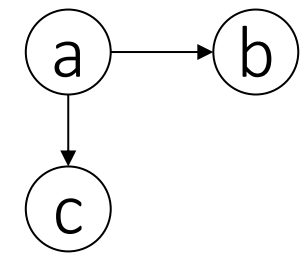
Every vertex needs to have a color ?

Vertices from an edge can't have same color ?

Cp. `edge(x,a)`  
vs. `edge(x,"a")`

# 3-colorability

Q: For a graph  $(V, E)$  assign each vertex a color in  $\{1, 2, 3\}$  such that no adjacent vertices have the same color.



*EDB (facts)*

`vertex(a). vertex(b). vertex(c). edge(a,b). edge(a,c).`

*IDB*

`color(V,1) :- not color(V,2), not color(V,3), vertex(V).`

`color(V,2) :- not color(V,3), not color(V,1), vertex(V).`

`color(V,3) :- not color(V,1), not color(V,2), vertex(V).`

*Convention in ASP:  
Capital letters are  
variables, lower case  
letters constants*

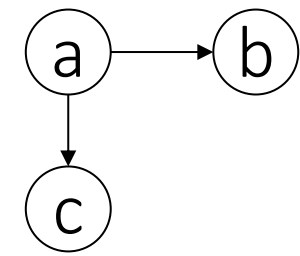
*Every vertex needs to have a color*

*Vertices from an edge can't have same color ?*

*Cp. `edge(x,a)`  
vs. `edge(x,"a")`*

# 3-colorability

Q: For a graph  $(V, E)$  assign each vertex a color in  $\{1, 2, 3\}$  such that no adjacent vertices have the same color.



*EDB (facts)*

`vertex(a). vertex(b). vertex(c). edge(a,b). edge(a,c).`

*IDB*

`color(V,1) :- not color(V,2), not color(V,3), vertex(V).`

`color(V,2) :- not color(V,3), not color(V,1), vertex(V).`

`color(V,3) :- not color(V,1), not color(V,2), vertex(V).`

*Convention in ASP:  
Capital letters are  
variables, lower case  
letters constants*

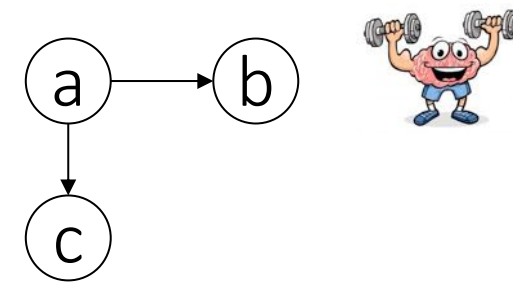
*Cp. `edge(x,a)`  
vs. `edge(x,"a")`*

*Vertices from an edge can't have same color ?*

*"`:- edge(a,x), edge(b,x)`" means that "a" and "b" don't share a neighbor*

# 3-colorability

Q: For a graph  $(V, E)$  assign each vertex a color in  $\{1, 2, 3\}$  such that no adjacent vertices have the same color.



*EDB (facts)*

```
vertex(a). vertex(b). vertex(c). edge(a,b). edge(a,c).
```

*IDB*

```
color(V,1) :- not color(V,2), not color(V,3), vertex(V).
```

```
color(V,2) :- not color(V,3), not color(V,1), vertex(V).
```

```
color(V,3) :- not color(V,1), not color(V,2), vertex(V).
```

```
:- edge(V,U), color(V,C), color(U,C).
```

*Convention in ASP:  
Capital letters are  
variables, lower case  
letters constants*

*constraint*

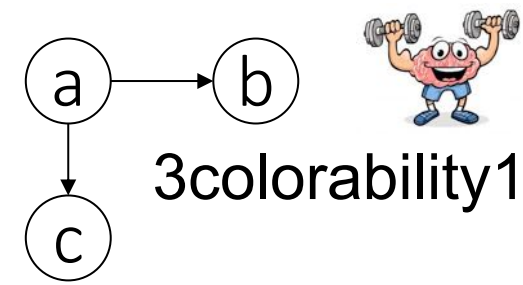
*Vertices from an edge can't have same color*

*":- edge(a,X), edge(b,X)" means that "a" and "b" don't share a neighbor*

*Cp. edge(X,a)  
vs. edge(x,"a")*

# 3-colorability with Clingo

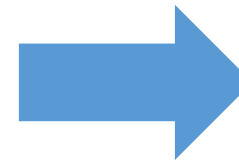
clingo 3colorability1.txt



3colorability1.txt

```
vertex(a). vertex(b). vertex(c). edge(a,b). edge(a,c).  
color(V,1) :- not color(V,2), not color(V,3), vertex(V).  
color(V,2) :- not color(V,3), not color(V,1), vertex(V).  
color(V,3) :- not color(V,1), not color(V,2), vertex(V).  
:- edge(V,U), color(V,C), color(U,C).
```

Returns a stable model if it exists. Since there is a stable model, the problem is "satisfiable".



```
Answer: 1  
vertex(a) vertex(b) vertex(c) edge(a,b) edge(a,c) color(a,1)  
color(b,3) color(c,3)  
SATISFIABLE
```



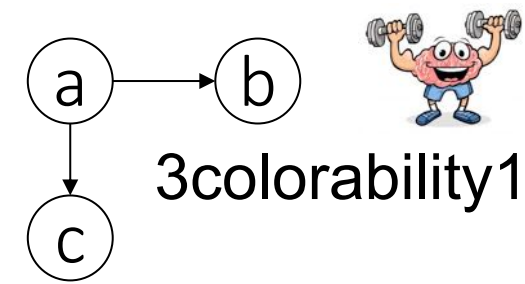
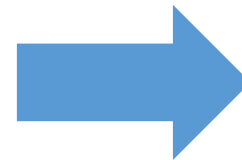
# 3-colorability with Clingo

```
clingo 3colorability1.txt -n 0
```

*print all stable models (not just one)*

3colorability1.txt

```
vertex(a). vertex(b). vertex(c). edge(a,b). edge(a,c).  
color(V,1) :- not color(V,2), not color(V,3), vertex(V).  
color(V,2) :- not color(V,3), not color(V,1), vertex(V).  
color(V,3) :- not color(V,1), not color(V,2), vertex(V).  
:- edge(V,U), color(V,C), color(U,C).
```



```
Answer: 1  
vertex(a) vertex(b) vertex(c) edge(a,b) edge(a,c)  
color(a,1) color(b,3) color(c,3)  
Answer: 2  
vertex(a) vertex(b) vertex(c) edge(a,b) edge(a,c)  
color(a,1) color(b,3) color(c,2)  
Answer: 3  
vertex(a) vertex(b) vertex(c) edge(a,b) edge(a,c)  
color(a,1) color(b,2) color(c,3)  
...  
Answer: 11  
vertex(a) vertex(b) vertex(c) edge(a,b) edge(a,c)  
color(a,3) color(b,2) color(c,2)  
Answer: 12  
vertex(a) vertex(b) vertex(c) edge(a,b) edge(a,c)  
color(a,3) color(b,1) color(c,2)  
SATISFIABLE
```

# Outline: T1-4: Datalog & ASP

- Datalog
- Answer Set Programming
  - Intro to Rules with Negation
  - Horn clauses and Logic Programming
  - Stable model semantics
  - An application and surprising complexity result
  - The power of Disjunctions
  - [A surprising application: automating hardness proofs: moved to T2-U4: Reverse Data Management]



# Data Conflict Resolution Using Trust Mappings

Wolfgang Gatterbauer & Dan Suciu

June 8, Sigmod 2010

Paper: <https://doi.org/10.1145/1807167.1807193>

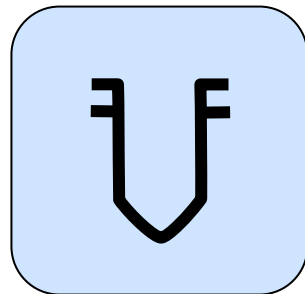
Full version with proofs: <http://arxiv.org/pdf/1012.3320>

Old Project web page: <https://db.cs.washington.edu/projects/beliefdb/>

# Problem in social data: often no single ground truth

## The Indus Script\*

What is the origin  
of this glyph?



: cow

Bob



: ship hull

Alice



: jar

Charlie

\* Current state of knowledge on the Indus Script: Rao et al., Science 324(5931):1165, May 2009

Gatterbauer, Suciu. Data Conflict Resolution Using Trust Mappings, SIGMOD 2010, <https://doi.org/10.1145/1807167.1807193>

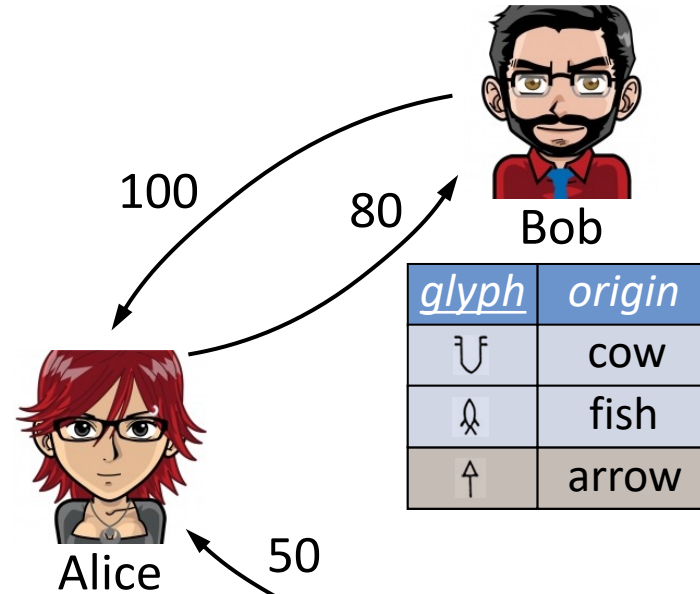
# Background: Conflicts & Trust in Community DBs

## Conflicting beliefs

<i>glyph</i>	<i>origin</i>
⌣	ship hull
⌣	cow
⌣	jar
⌘	fish
⌘	knot
↑	arrow

“Beliefs”: annotated  
(key,value) pairs

Alice  
Bob  
Charlie  
Bob  
Charlie  
Charlie



<i>glyph</i>	<i>origin</i>
⌣	cow
⌘	fish
↑	arrow

## Trust mappings

Alice ← Bob	(100)
Alice ← Charlie	(50)
Bob ← Alice	(80)

“Explicit belief”

“Implicit belief”

Priorities

<i>glyph</i>	<i>origin</i>
⌣	ship hull
⌘	fish
↑	arrow



<i>glyph</i>	<i>origin</i>
⌣	jar
⌘	knot
↑	arrow

## Recent work on community databases:

Taylor & Ives [SIGMOD'06]

Green et al. [VLDB'07]

Kot & Koch [VLDB'09]

GBKS [VLDB'09]

Orchestra

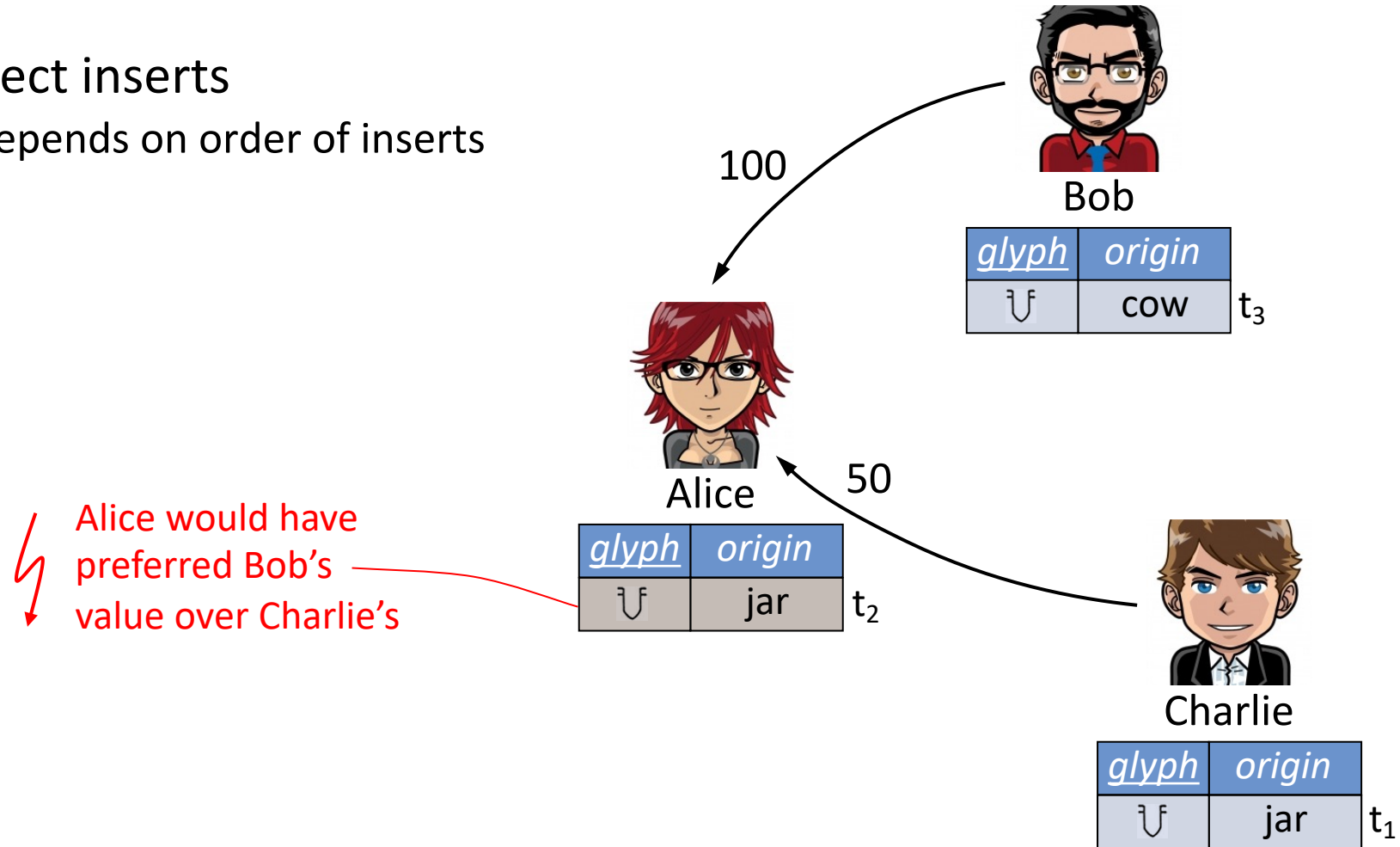
Youtopia

BeliefDB

# Limitations of previous work: transient effects

## 1. Incorrect inserts

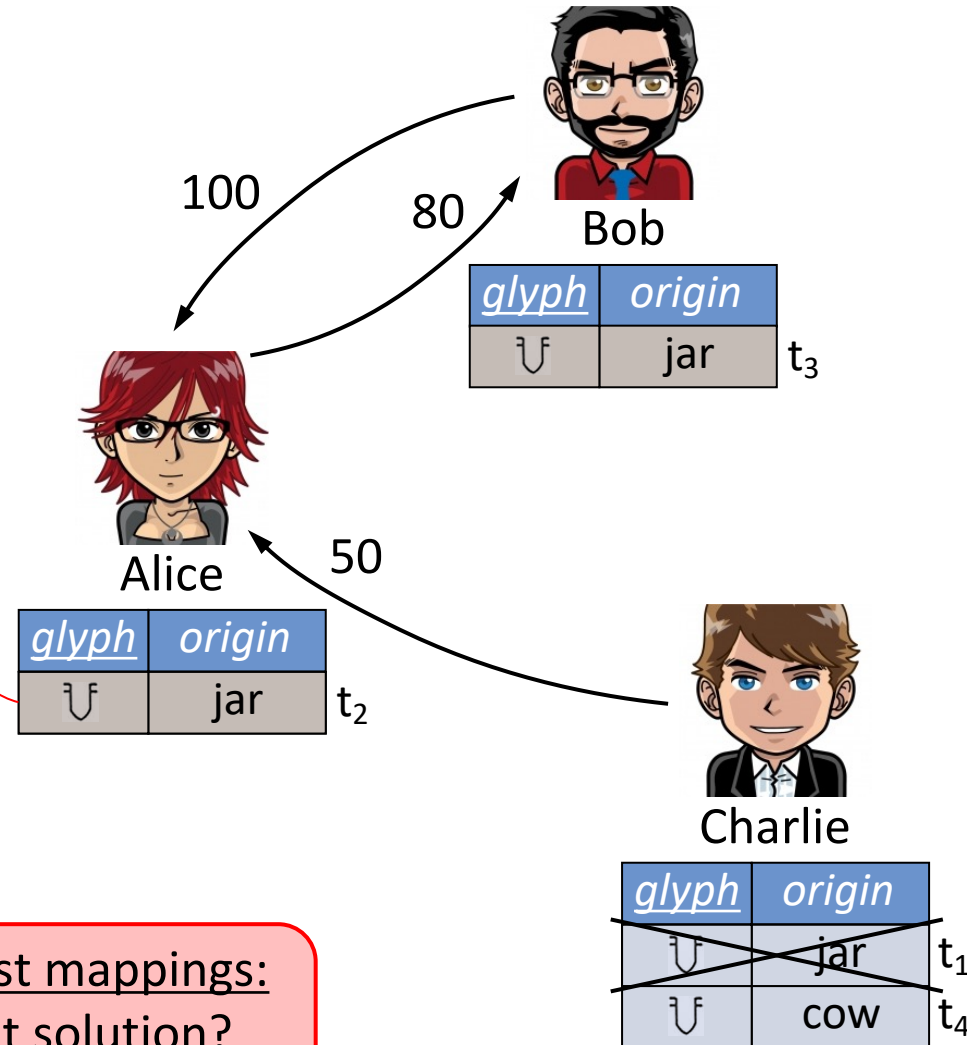
- Value depends on order of inserts



# Limitations of previous work: transient effects

1. Incorrect inserts
  - Value depends on order of inserts
2. Incorrect updates
  - Mis-handling of revokes

⚡ Alice and Bob trust each other most, but have lost “justification” for their beliefs



## Automatic conflict resolution with trust mappings:

1. How to define a globally consistent solution?
2. How to calculate it efficiently?
- (3. Several extensions)

GS [Sigmod'10]

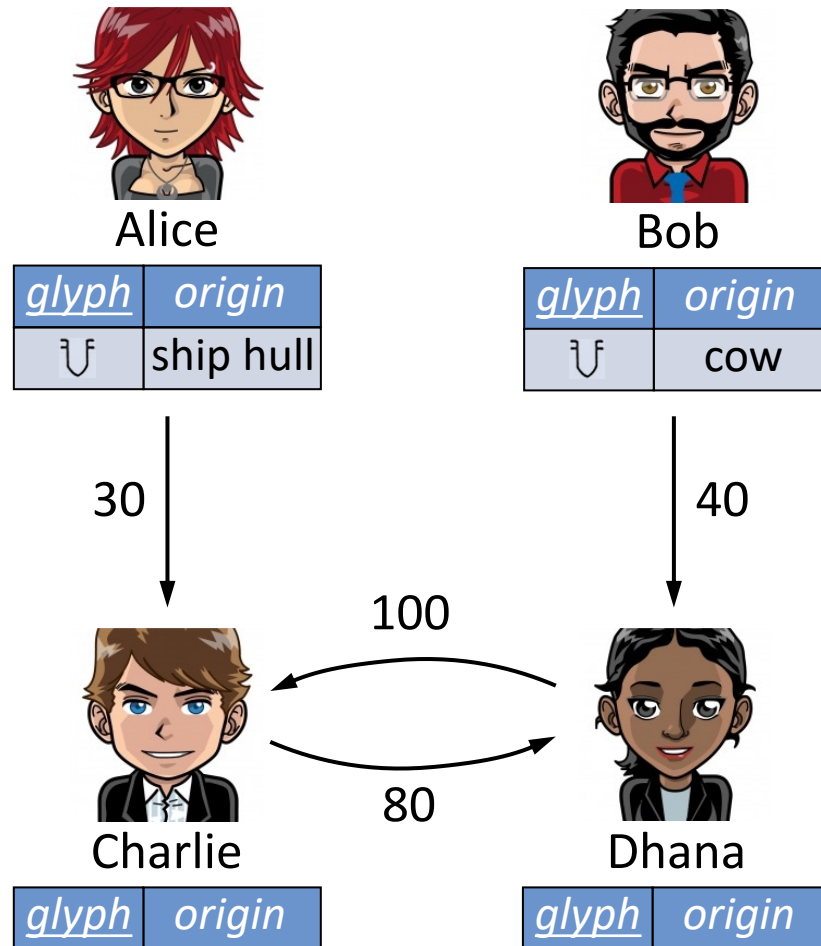
# Agenda

1. Stable solutions
  - how to define a unique and consistent solution?
2. Resolution algorithm
  - how to calculate the solution efficiently?
3. Extensions
  - how to deal with “negative beliefs”?

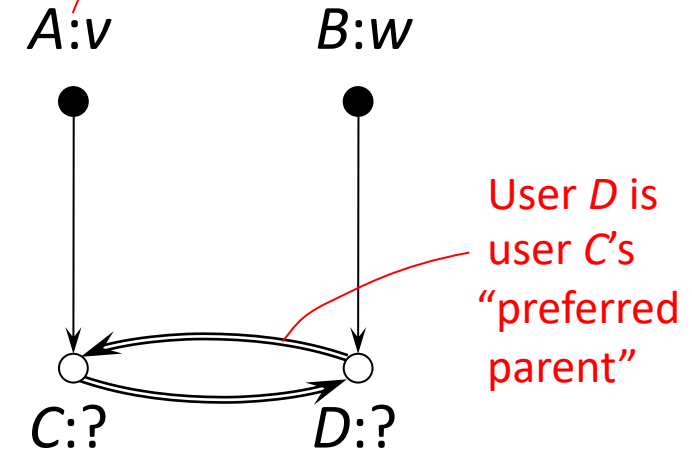


# Binary Trust Networks (BTNs)

To simplify presentation: focus on binary TNs



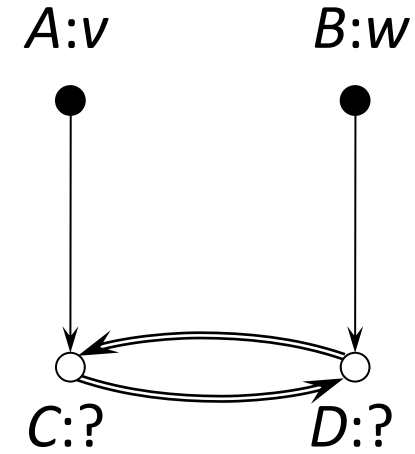
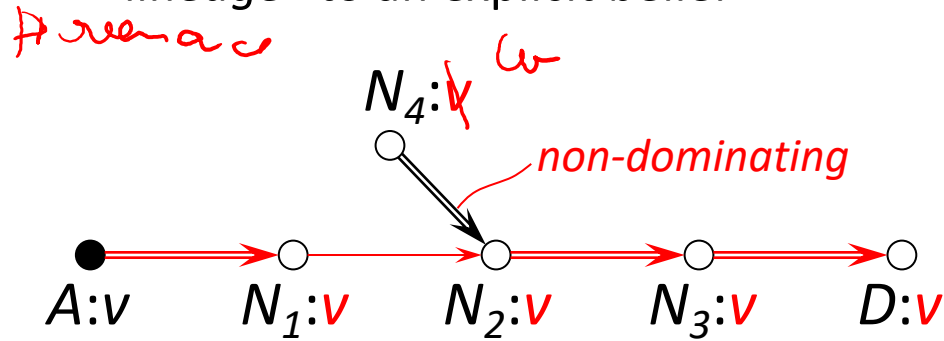
User A has explicit belief  $v$



Focus on one single key  
(we ignore the glyph)

# The definition of a globally consistent solution

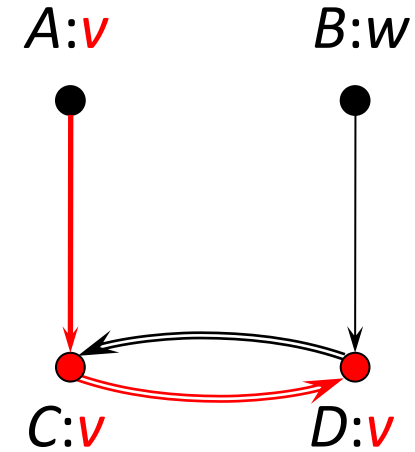
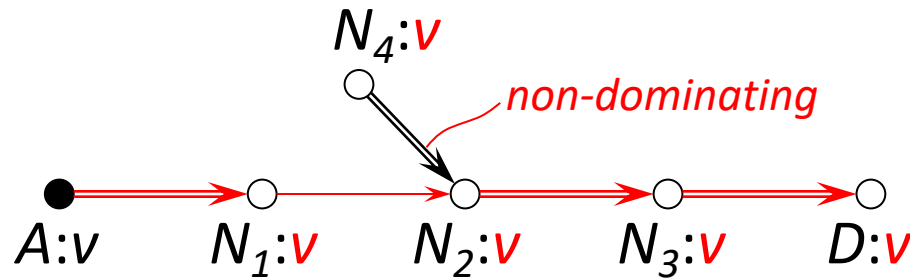
- Stable solution
  - assignment of values to each node, s.t. each belief has a “*non-dominated lineage*” to an explicit belief



# The definition of a globally consistent solution

- Stable solution

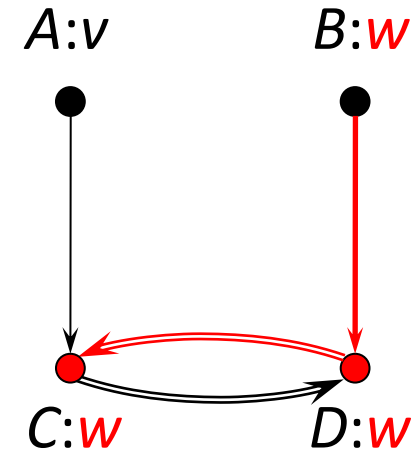
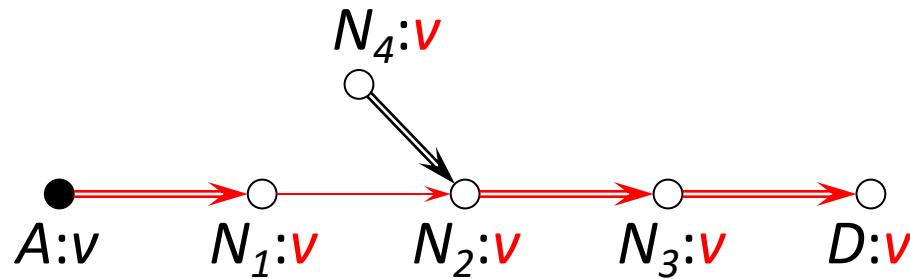
- assignment of values to each node, s.t. each belief has a “*non-dominated lineage*” to an explicit belief



$SS_1 = (A:v, B:w, C:v, D:v)$

# The definition of a globally consistent solution

- Stable solution
  - assignment of values to each node, s.t. each belief has a “*non-dominated lineage*” to an explicit belief

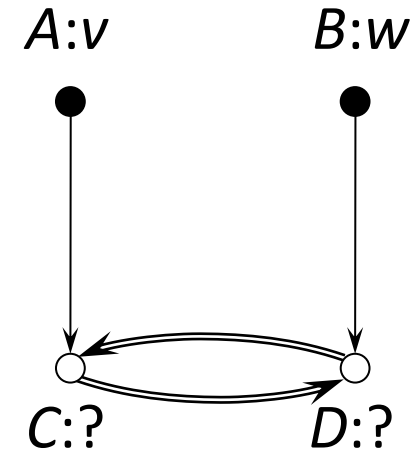
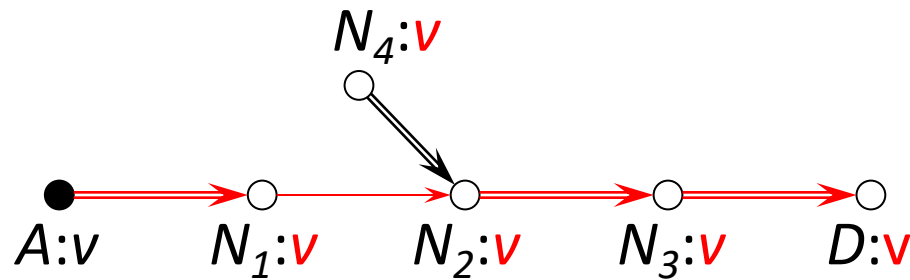


$SS_1 = (A:v, B:w, C:v, D:v)$   
 $SS_2 = (A:v, B:w, C:w, D:w)$

# Possible and certain values from all stable solutions

- **Stable solution**

- assignment of values to each node, s.t. each belief has a “*non-dominated lineage*” to an explicit belief



$SS_1 = (A:v, B:w, C:v, D:v)$   
 $SS_2 = (A:v, B:w, C:w, D:w)$

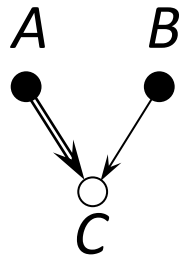
- **Possible / Certain semantics**

- a stable solution determines, for each node, a possible value (“**poss**”)
- certain value (“**cert**”) = intersection of all stable solutions, per user

$X$	<b>poss</b> ( $X$ )	<b>cert</b> ( $X$ )
$A$	$\{v\}$	$\{v\}$
$B$	$\{w\}$	$\{w\}$
$C$	$\{v, w\}$	$\emptyset$
$D$	$\{v, w\}$	$\emptyset$

# Logic programs (LP) with stable model semantics

Convention from LP solver DLV: constants and predicates start with lowercase letters, variables with uppercase letters.



- LPs can capture this semantics.

```
poss(c,X) :- poss(a,X).
block(c,b,Y) :- poss(b,Y), poss(c,X), X!=Y.
poss(c,Y) :- poss(b,Y), not block(c,b,Y).
```

- There exist powerful and free LP solver available.
- Previous work on peer data exchange suggest using LPs.

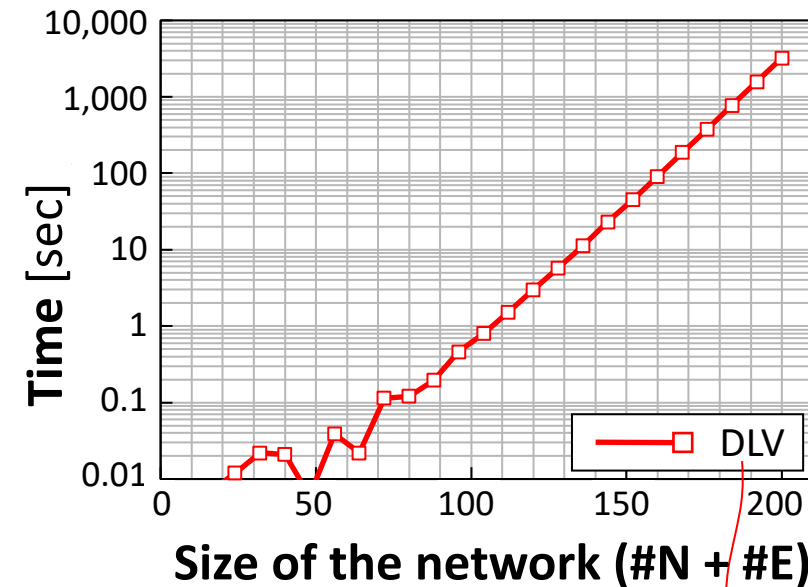
Greco et al. [TKDE'03]

Arenas et al. [TLP'03]

Barcelo, Bertossi [PADL'03]

Bertossi, Bravo [LPAR'07]

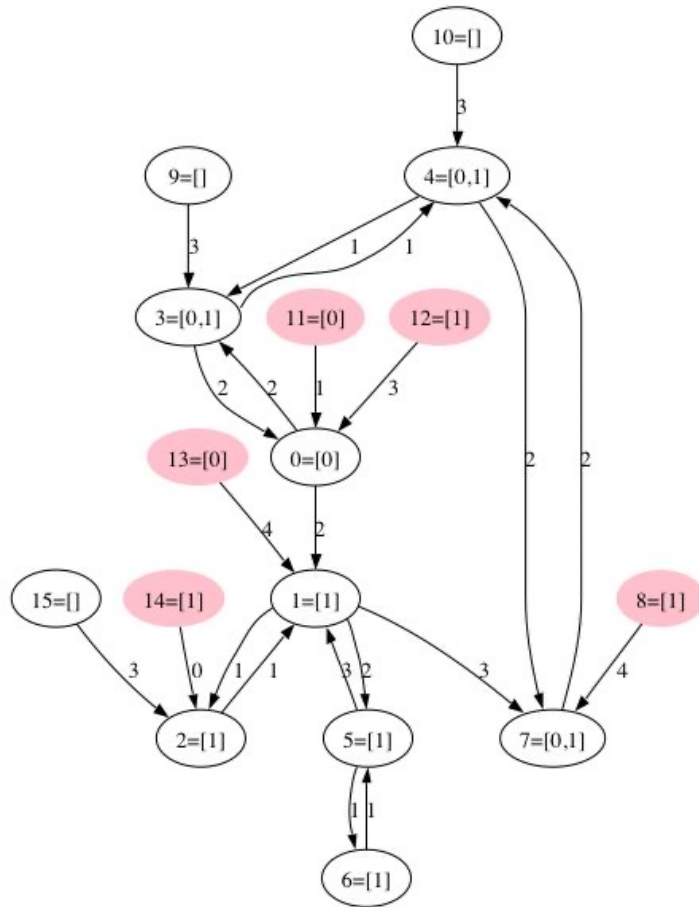
But solving LPs is hard 😞



State-of-the-art LP solver

Yet surprisingly, our problem allows a PTIME solution 😊

# DLV example



Size: 38

## input.txt

```
% --- Insert explicit beliefs ---
possH(h8_0,1).
possH(h11_0,0).
possH(h12_0,1).
possH(h13_0,0).
possH(h14_0,1).
% --- Node: 0 ---
possH(h0_1,X) :- possH(h0_0,X).
block(h0_1,11,X) :- poss(11,X), possH(h0_1,Y), Y!=X.
possH(h0_1,X) :- poss(11,X), not block(h0_1,11,X).
possH(h0_2,X) :- possH(h0_1,X).
block(h0_2,3,X) :- poss(3,X), possH(h0_2,Y), Y!=X.
possH(h0_2,X) :- poss(3,X), not block(h0_2,3,X).
possH(h0_3,X) :- possH(h0_2,X).
block(h0_3,12,X) :- poss(12,X), possH(h0_3,Y), Y!=X.
possH(h0_3,X) :- poss(12,X), not block(h0_3,12,X).
poss(0,X) :- possH(h0_3,X).
% --- Node: 1 ---
possH(h1_1,X) :- possH(h1_0,X).
block(h1_1,2,X) :- poss(2,X), possH(h1_1,Y), Y!=X.
possH(h1_1,X) :- poss(2,X), not block(h1_1,2,X).
possH(h1_2,X) :- possH(h1_1,X).
block(h1_2,0,X) :- poss(0,X), possH(h1_2,Y), Y!=X.
possH(h1_2,X) :- poss(0,X), not block(h1_2,0,X).
possH(h1_3,X) :- possH(h1_2,X).
block(h1_3,5,X) :- poss(5,X), possH(h1_3,Y), Y!=X.
possH(h1_3,X) :- poss(5,X), not block(h1_3,5,X).
possH(h1_4,X) :- possH(h1_3,X).
block(h1_4,13,X) :- poss(13,X), possH(h1_4,Y), Y!=X.
possH(h1_4,X) :- poss(13,X), not block(h1_4,13,X).
poss(1,X) :- possH(h1_4,X).
% --- Node: 2 ---
.....
% --- Node: 13 ---
poss(13,X) :- possH(h13_0,X).
% --- Node: 14 ---
poss(14,X) :- possH(h14_0,X).
% --- Node: 15 ---
poss(15,X) :- possH(h15_0,X).
```

## query.txt

```
poss(X,U) ?
```

## Executing program

```
./dlv.bin - brave
input.txt. query-.txt
```

## Result

```
Macintosh-2:DLV gatl
8, 1
11, 0
12, 1
13, 0
14, 1
0, 0
1, 1
2, 1
3, 0
3, 1
4, 0
4, 1
5, 1
6, 1
7, 0
7, 1
```

# Agenda

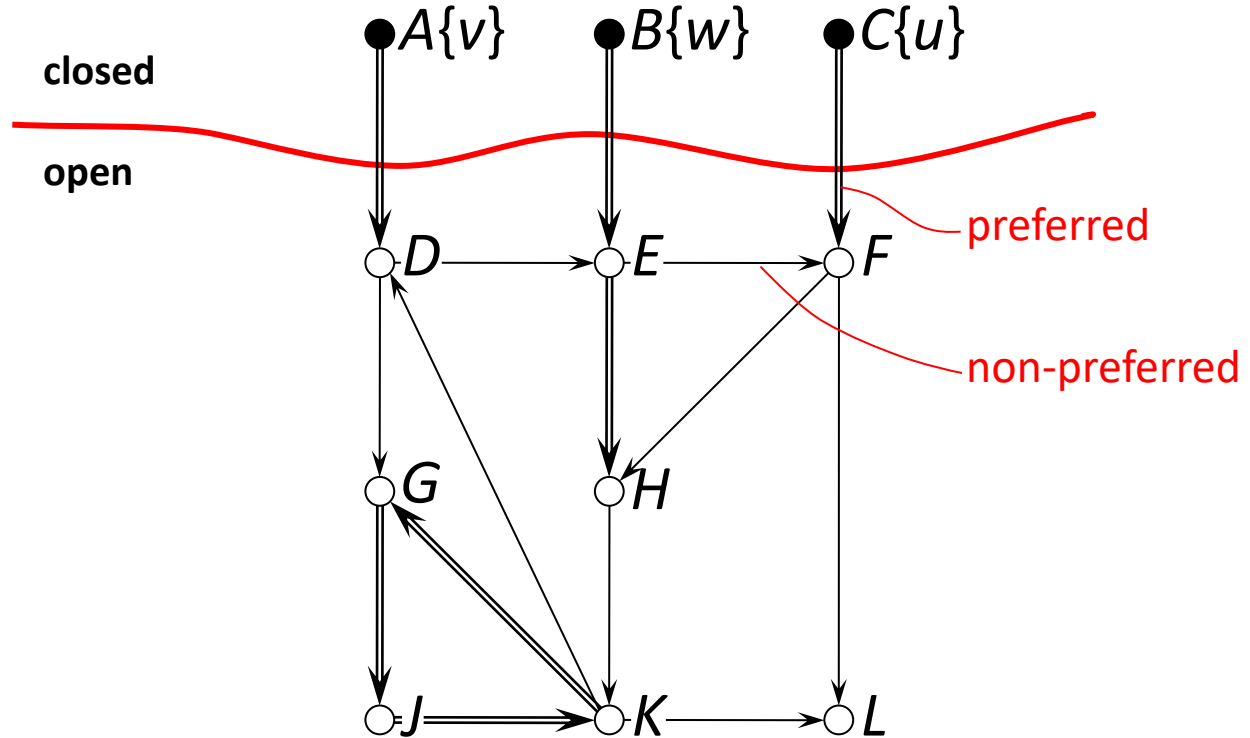
1. Stable solutions
  - how to define a unique and consistent solution?
2. Resolution algorithm
  - how to calculate the solution efficiently?
3. Extensions
  - how to deal with “negative beliefs”?



# Resolution Algorithm

Focus on binary trust network

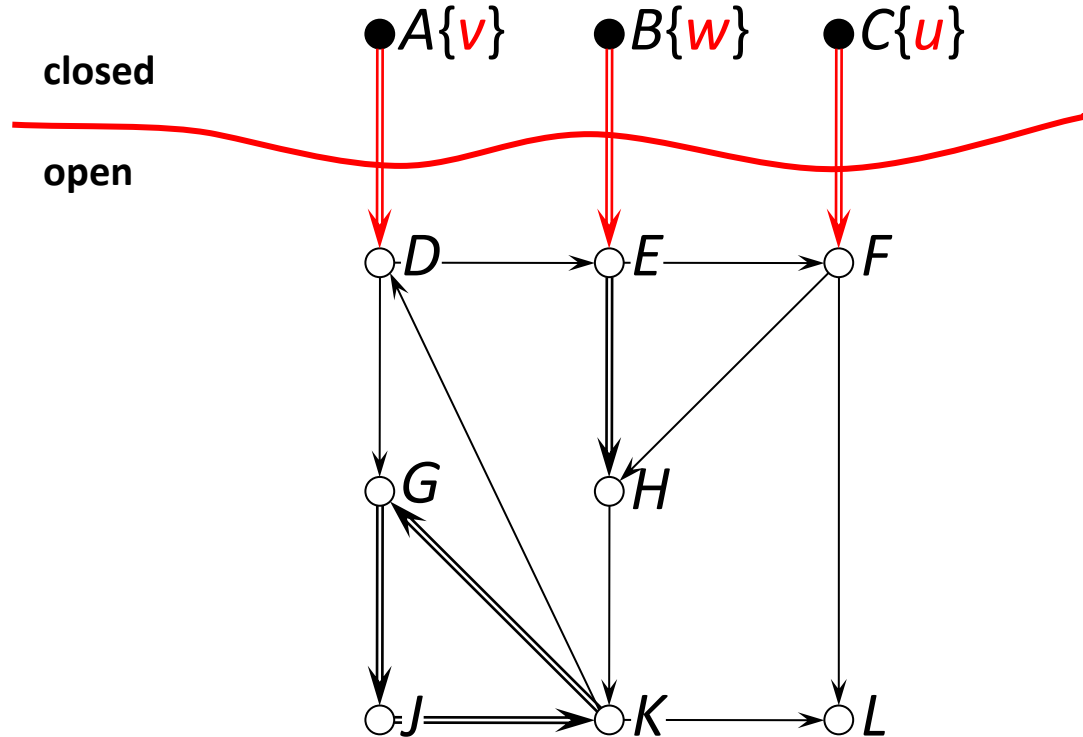
- Keep 2 sets: **closed** / **open**  
Initialize **closed** with explicit beliefs



$X$	$\text{poss}(X)$	$\text{cert}(X)$
$A$	$\{v\}$	$\{v\}$
$B$	$\{w\}$	$\{w\}$
$C$	$\{u\}$	$\{u\}$
$D$	?	?
$E$	?	?
$F$	?	?
$G$	?	?
$H$	?	?
$J$	?	?
$K$	?	?
$L$	?	?

# Resolution Algorithm

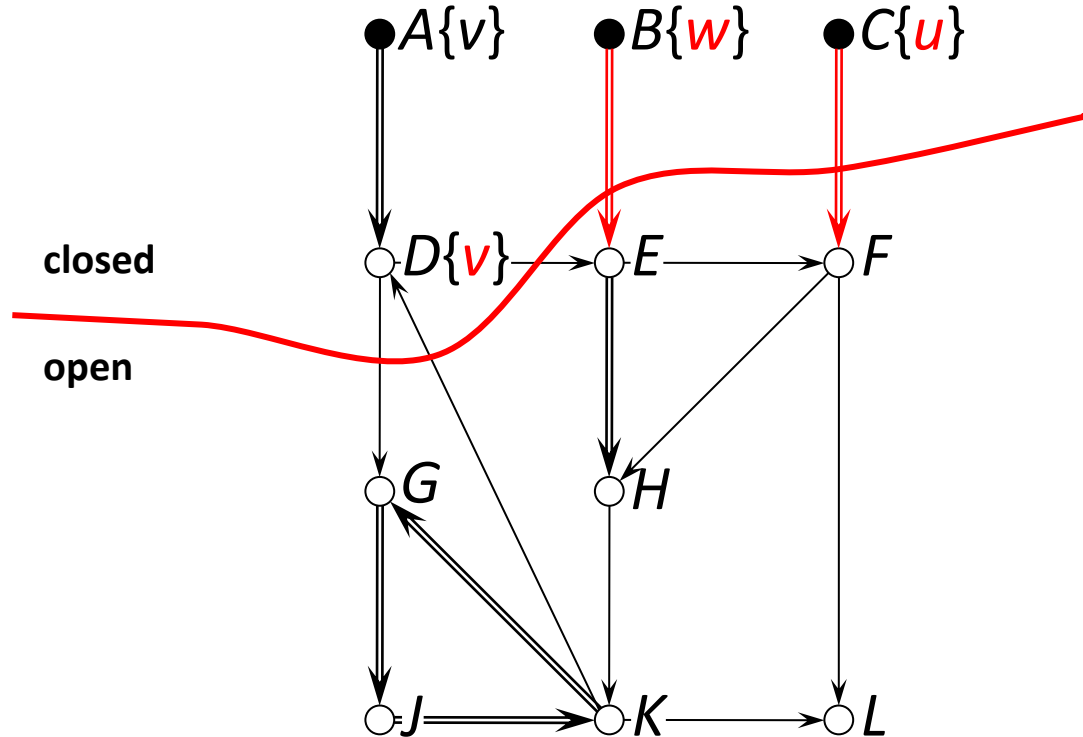
- Keep 2 sets: **closed** / **open**  
Initialize **closed** with explicit beliefs
- MAIN  
Step 1: if  $\exists$  preferred edges from **open** to **closed**  
→ follow



$X$	$\text{poss}(X)$	$\text{cert}(X)$
$A$	$\{v\}$	$\{v\}$
$B$	$\{w\}$	$\{w\}$
$C$	$\{u\}$	$\{u\}$
$D$	?	?
$E$	?	?
$F$	?	?
$G$	?	?
$H$	?	?
$J$	?	?
$K$	?	?
$L$	?	?

# Resolution Algorithm

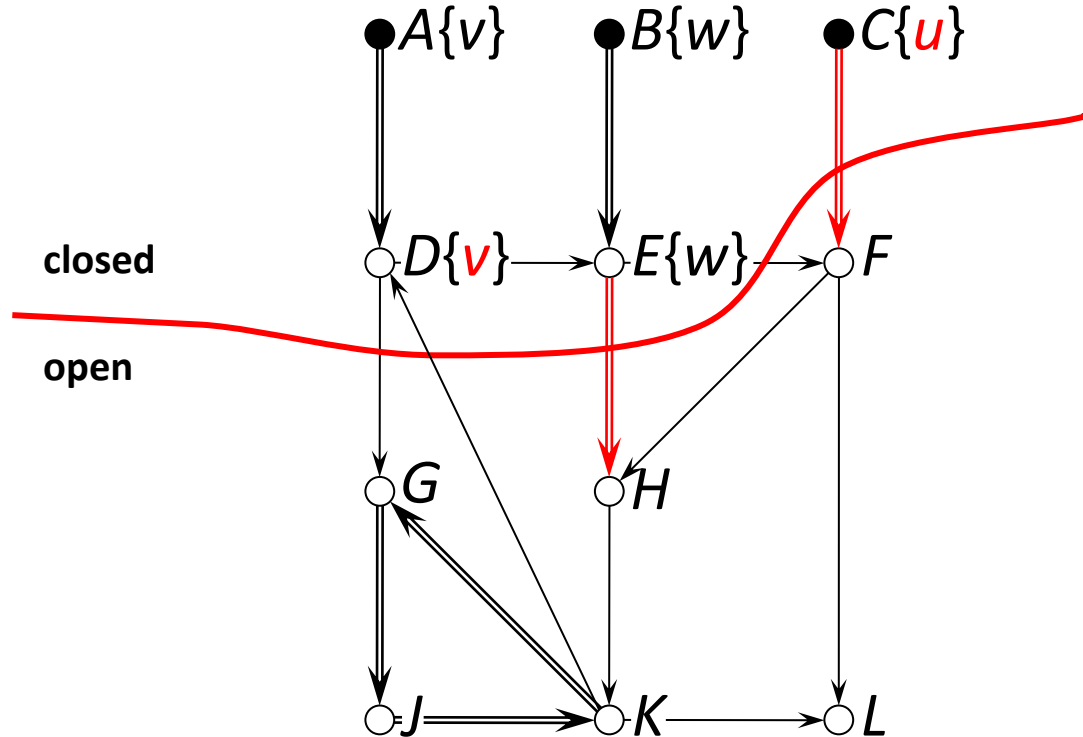
- Keep 2 sets: **closed** / **open**  
Initialize **closed** with explicit beliefs
- MAIN  
Step 1: if  $\exists$  preferred edges from **open** to **closed**  
→ follow



$X$	$\text{poss}(X)$	$\text{cert}(X)$
A	{v}	{v}
B	{w}	{w}
C	{u}	{u}
D	{v}	{v}
E	?	?
F	?	?
G	?	?
H	?	?
J	?	?
K	?	?
L	?	?

# Resolution Algorithm

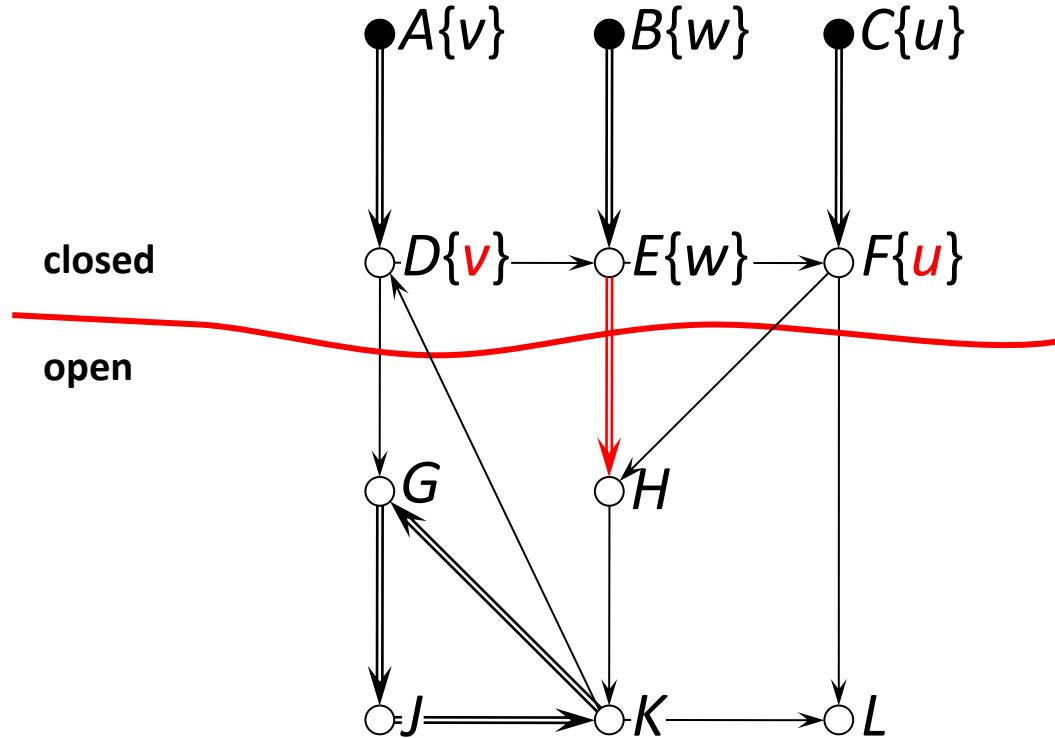
- Keep 2 sets: **closed** / **open**  
Initialize **closed** with explicit beliefs
- MAIN  
Step 1: if  $\exists$  preferred edges from **open** to **closed**  
→ follow



$X$	$\text{poss}(X)$	$\text{cert}(X)$
A	{v}	{v}
B	{w}	{w}
C	{u}	{u}
D	{v}	{v}
E	{w}	{w}
F	?	?
G	?	?
H	?	?
J	?	?
K	?	?
L	?	?

# Resolution Algorithm

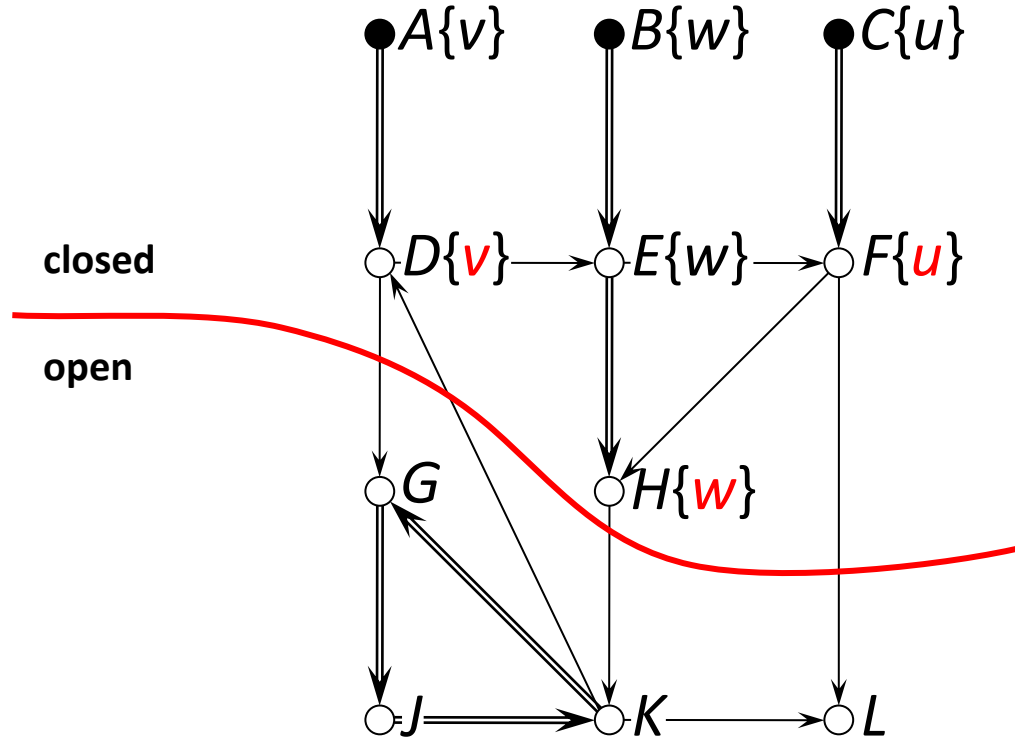
- Keep 2 sets: **closed** / **open**  
Initialize **closed** with explicit beliefs
- MAIN  
Step 1: if  $\exists$  preferred edges from **open** to **closed**  
→ follow



$X$	poss( $X$ )	cert( $X$ )
$A$	$\{v\}$	$\{v\}$
$B$	$\{w\}$	$\{w\}$
$C$	$\{u\}$	$\{u\}$
$D$	$\{v\}$	$\{v\}$
$E$	$\{w\}$	$\{w\}$
$F$	$\{u\}$	$\{u\}$
$G$	?	?
$H$	?	?
$J$	?	?
$K$	?	?
$L$	?	?

# Resolution Algorithm

- Keep 2 sets: **closed** / **open**  
Initialize **closed** with explicit beliefs
- MAIN  
Step 1: if  $\exists$  preferred edges from **open** to **closed**  
→ follow



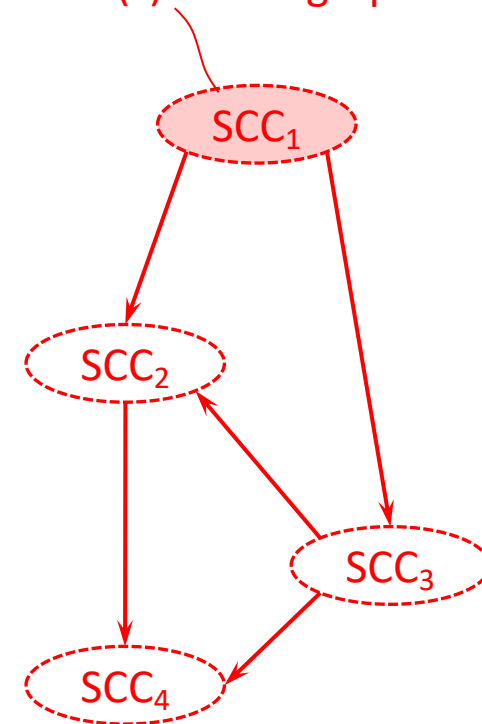
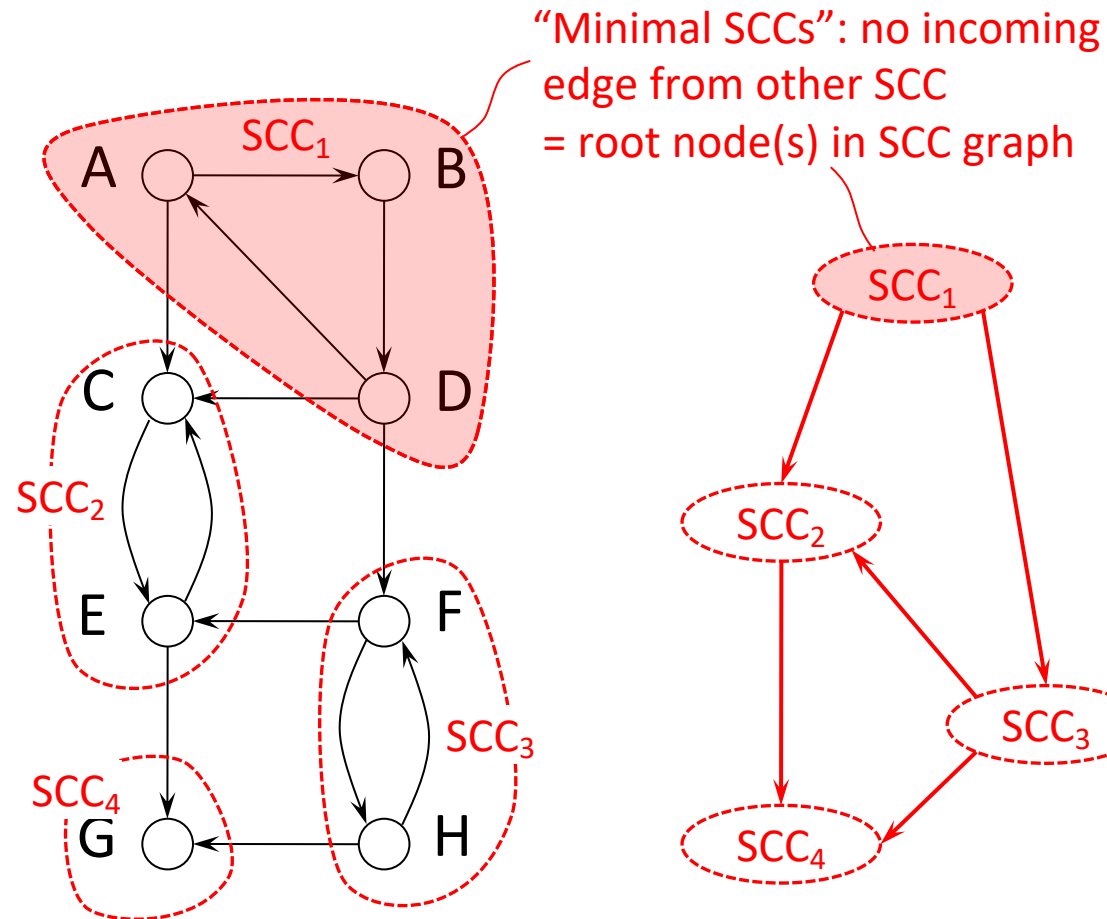
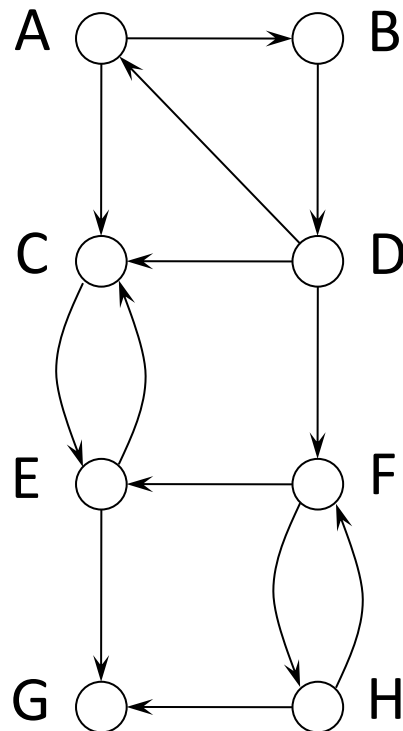
Now we are stuck!

$X$	$\text{poss}(X)$	$\text{cert}(X)$
$A$	$\{v\}$	$\{v\}$
$B$	$\{w\}$	$\{w\}$
$C$	$\{u\}$	$\{u\}$
$D$	$\{v\}$	$\{v\}$
$E$	$\{w\}$	$\{w\}$
$F$	$\{u\}$	$\{u\}$
$G$	?	?
$H$	$\{w\}$	$\{w\}$
$J$	?	?
$K$	?	?
$L$	?	?

# Detail: Strongly Connected Components (SCCs)

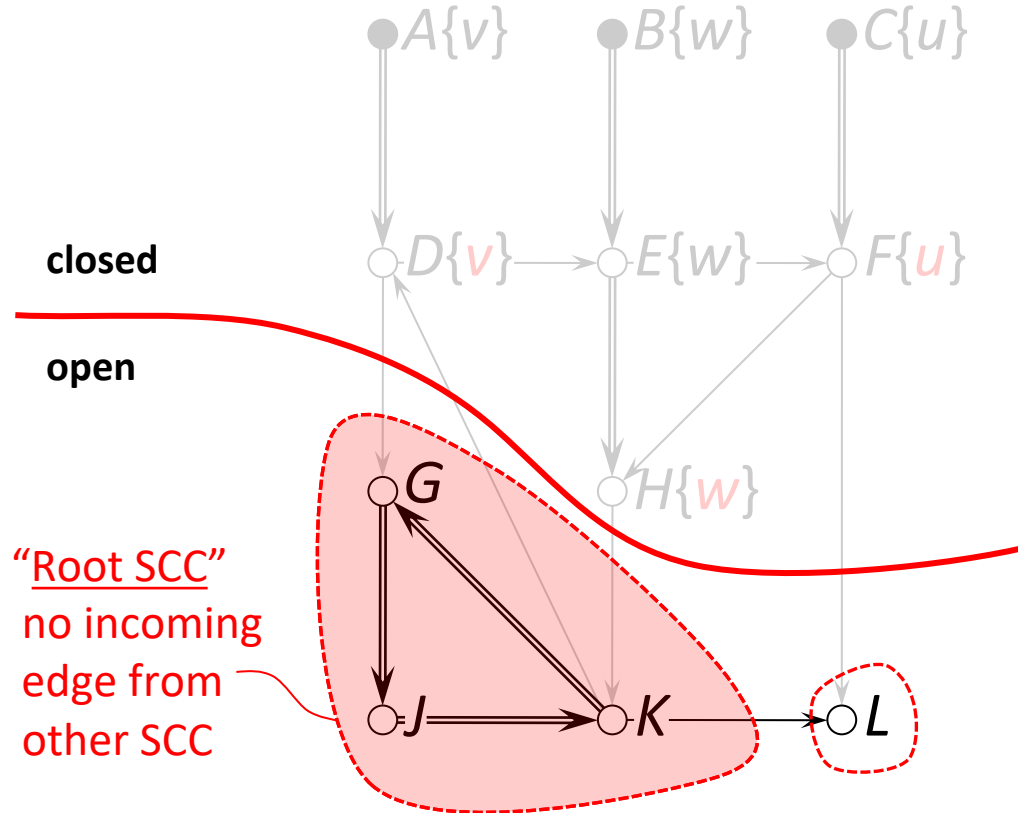
For every cyclic or acyclic directed graph:

- The Strongly Connected Components graph is a DAG
- can be calculated in  $O(n)$  Tarjan [1972]



# Resolution Algorithm

- Keep 2 sets: **closed** / **open**  
Initialize **closed** with explicit beliefs
- MAIN
  - Step 1: if  $\exists$  preferred edges from **open** to **closed**  
→ follow
  - Step 2: else  
→ construct SCC graph of **open**

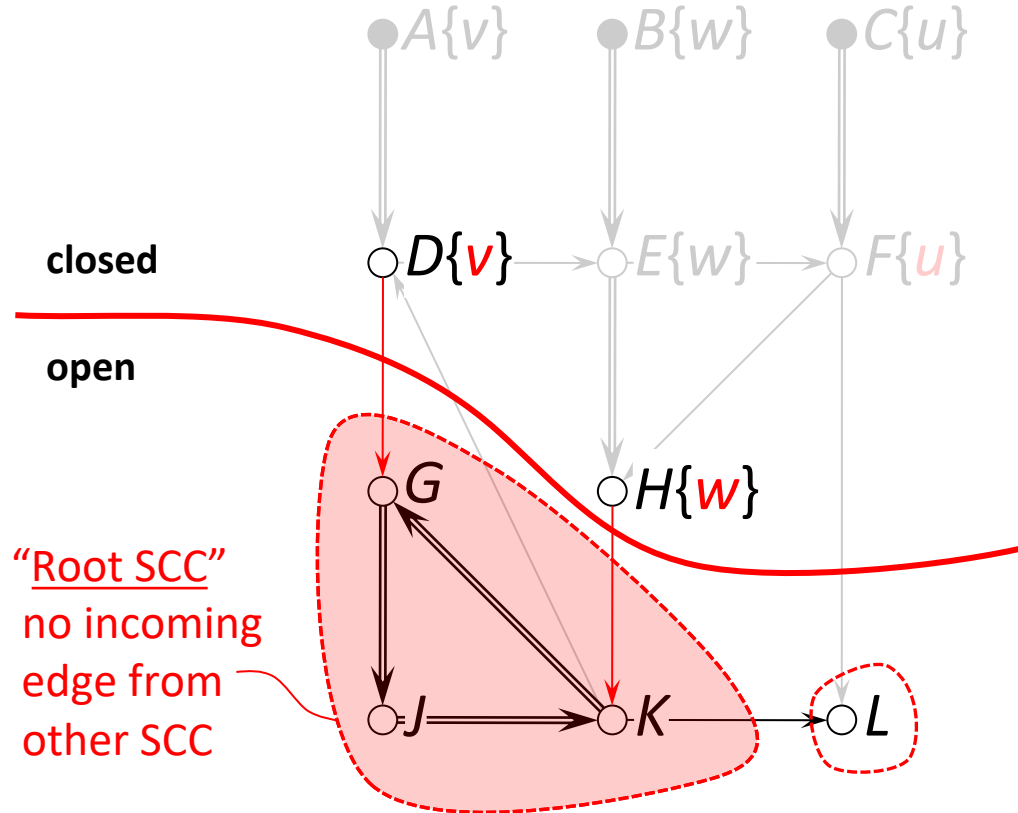


$X$	$\text{poss}(X)$	$\text{cert}(X)$
A	{v}	{v}
B	{w}	{w}
C	{u}	{u}
D	{v}	{v}
E	{w}	{w}
F	{u}	{u}
G	?	?
H	{w}	{w}
J	?	?
K	?	?
L	?	?



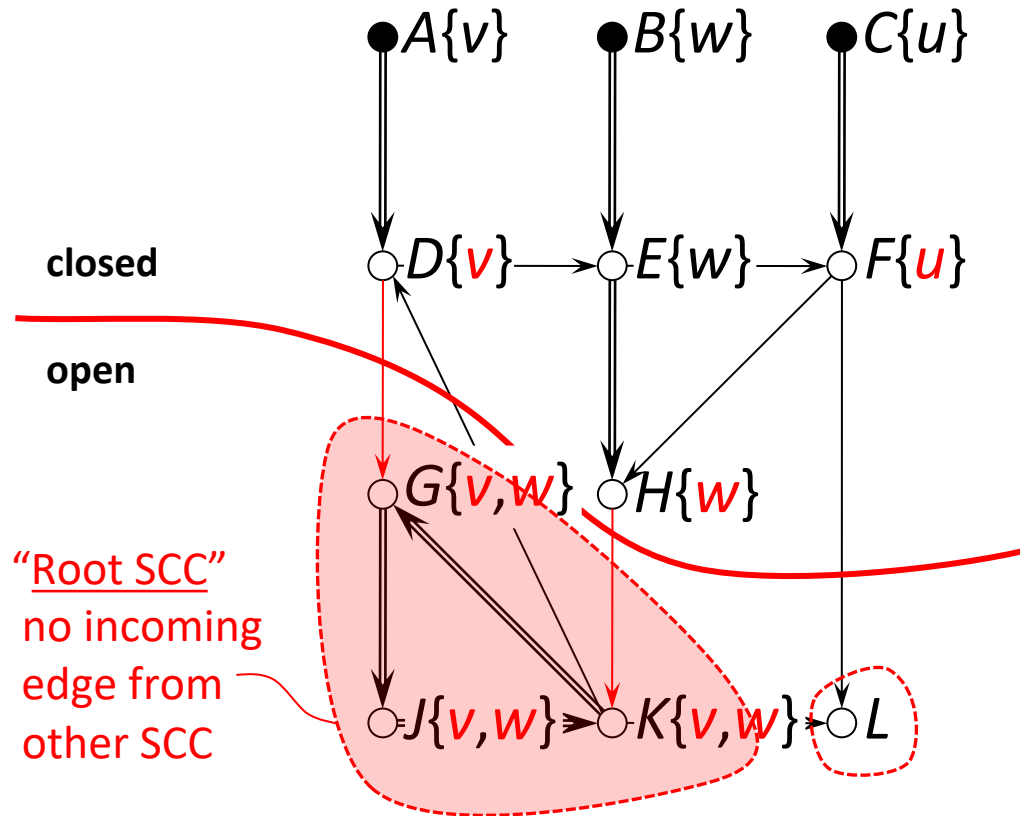
# Resolution Algorithm

- Keep 2 sets: **closed** / **open**  
Initialize **closed** with explicit beliefs
- MAIN
  - Step 1: if  $\exists$  preferred edges from **open** to **closed**  
→ follow
  - Step 2: else  
→ construct SCC graph of **open**



$X$	$\text{poss}(X)$	$\text{cert}(X)$
A	{v}	{v}
B	{w}	{w}
C	{u}	{u}
D	{v}	{v}
E	{w}	{w}
F	{u}	{u}
G	?	?
H	{w}	{w}
J	?	?
K	?	?
L	?	?

# Resolution Algorithm

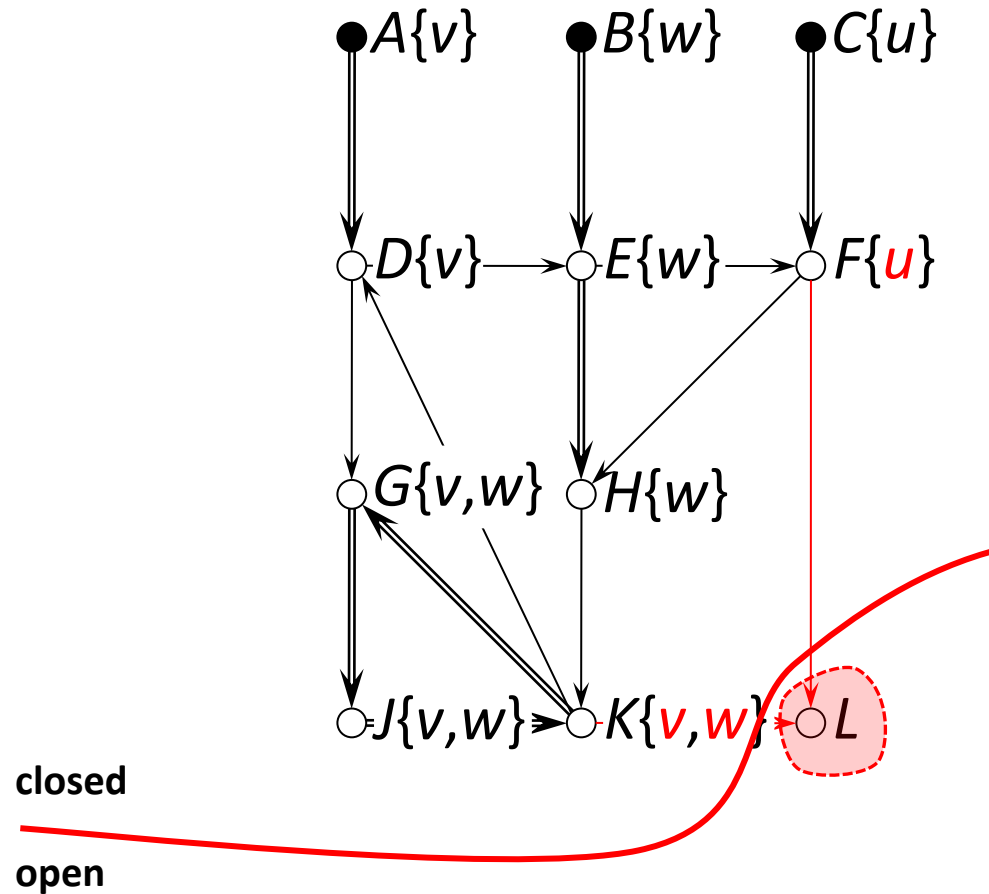


- Keep 2 sets: **closed** / **open**  
Initialize **closed** with explicit beliefs
- MAIN
  - Step 1: if  $\exists$  preferred edges from **open** to **closed**  
→ follow
  - Step 2: else  
→ construct SCC graph of **open**  
→ resolve minimum SCCs

$X$	$\text{poss}(X)$	$\text{cert}(X)$
$A$	$\{v\}$	$\{v\}$
$B$	$\{w\}$	$\{w\}$
$C$	$\{u\}$	$\{u\}$
$D$	$\{v\}$	$\{v\}$
$E$	$\{w\}$	$\{w\}$
$F$	$\{u\}$	$\{u\}$
$G$	$\{v, w\}$	$\emptyset$
$H$	$\{w\}$	$\{w\}$
$J$	$\{v, w\}$	$\emptyset$
$K$	$\{v, w\}$	$\emptyset$
$L$	$?$	$?$

# Resolution Algorithm

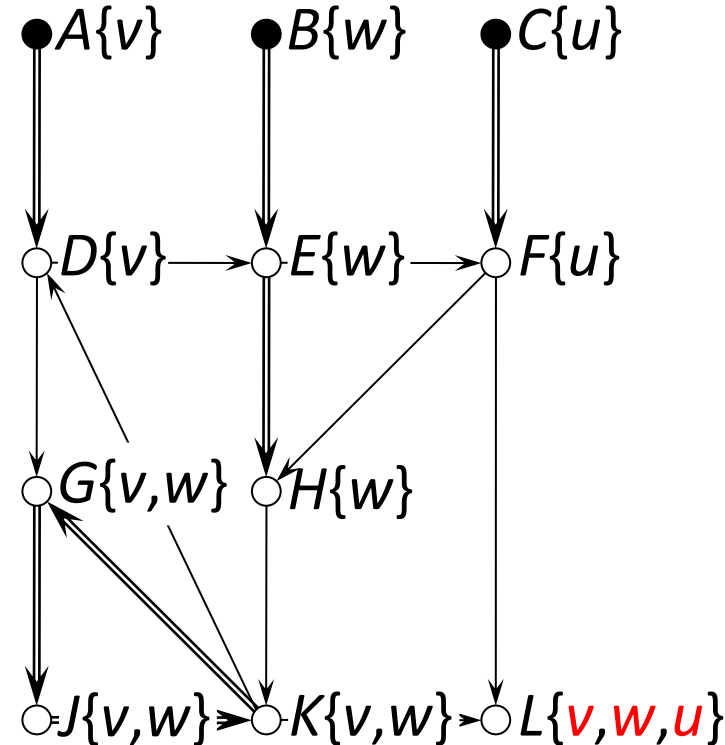
- Keep 2 sets: **closed** / **open**  
Initialize **closed** with explicit beliefs
- MAIN
  - Step 1: if  $\exists$  preferred edges from **open** to **closed**  
→ follow
  - Step 2: else  
→ construct SCC graph of **open**  
→ resolve minimum SCCs



$X$	$\text{poss}(X)$	$\text{cert}(X)$
A	{v}	{v}
B	{w}	{w}
C	{u}	{u}
D	{v}	{v}
E	{w}	{w}
F	{u}	{u}
G	{v,w}	$\emptyset$
H	{w}	{w}
J	{v,w}	$\emptyset$
K	{v,w}	$\emptyset$
L	?	?

# Resolution Algorithm

- Keep 2 sets: **closed** / **open**  
Initialize **closed** with explicit beliefs
- MAIN
  - Step 1: if  $\exists$  preferred edges from **open** to **closed**  
→ follow
  - Step 2: else  
→ construct SCC graph of **open**  
→ resolve minimum SCCs



closed

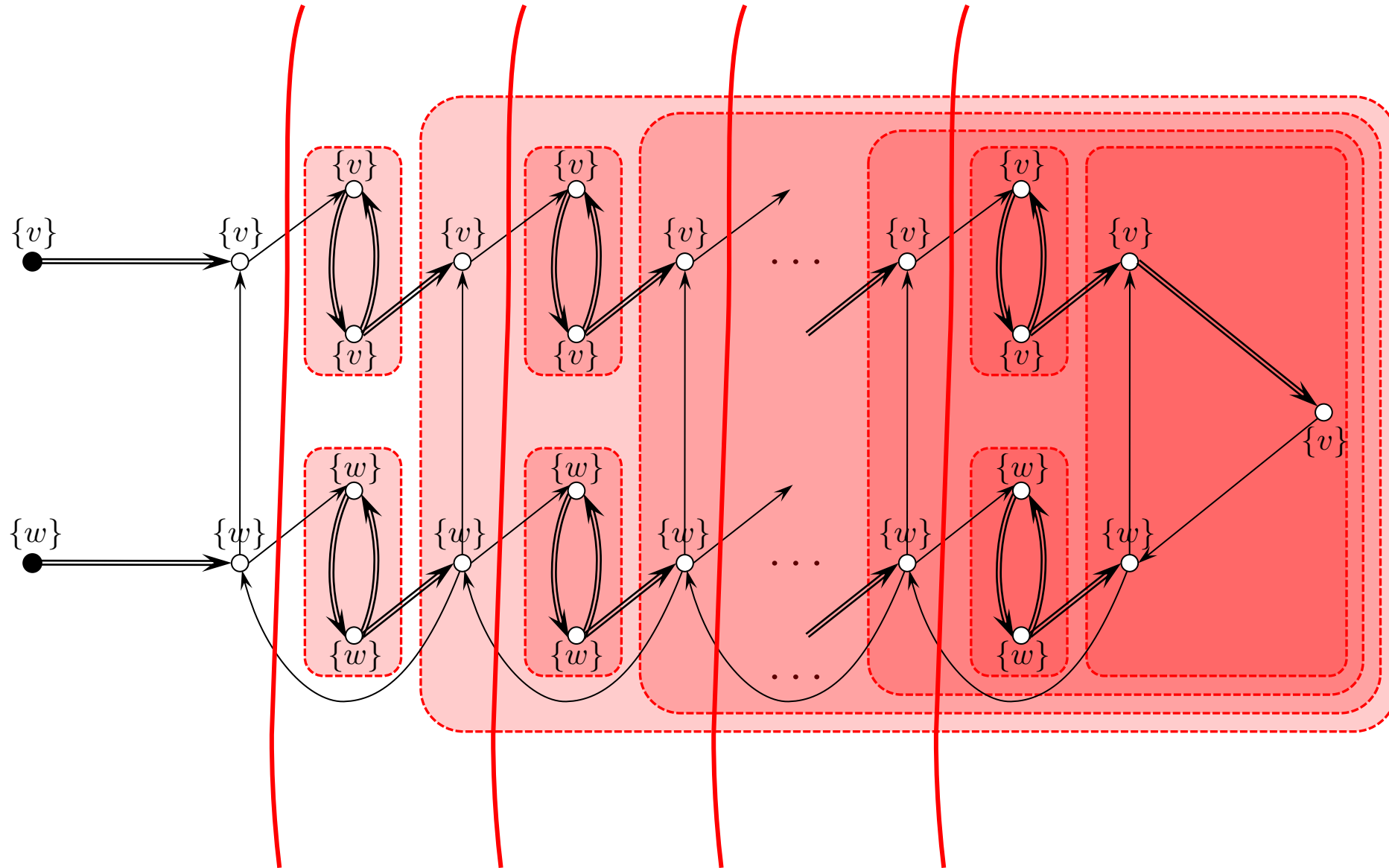
open

Can be implemented  
in current DBMS with  
transitive closure 😊

**PTIME** resolution algorithm  
 **$O(n^2)$**  worst case  
 **$O(n)$**  on reasonable graphs

$X$	<b>poss</b> ( $X$ )	<b>cert</b> ( $X$ )
A	{v}	{v}
B	{w}	{w}
C	{u}	{u}
D	{v}	{v}
E	{w}	{w}
F	{u}	{u}
G	{v,w}	$\emptyset$
H	{w}	{w}
J	{v,w}	$\emptyset$
K	{v,w}	$\emptyset$
L	{v,w,u}	$\emptyset$

# $O(n^2)$ -worst-case for Resolution Algorithm

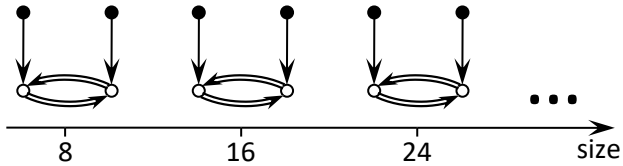


# Experiments on large network data

## Calculating **poss** / **cert** for fixed key

- **DLV**: State-of-the art logic programming solver
- **RA**: Resolution algorithm

### Network 1: "Oscillators"

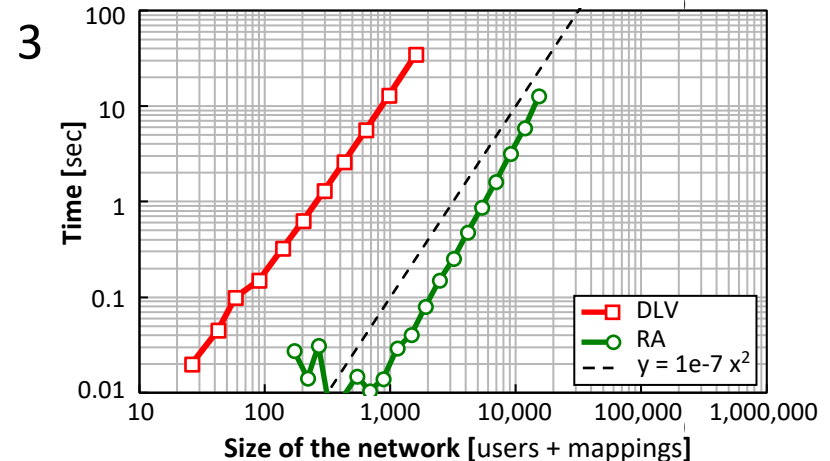
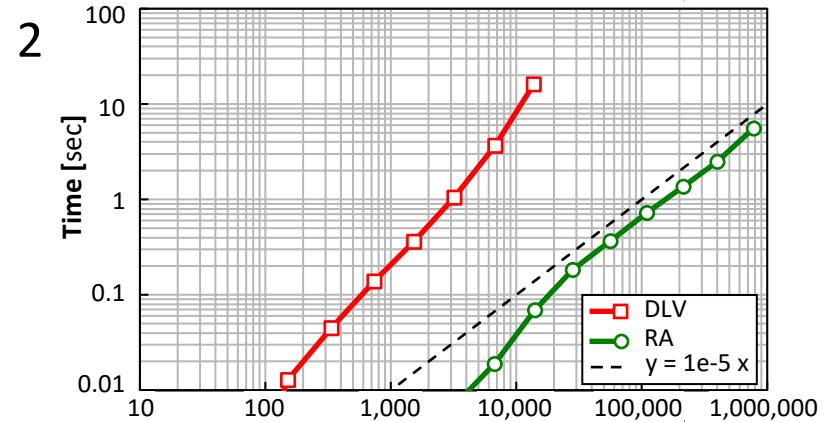
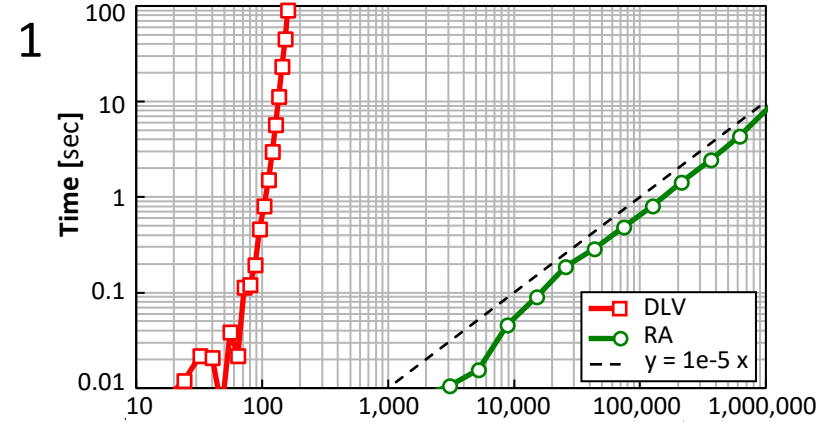
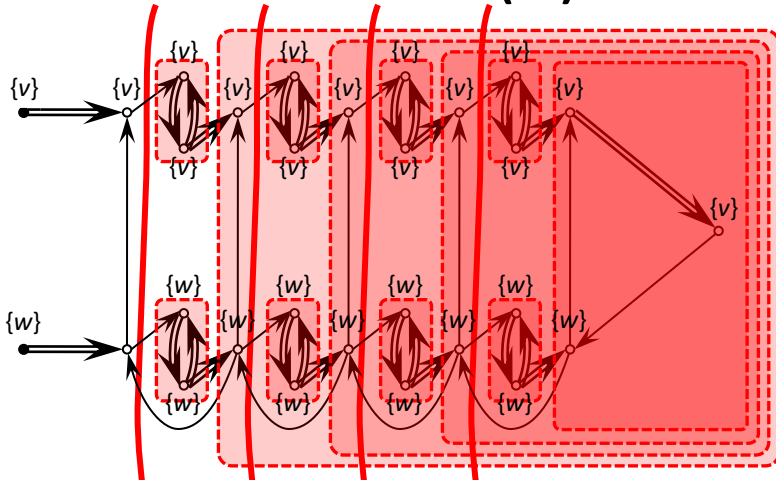


### Network 2: "Web link data"

Web data set with 5.4m links between 270k domain names. Approach:

- Sample links with increasing ratio
- Include both nodes in sample
- Assign explicit beliefs randomly

### Network 3: "Worst case" $O(n^2)$

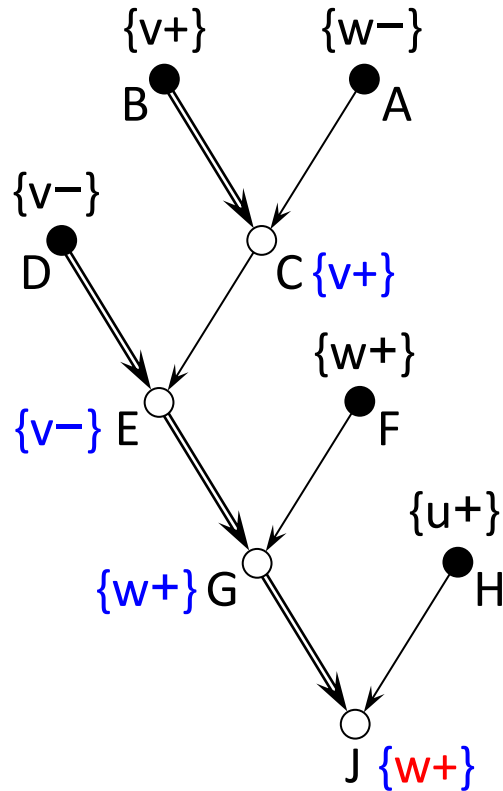


# Agenda

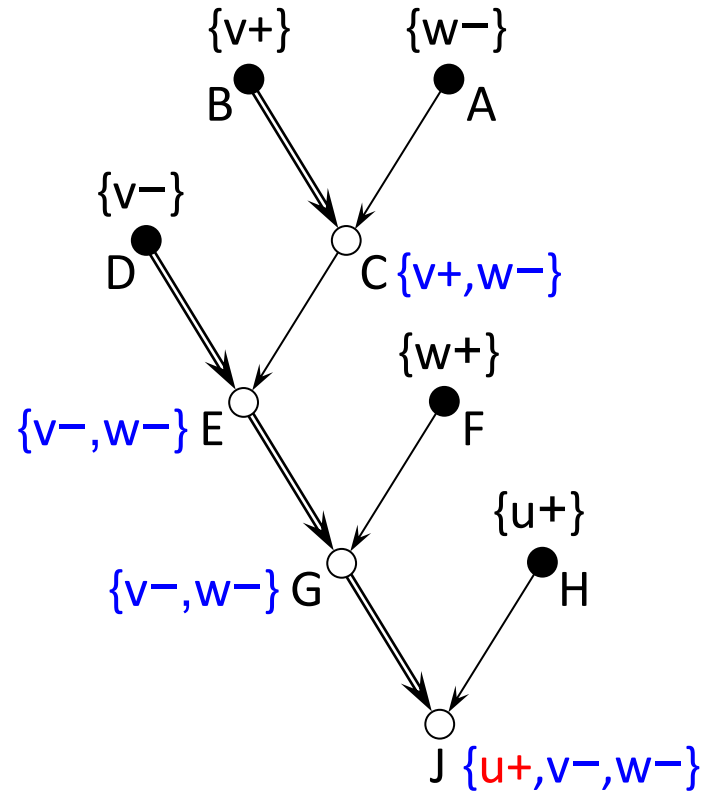
1. Stable solutions
  - how to define a unique and consistent solution?
2. Resolution algorithm
  - how to calculate the solution efficiently?
3. Extensions
  - how to deal with “negative beliefs”?

# 3 semantics for negative beliefs

## Agnostic



## Eclectic



w/o cycles\*

**O(n)**

**O(n)**

w cycles

**NP-hard**

**NP-hard**

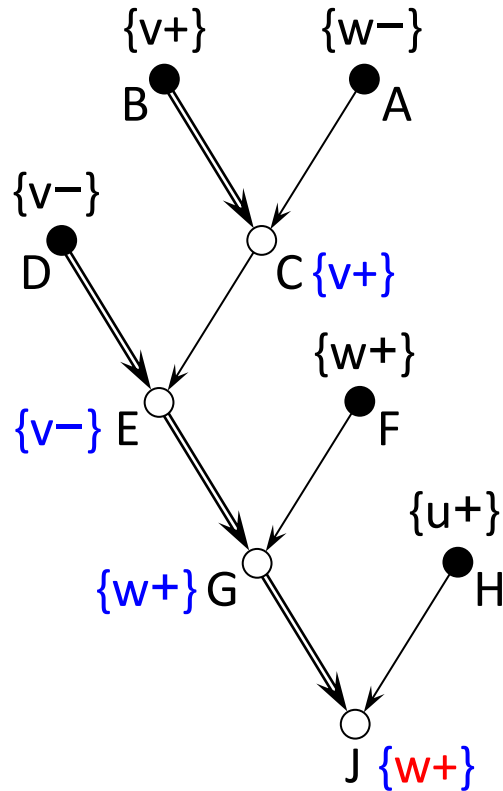


# 3 semantics for negative beliefs

Our recommendation



## Agnostic



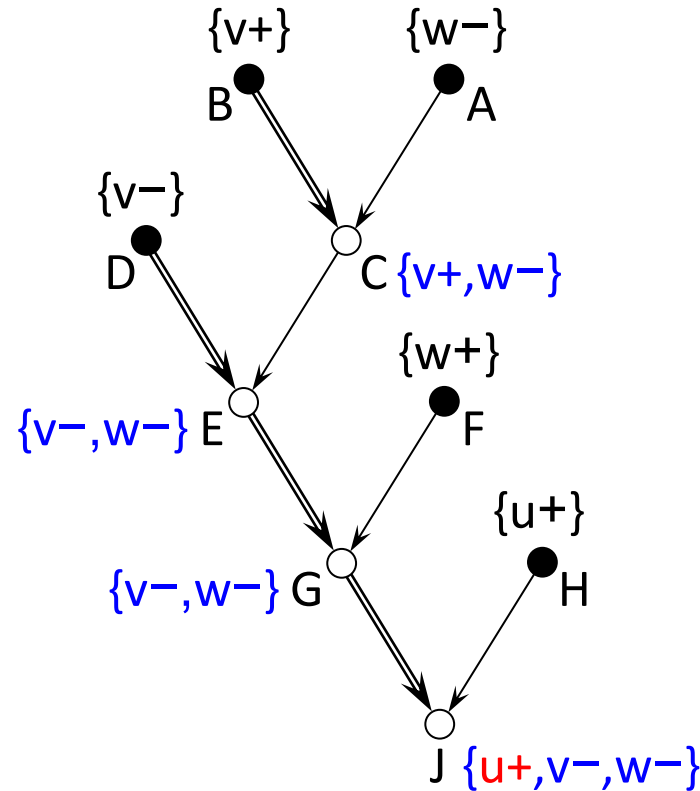
w/o cycles\*

$O(n)$

w cycles

NP-hard

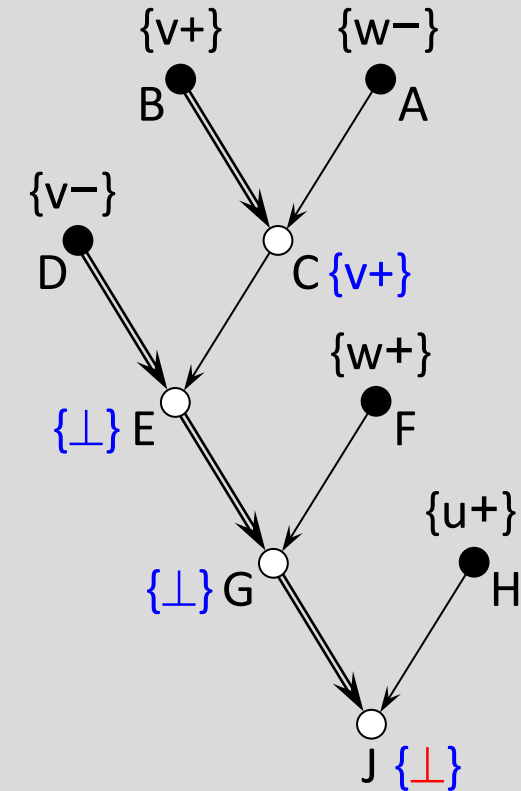
## Eclectic



$O(n)$

NP-hard

## Skeptic



$O(n)$

$O(n^2)$

with a variation of resolution algorithm

# Take-aways automatic conflict resolution

## Problem

- Given explicit beliefs & trust mappings, how to assign consistent value assignment to users?

## Our solution

- Stable solutions with possible/certain value semantics
- PTIME algorithm [ $O(n^2)$  worst case,  $O(n)$  experiments]
- Several extensions
  - negative beliefs: 3 semantics, two hard, one  $O(n^2)$

- bulk inserts
- agreement checking
- consensus value
- lineage computation

in the paper & TR

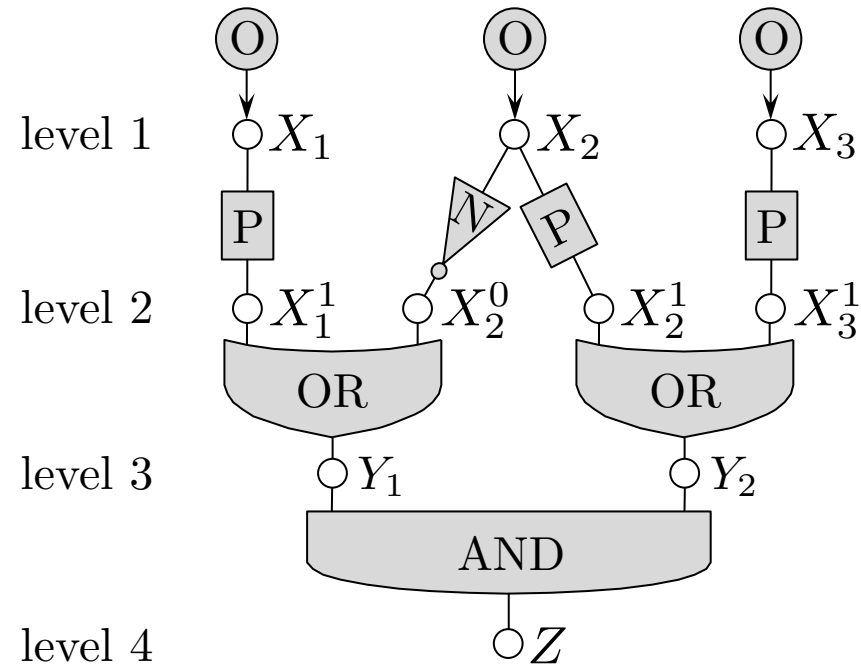
Please visit us at the poster session Th, 3:30pm

or at: <https://db.cs.washington.edu/projects/beliefdb/>

some details

# Fig\_ComplexityExampleLong

8-17-2010



Encoding

$$(0/1) = (a+/b+)$$

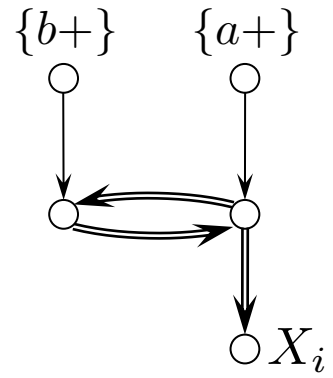
$$(0/1) = (c+/d+)$$

$$(0/1) = (e+/d+)$$

$$(0/1) = (e+/f+)$$

# Fig\_ComplexityOscillator

8-16-2010

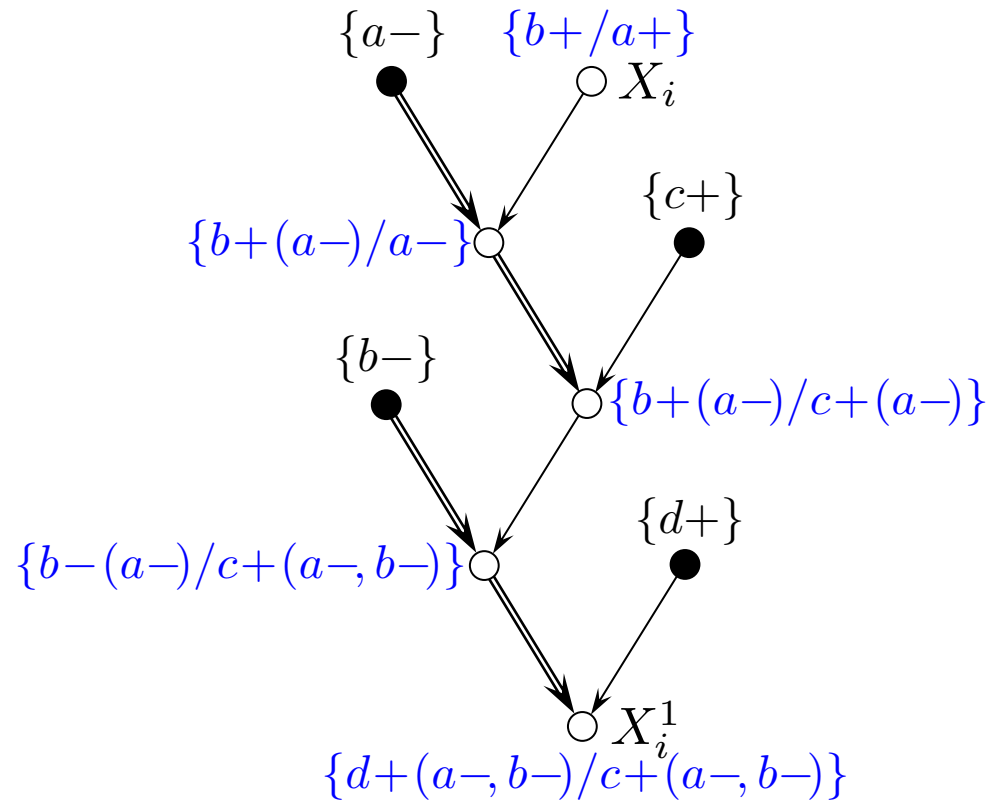


# Fig\_ComplexityPassLong

8-17-2010

Encoding

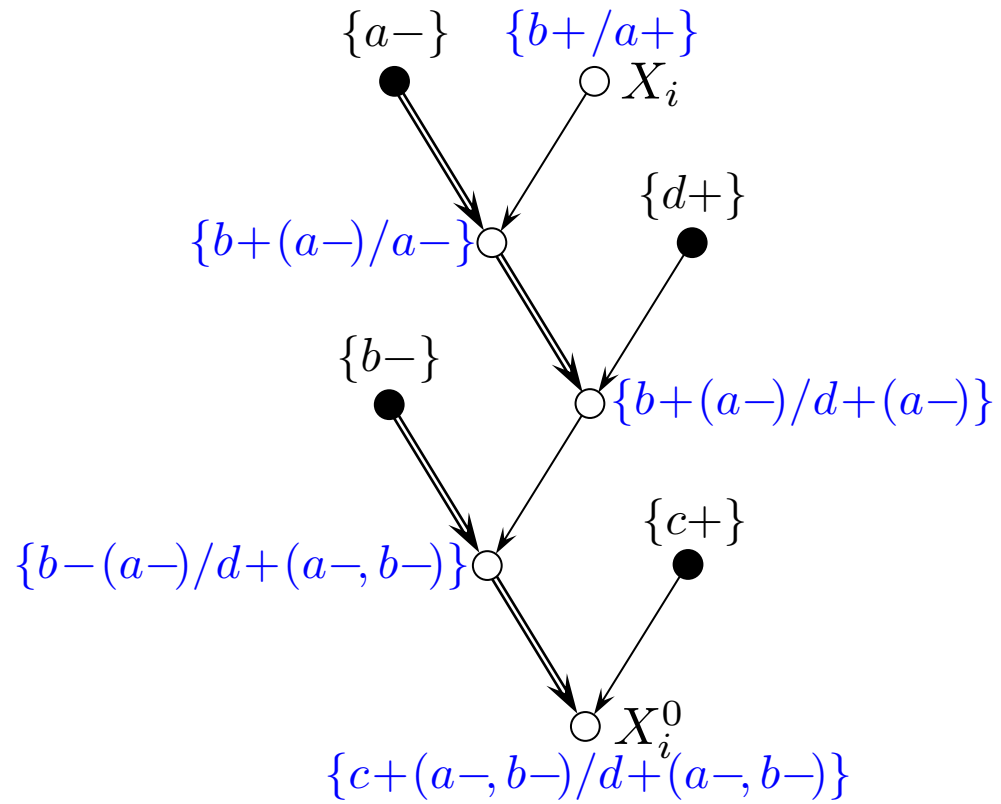
(0/1) = (a+/b+)



(0/1) = (c+/d+)

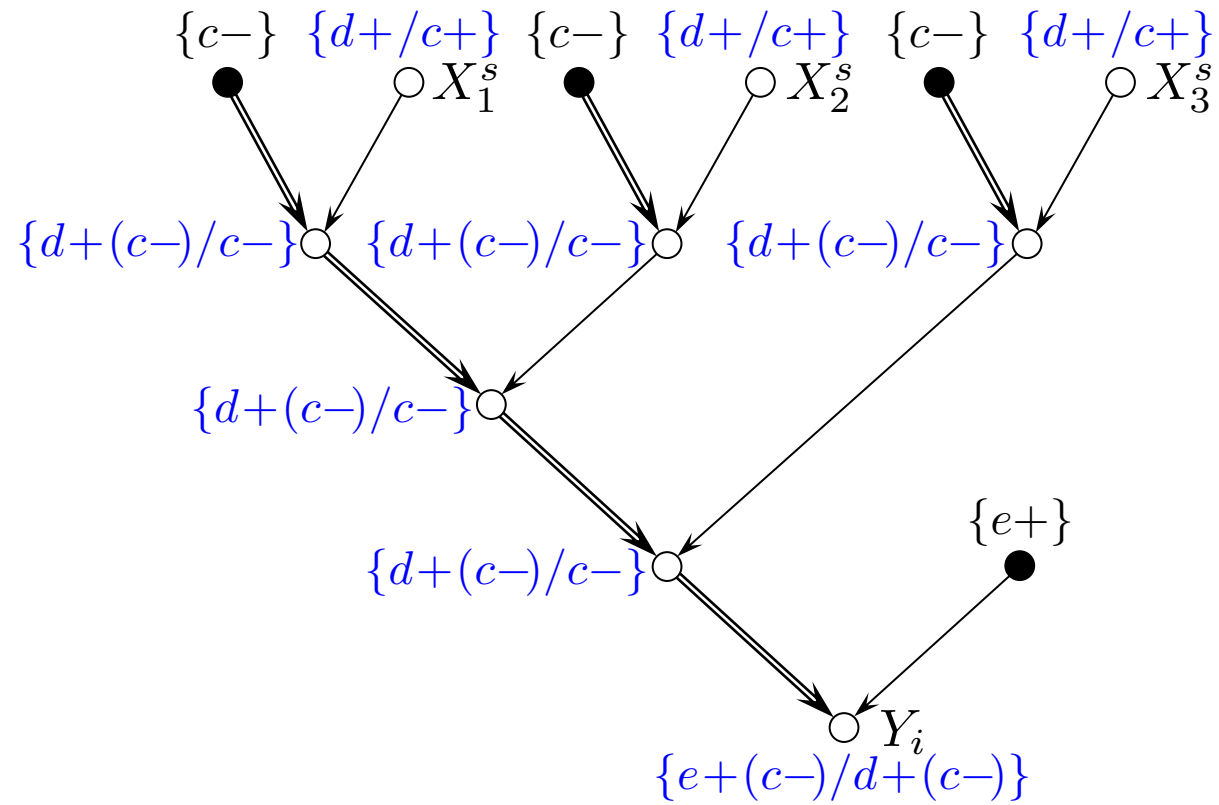
# Fig\_ComplexityNotLong

8-17-2010



# Fig\_ComplexityOrLong

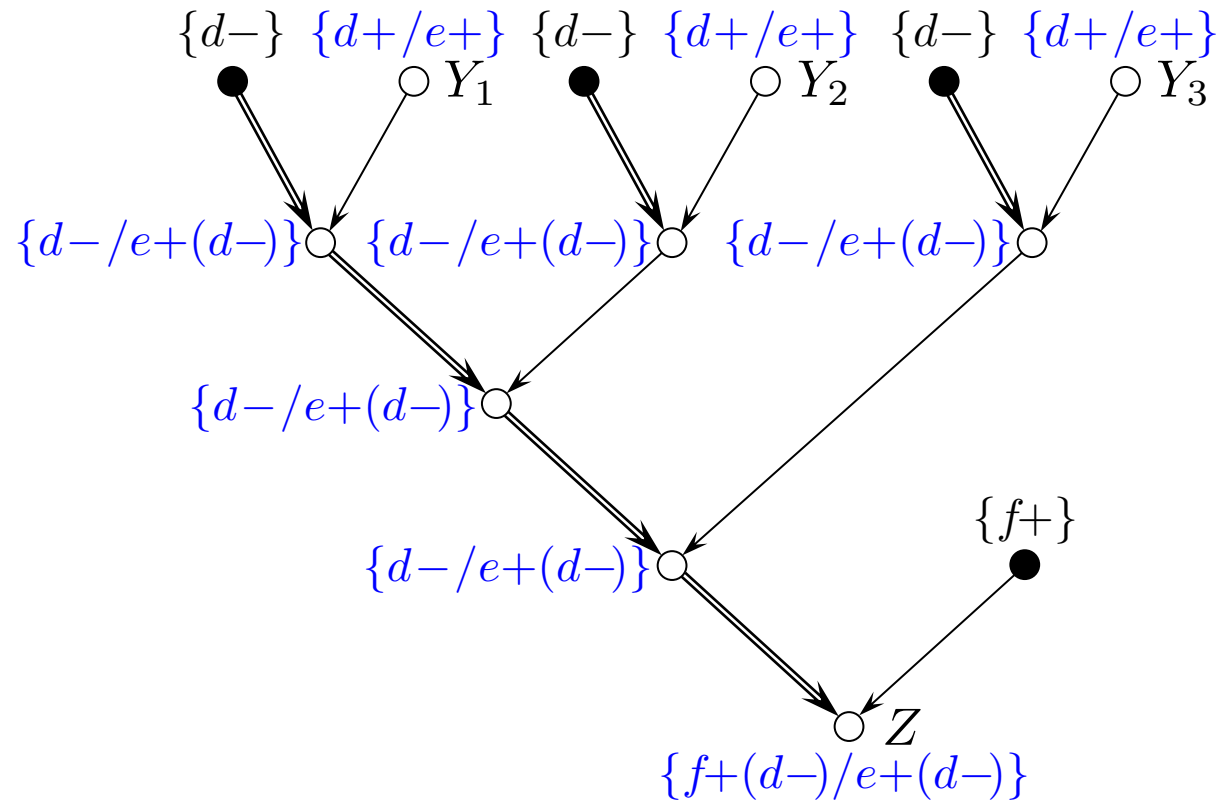
8-17-2010





# Fig\_ComplexityAndLong

8-17-2010



**DEFINITION 3.1 (CONSISTENCY).** *Two beliefs  $b_1, b_2$  are conflicting ( $b_1 \not\leftrightarrow b_2$ ) if they are either distinct positive beliefs  $v+$ ,  $w+$ , or one is  $v+$  and the other is  $v-$ . Otherwise,  $b_1, b_2$  are consistent ( $b_1 \leftrightarrow b_2$ ). A set of beliefs  $B$  is called consistent if any two beliefs  $b_1, b_2 \in B$  are consistent.*

**DEFINITION 3.2 (PREFERRED UNION).** *Given two consistent sets of beliefs  $B_1, B_2$ , their preferred union is:*

$$B_1 \vec{\cup} B_2 = B_1 \cup \{b_2 \mid b_2 \in B_2. (\forall b_1 \in B_1. b_1 \leftrightarrow b_2)\}$$

be a consistent set of positive and/or negative beliefs. For each paradigm  $\sigma \in \{\text{Agnostic}, \text{Eclectic}, \text{Skeptic}\}$  (abbreviated by  $\{\mathbf{A}, \mathbf{E}, \mathbf{S}\}$ ), the *normal form*  $Norm_\sigma(B)$  is:

$$Norm_{\mathbf{A}}(B) = \begin{cases} \{v+\} & \text{if } \exists v+ \in B \\ B & \text{otherwise} \end{cases}$$

$$Norm_{\mathbf{E}}(B) = B$$

$$Norm_{\mathbf{S}}(B) = \begin{cases} \{v+\} \cup (\perp - \{v-\}) & \text{if } \exists v+ \in B \\ B & \text{otherwise} \end{cases}$$

The *preferred union specialized to the paradigm*  $\sigma$  is:

$$B_1 \vec{\cup}_\sigma B_2 = Norm_\sigma (Norm_\sigma(B_1) \vec{\cup} Norm_\sigma(B_2)) \quad (1)$$

For example:

$$\{a-\} \vec{\cup}_{\mathbf{A}} \{b+\} = \{b+\}$$

$$\{a-\} \vec{\cup}_{\mathbf{E}} \{b+\} = \{b+, a-\}$$

$$\{a-\} \vec{\cup}_{\mathbf{S}} \{b+\} = \{b+, a-, c-, d-, \dots\}$$

$$\{b-\} \vec{\cup}_{\mathbf{S}} \{b+\} = \perp$$

A puzzling question is why is the **Skeptic** paradigm in PTIME, while the other two are hard. It is easy to see that the Boolean gates in Fig. 7 no longer work under **Skeptic**, but we do not consider this a satisfactory explanation. While we cannot give an ultimate cause, we point out one interesting difference. The preferred union for **Skeptic** is *as-associative*, while it is not associative for either **Agnostic** nor **Eclectic**. For example, consider the two expressions  $B_1 = \{a-\} \vec{\cup}_\sigma (\{a+\} \vec{\cup}_\sigma \{b+\})$ ,  $B_2 = (\{a-\} \vec{\cup}_\sigma \{a+\}) \vec{\cup}_\sigma \{b+\}$ . For **Agnostic**, we have  $B_2 = \{b+\}$ , for **Eclectic**  $B_2 = \{a-, b+\}$ , while for both  $B_1 = \{a-\}$ . By contrast, one can show that  $\vec{\cup}_s$  is associative. Associativity as a desirable property during data merging was pointed out in [14].



# The issue of associativity

null appears in a join column. No matter what choice is taken,  $\bowtie$  is not associative. Consider the relations

$q$	$r$	$s$
$\frac{A \quad B}{1 \quad 2}$	$\frac{B \quad C}{2 \quad 3}$	$\frac{A \quad C}{1 \quad 4}$

Computing  $(q \bowtie r) \bowtie s$  we get

$q'$	$\frac{A \quad B \quad C}{1 \quad 2 \quad 3}$
	$\frac{1 \quad \perp \quad 4}$

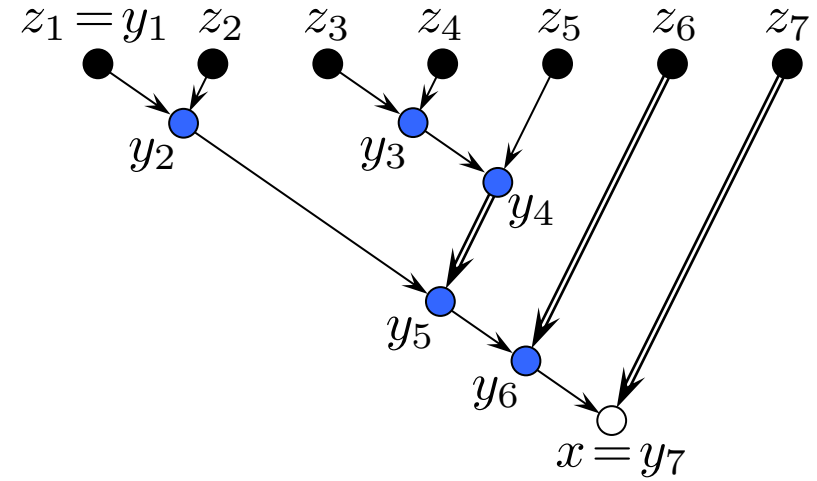
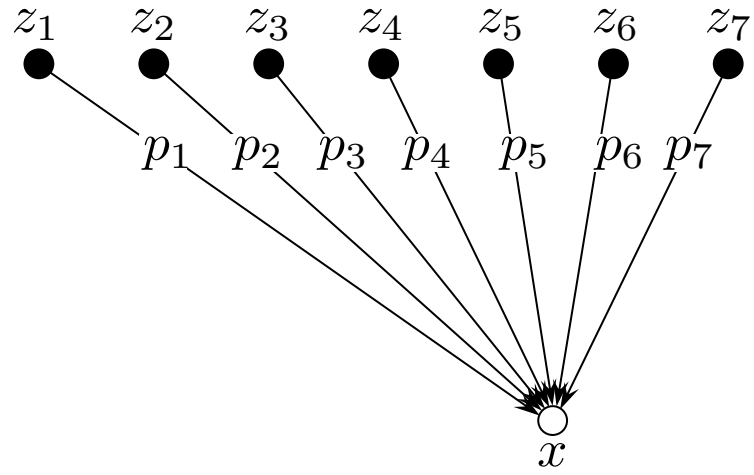
while  $q \bowtie (r \bowtie s)$  gives

$q''$	$\frac{A \quad B \quad C}{1 \quad 2 \quad 4}$
	$\frac{\perp \quad 2 \quad 3}$

$$\{a^-\} \bar{U}_a (\{a\} \bar{U}_a \{b\}) = \{a^-\}$$

$$(\{a^-\} \bar{U}_a \{a\}) \bar{U}_a \{b\} = \{b\}$$

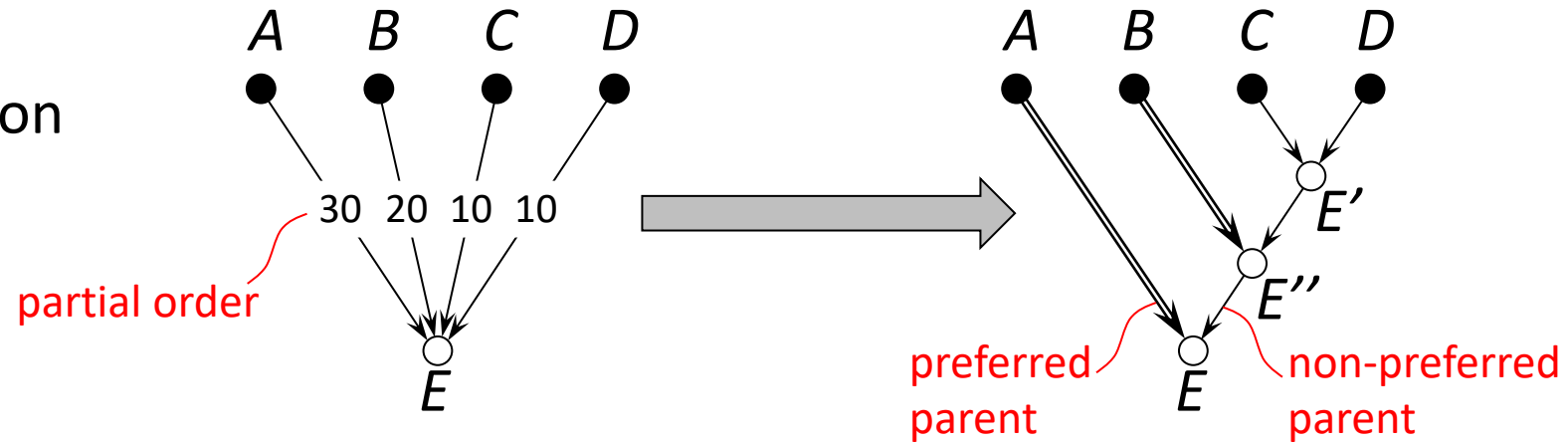
# Binarization example



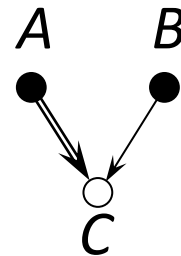
$$p_1 = p_2 < p_3 = p_4 = p_5 < p_6 < p_7$$

# Logic programs with stable model semantics

Step 1:  
Binarization

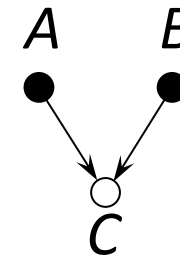


Step 2:  
Logic program



1: accept all **poss** of preferred parent

$\text{poss}(c,X) \text{ :- } \text{poss}(a,X).$   
 $\text{block}(c,b,Y) \text{ :- } \text{poss}(b,Y), \text{poss}(c,X), X \neq Y.$   
 $\text{poss}(c,Y) \text{ :- } \text{poss}(b,Y), \text{not } \text{block}(c,b,Y).$



$\text{block}(c,a,Y) \text{ :- } \text{poss}(a,Y), \text{poss}(c,X), X \neq Y.$   
 $\text{poss}(c,Y) \text{ :- } \text{poss}(a,Y), \text{not } \text{block}(c,a,Y).$   
 $\text{block}(c,b,Y) \text{ :- } \text{poss}(b,Y), \text{poss}(c,X), X \neq Y.$   
 $\text{poss}(c,Y) \text{ :- } \text{poss}(b,Y), \text{not } \text{block}(c,b,Y).$

2: accept **poss** from non-preferred parent, that are not conflicting with an existing value

# Binarization for Resolution Algorithm\*

## Example Trust Network (TN)

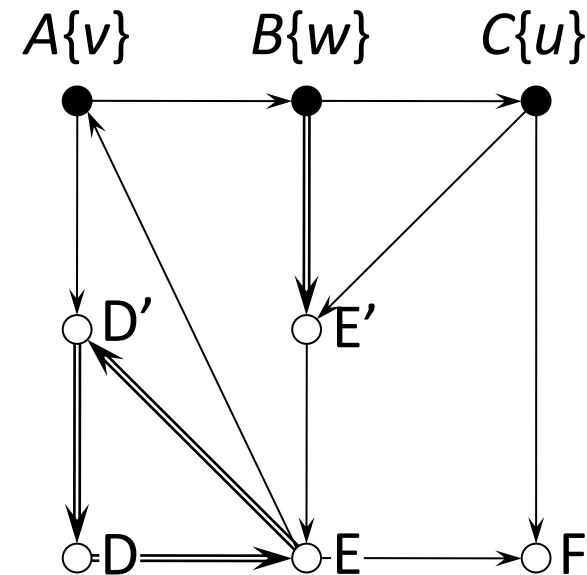
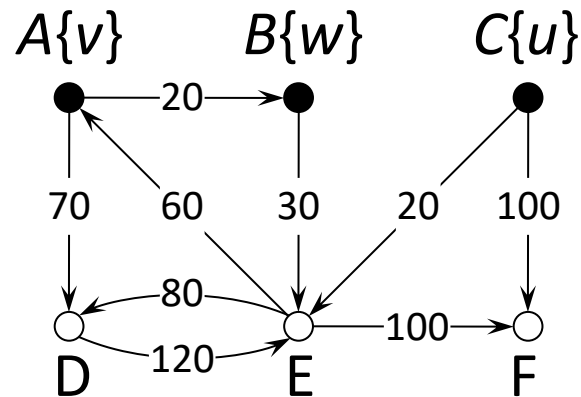
6 nodes, 9 arcs (size 15)

3 explicit beliefs: A:v, B:w, C:u

## Corresponding Binary TN (BTN)

8 nodes, 12 arcs (size 20)

Size increase (N+E):  $\leq 3$

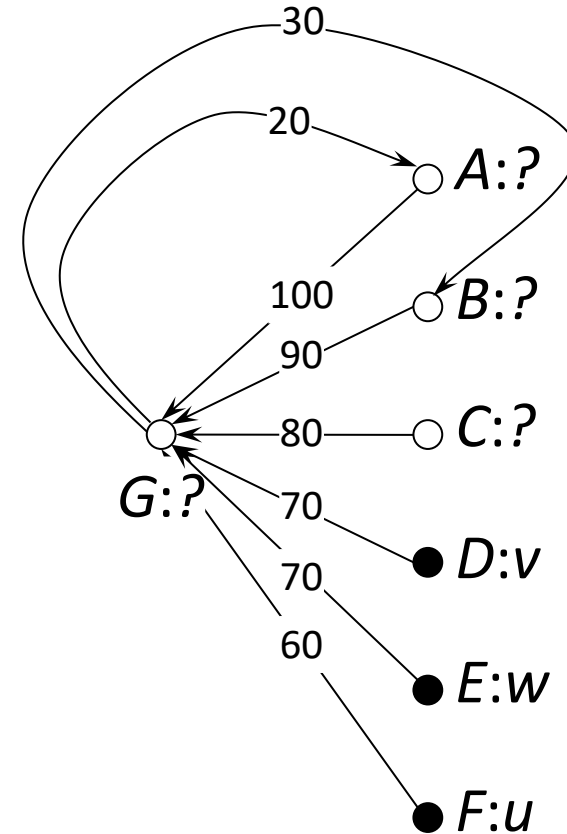


\* Note that binarization is not necessary, but greatly simplifies the presentation



# Stable solutions: example 2

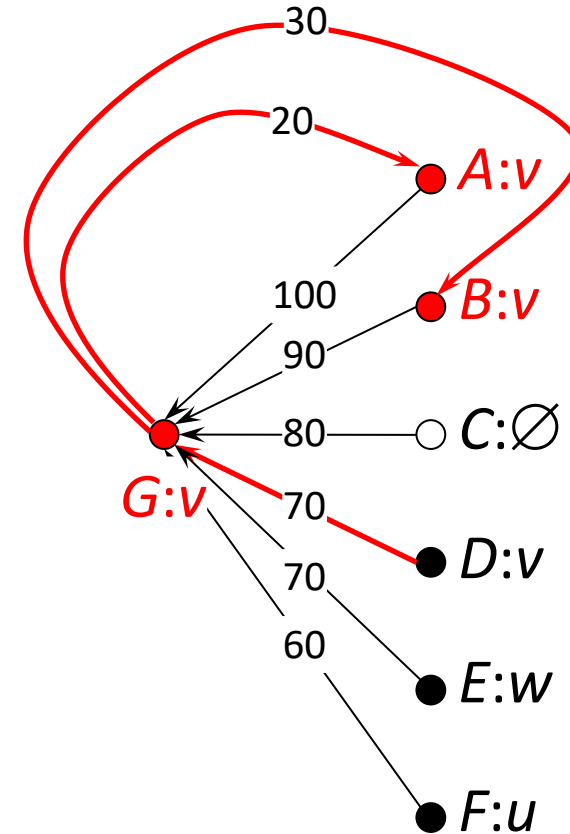
- Priority trust network (TN)
  - assume a fixed key
  - users (nodes):  $A, B, C$
  - values (beliefs):  $v, w, u$
  - trust mappings (arcs) from “parents”
- Stable solution
  - assignment of values to each node\*, s.t. each belief has a “*non-dominated lineage*” to an explicit belief
- Certain values
  - all stable solution determine, for each node, a possible value (“poss”)
  - certain value (“cert”) = intersection of all stable solutions



\* each node with at least one ancestor with explicit belief

# Stable solutions: example 2

- Priority trust network (TN)
  - assume a fixed key
  - users (nodes):  $A, B, C$
  - values (beliefs):  $v, w, u$
  - trust mappings (arcs) from “parents”
- Stable solution
  - assignment of values to each node\*, s.t. each belief has a “*non-dominated lineage*” to an explicit belief
- Certain values
  - all stable solution determine, for each node, a possible value (“poss”)
  - certain value (“cert”) = intersection of all stable solutions

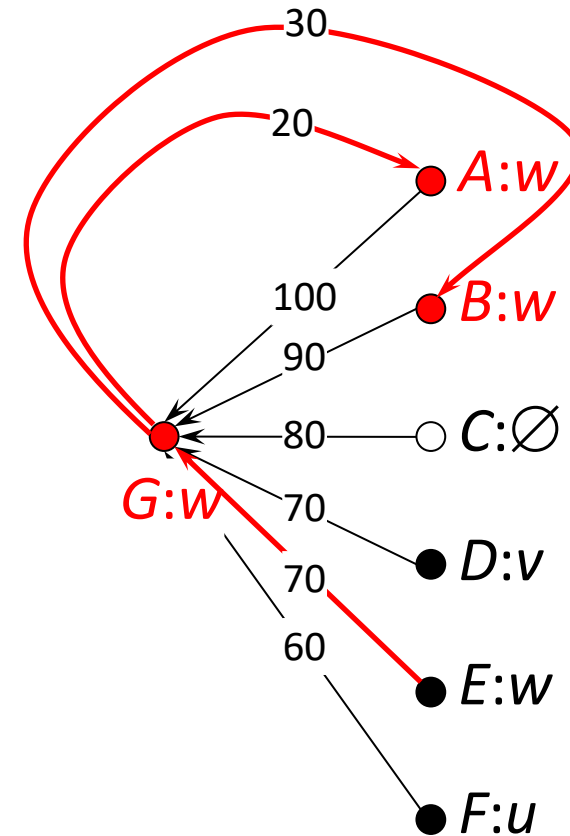


$$\text{poss}(G) = \{v, \dots\}$$

\* each node with at least one ancestor with explicit belief

# Stable solutions: example 2

- Priority trust network (TN)
  - assume a fixed key
  - users (nodes):  $A, B, C$
  - values (beliefs):  $v, w, u$
  - trust mappings (arcs) from “parents”
- Stable solution
  - assignment of values to each node\*, s.t. each belief has a “*non-dominated lineage*” to an explicit belief
- Certain values
  - all stable solution determine, for each node, a possible value (“poss”)
  - certain value (“cert”) = intersection of all stable solutions

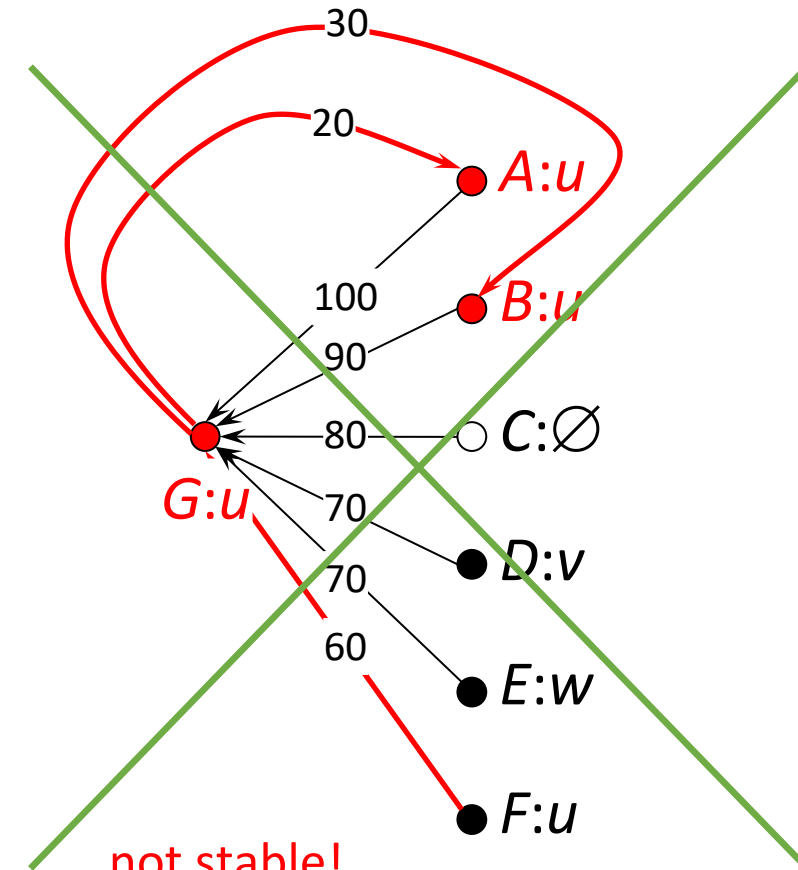


$$\text{poss}(G) = \{v, w, \dots\}$$

\* each node with at least one ancestor with explicit belief

# Stable solutions: example 2

- Priority trust network (TN)
  - assume a fixed key
  - users (nodes):  $A, B, C$
  - values (beliefs):  $v, w, u$
  - trust mappings (arcs) from “parents”
- Stable solution
  - assignment of values to each node\*, s.t. each belief has a “*non-dominated lineage*” to an explicit belief
- Certain values
  - all stable solution determine, for each node, a possible value (“poss”)
  - certain value (“cert”) = intersection of all stable solutions



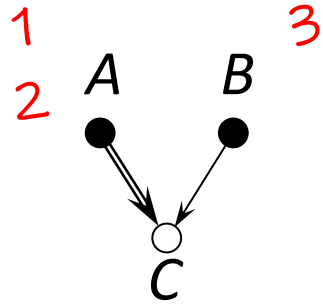
$$\text{poss}(G) = \{v, w\}$$

$$\text{cert}(G) = \emptyset$$

\* each node with at least one ancestor with explicit belief

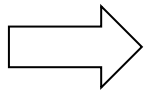
exercise

# Logic programs with stable model semantics



```
poss(c,X) :- poss(a,X).  
block(c,b,Y) :- poss(b,Y), poss(c,X), X!=Y.  
poss(c,Y) :- poss(b,Y), not block(c,b,Y).
```

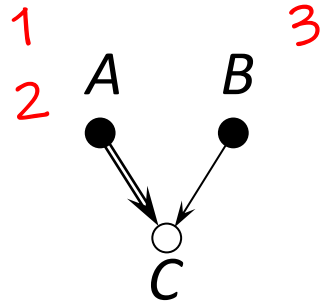
```
poss(a,1).  
poss(a,2).  
poss(b,3).
```



poss(c,X) ?



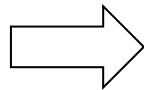
# Logic programs with stable model semantics



```
poss(c,X) :- poss(a,X).
block(c,b,Y) :- poss(b,Y), poss(c,X), X!=Y.
poss(c,Y) :- poss(b,Y), not block(c,b,Y).
```

```
poss(c,1) :- poss(a,1)
poss(c,2) :- poss(a,2)
poss(c,3) :- poss(a,3)
block(c,b,3) :- poss(b,3), poss(c,1), X!=Y
block(c,b,3) :- poss(b,3), poss(c,2), X!=Y
block(c,b,3) :- poss(b,1), poss(c,3), X!=Y
...
poss(c,3) :- poss(b,3), not block(c,b,3)
poss(c,2) :- poss(b,2), not block(c,b,2)
...
```

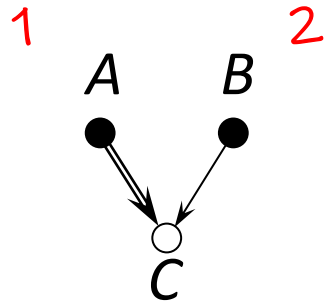
```
poss(a,1).
poss(a,2).
poss(b,3).
```



poss(c,X) ?

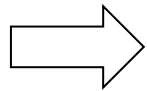
M={ poss(a,1), poss(a,2), poss(b,3),  
poss(c,1), poss(c,2) }

# Logic programs with stable model semantics



```
block(c,a,Y) :- poss(a,Y), poss(c,X), X!=Y.  
  poss(c,Y) :- poss(a,Y), not block(c,a,Y).  
block(c,b,Y) :- poss(b,Y), poss(c,X), X!=Y.  
  poss(c,Y) :- poss(b,Y), not block(c,b,Y).
```

```
poss(a,1).  
poss(a,2).
```



poss(c,X) ?





# Topic 1: Data models and query languages

## Unit 4: Datalog

### Lecture 13

Wolfgang Gatterbauer

CS7240 Principles of scalable data management (sp24)

<https://northeastern-datalab.github.io/cs7240/sp24/>

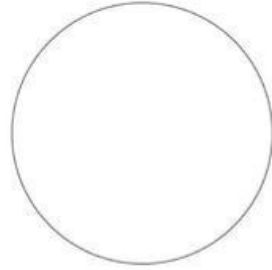
2/27/2024

# Pre-class conversations

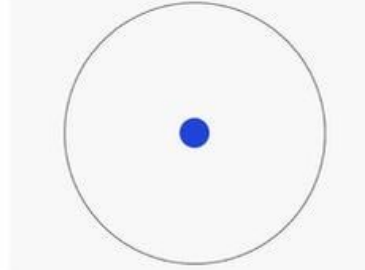
- Last class summary
- Feedback on Feedback on scribes?
- Project discussions (in class and after or via email and office hours)
- Faculty candidates (THU Feb 29, WED March 20)
  
- Today:
  - The power of disjunctions: Disjunctive Logic Programs (NP and Co-NP in the same program...)

# About research (getting a PhD or finding a project topic)

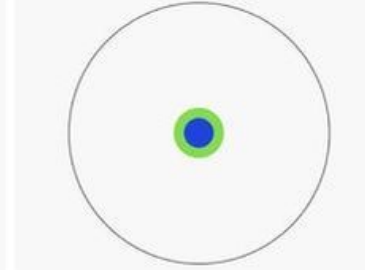
Imagine a circle that contains all of human knowledge:



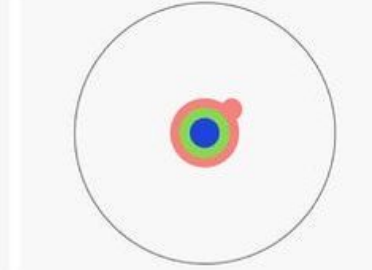
By the time you finish elementary school, you know a little:



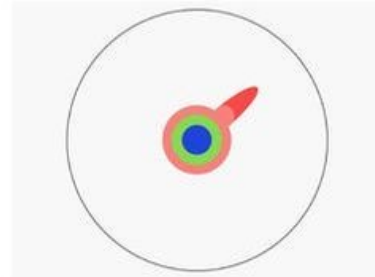
By the time you finish high school, you know a bit more:



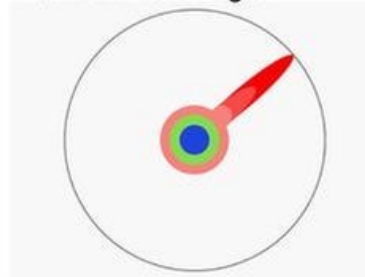
With a bachelor's degree, you gain a specialty:



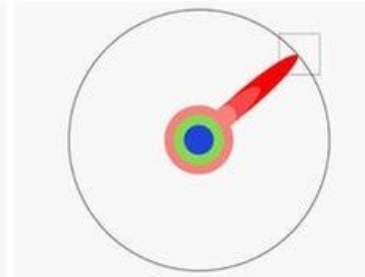
A master's degree deepens that specialty:



Reading research papers takes you to the edge of human knowledge:



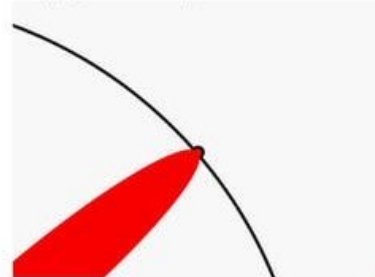
Once you're at the boundary, you focus:



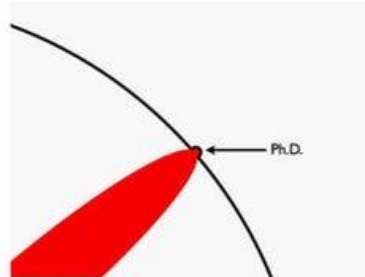
You push at the boundary for a few years:



Until one day, the boundary gives way:



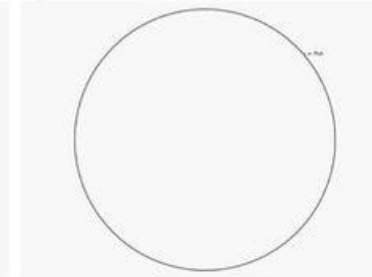
And, that dent you've made is called a Ph.D.:



Of course, the world looks different to you now:



So, don't forget the bigger picture:



*The last comment: Keep pushing!*

# Outline: T1-4: Datalog & ASP

- Datalog
- Answer Set Programming
  - Intro to Rules with Negation
  - Horn clauses and Logic Programming
  - Stable model semantics
  - An application and surprising complexity result
  - The power of Disjunctions
  - [A surprising application: automating hardness proofs: moved to T2-U4: Reverse Data Management]



# Disjunctive Logic Programming with Clingo/Potassco

(Examples prepared together

with [Neha Makhija](#)

<https://nehamakhija.github.io/>)



## Potassco

### Getting Started

Answer Set Programming (ASP) offers a simple and powerful modeling language to solve combinatorial problems. With our tools you can concentrate on an actual problem, rather than a smart way of implementing it. [Get started!](#)

To get a quick first impression, you may want to experiment with [running clingo](#) in your browser.

### Documentation

A comprehensive documentation of our software can be found in the [Potassco guide](#). For additional resources, see the [documentation](#) page.

### Systems

To find out more about a specific system and a download link, follow one of the links below.

- [clingo](#) is an ASP system to ground and solve logic programs.
  - [gringo](#) is a grounder (powering the grounding in clingo).
  - [clasp](#) is a solver (powering the search in clingo).
- [clingcon](#) extends clingo with constraint solving capabilities.
- [aspud](#) is a solver for package dependencies.
- [asprin](#) is a general framework for qualitative and quantitative optimization in ASP.

Potassco start page: <https://potassco.org/>

Clingo start page: <https://potassco.org/clingo/>

Running clingo in the browser: <https://potassco.org/clingo/run/>

Teaching material: <https://teaching.potassco.org/>

Download: <https://github.com/potassco/clingo/releases/>

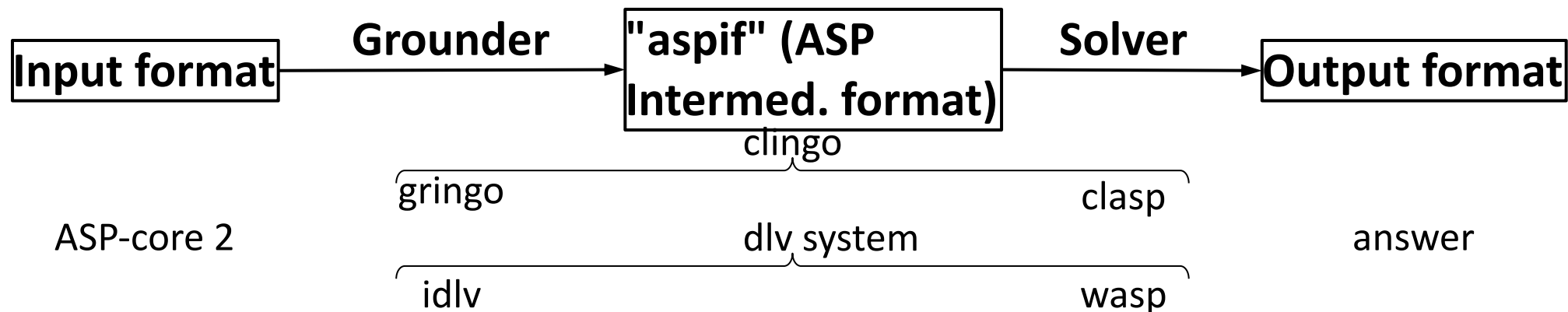
clingo user guide: <https://github.com/potassco/guide/releases/download/v2.2.0/guide.pdf>

Wolfgang Gatterbauer. Principles of scalable data management: <https://northeastern-datalab.github.io/cs7240/>

# Clingo Implementation

**clingo** is a **monolithic** system that combines two steps and offers more control than using the two tools individually:

- **gringo**: a **grounder** that, given an input program with first-order variables, computes an equivalent ground (variable-free) program
- **clasp**: a **solver** that works on ground program (like other answer set solvers)
  - relies on conflict-driven nogood learning, a technique that proved very successful for SAT
  - does not rely on legacy software, such as a SAT solver or any other existing ASP solver



Sources: <https://potassco.org/clingo/>, "ASP-Core-2 Input Language Format. Calimeri, Faber, Gebser, et al. TPLP, 2020, <https://doi.org/10.1017/S1471068419000450>",

"How to Build Your Own ASP-based System?!", Kaminski, Romero, Schaub, Wanko, TPLP, 2023. <https://doi.org/10.1017/S1471068421000508>"

Wolfgang Gatterbauer. Principles of scalable data management: <https://northeastern-datalab.github.io/cs7240/>

# Complexity and Expressive Power of Logic Programming

EVGENY DANTSIN

Roosevelt University, Chicago, IL, USA

THOMAS EITER, GEORG GOTTLÖB

Vienna University of Technology, Austria

AND

ANDREI VORONKOV

University of Manchester, United Kingdom

THEOREM 5.7. ([Marek and Truszczyński 1991; Bidoit and Froidevaux 1991]). Given a propositional normal logic program  $P$ , deciding whether  $SM(P) \neq \emptyset$  is NP-complete.

THEOREM 5.8. (Marek and Truszczyński 1991; Schlipf 1995b; Kolaitis and Papadimitriou 1991]). Propositional logic programming with negation under SMS is co-NP-complete. Datalog with negation under SMS is data complete for co-NP and program complete for co-NEXPTIME.

Note that every stratified  $P$  has a unique stable model, and its stratified and stable semantics coincide. Unstratified rules increase complexity.

Informally, *disjunctive logic programming (DLP)* extends logic programming by adding disjunction in the rule heads, in order to allow more natural and flexible knowledge representation. For example,

$$\text{male}(X) \vee \text{female}(X) \leftarrow \text{person}(X)$$

naturally represents that any person is either male or female.

Modeling problems beyond the class NP with ASP is possible to some extent. Namely, when disjunctions are allowed in the heads of rules, every decision problem in the class  $\Sigma_2^P$  can be modeled in a uniform way by a finite program (Dantsin et al. 2001). However, modeling problems beyond NP with ASP is complicated and the generate-define-test approach is no longer sufficient in general. Additional techniques such as *saturation* (Eiter and Gottlob 1995) are needed but they are difficult to use, and may introduce constraints that have no direct relation to constraints of the problem being modeled. As stated explicitly in (Gebser et al. 2011) "unlike the ease of common ASP modeling, [...] these techniques are rather involved and hardly usable by ASP laymen."

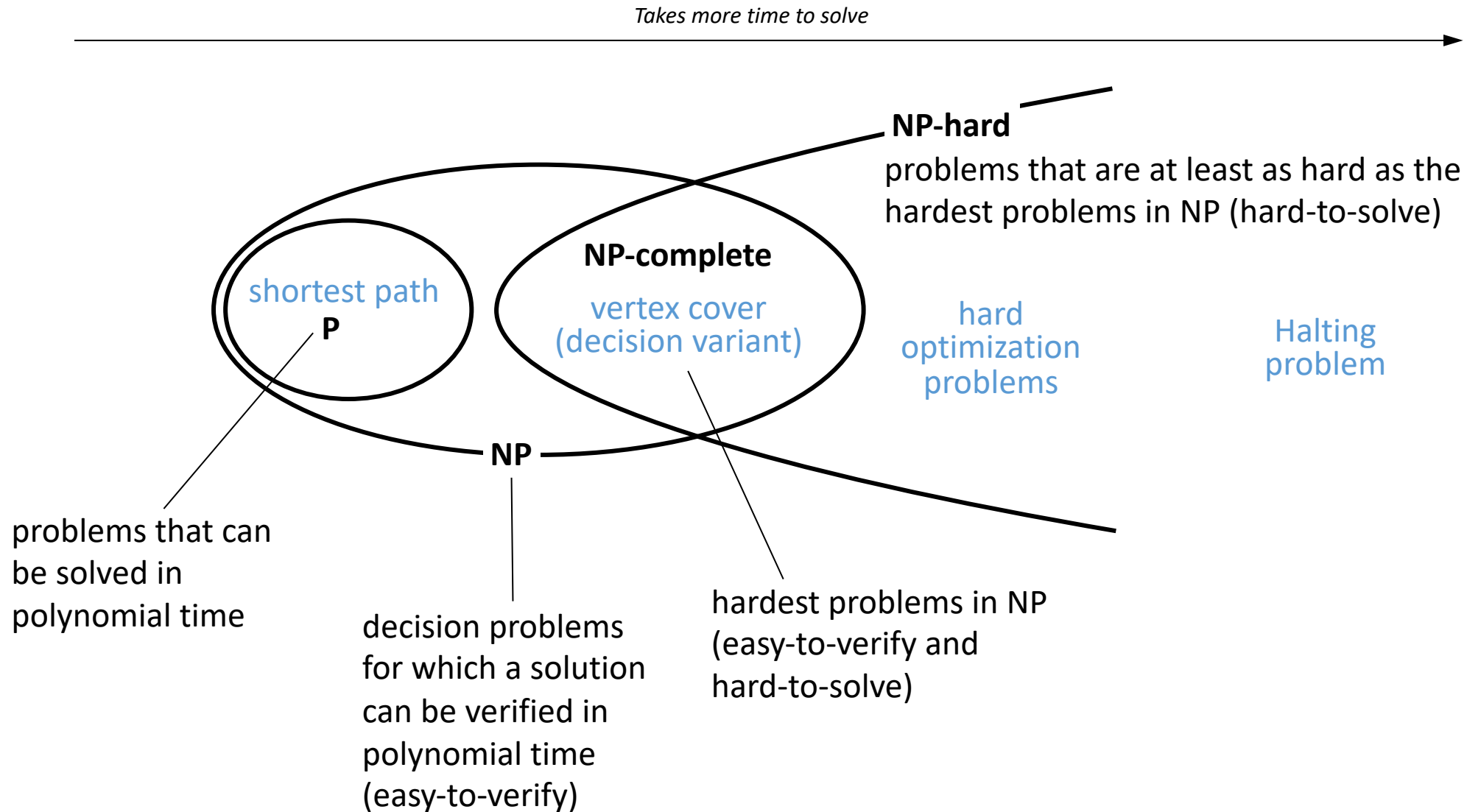
"normal" means no disjunctions in head

Example for NP-complete problem: Boolean satisfiability problem: "given a Boolean formula, is it satisfiable" (i.e. is there an input for which the formula outputs true)?

Example for co-NP problem: the complementary problem asks: "given a Boolean formula, is it unsatisfiable" (i.e. do all possible inputs to the formula output false)?

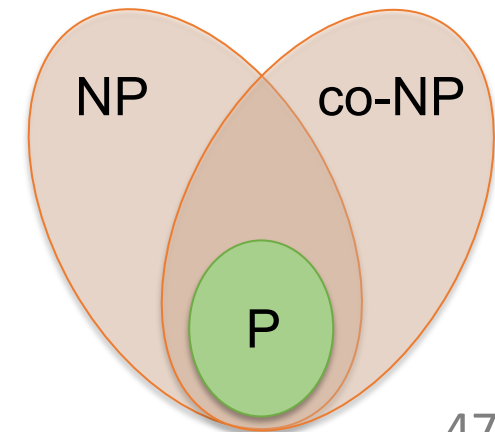


# NP-hardness (assuming $P \neq NP$ )



# NP vs. Co-NP

- NP: decision problems for which a solution can be verified in PTIME
  - SAT: Given a Boolean formula, is it satisfiable (i.e. there is an input for which the formula outputs true)?  
 $\varphi = (x \vee y \vee z) \wedge (\bar{x} \vee z \vee w) \wedge (\bar{y} \vee \bar{z} \vee \bar{w})$  3SAT (3CNF)
  - 3-colorability: Given a graph, is there an assignment of colors to nodes s.t. no edge connects same colors?
  - VC (Vertex Cover): Given a graph and a number  $k$  (as part of input), is there a VC of size  $k$  or smaller?
- Co-NP-complete: A decision problem is in co-NP if its complement is in NP.
  - $\text{Co-NP} = \{L \mid \bar{L} \in \text{NP}\}$
  - UNSAT: Given a Boolean formula, is it unsatisfiable (i.e. is it false for all choices of inputs)?
  - Tautology: Given a Boolean formula, is it a tautology (i.e. is it true for all choices of inputs)?
  - Uncolorable: Given a graph, is there no assignment of colors to nodes s.t. edges connect different colors?
  - "UNCOVERABLE": Given a graph and a number  $k$ , is there no VC of size  $k$  or smaller?



# Computational Complexity of Logic Programs (LP) / ASP

a disjunctive LP with optimization statements

- Deciding whether an atom is in an optimal SM (stable model) is  $\Delta_3^P$ -complete
- Deciding whether a set of atoms is an optimal SM is **co-NP<sup>NP</sup>-complete**

a disjunctive LP

- Deciding whether an atom is in a SM is **NP<sup>NP</sup>-complete**
- Deciding whether a set of atoms is a SM of a disjunctive P is **co-NP-complete**

a normal LP with optimization statements

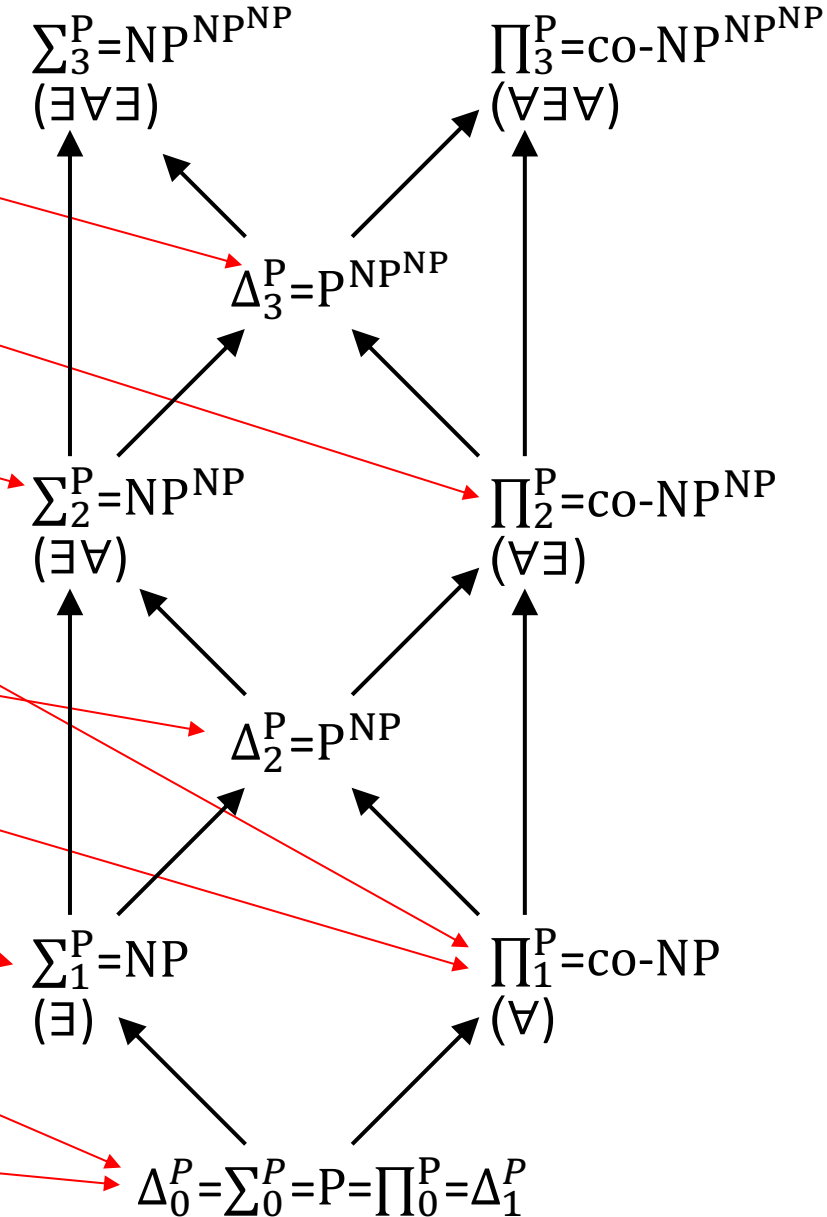
- Deciding whether an atom is in an optimal SM is  $\Delta_2^P$ -complete
- Deciding whether a set of atoms is an optimal SM is **co-NP-complete**

a normal LP (no disjunction in head)

- Deciding whether an atom is in a SM is **NP-complete** (e.g. satisfiability)
- Deciding whether a set of atoms is a SM is **P-complete**

a positive normal LP (no negation in body)

- Deciding whether an atom is in a stable model, or whether a set of atoms is a stable model is **P-complete** (cf. Datalog)



# Details on Disjunctive Logic Programming

- 3-colorability
  - 3-colorability with normal or disjunctive logic programs
  - 3-uncolorability with cautious semantics
- Optimization
  - Minimal Vertex Cover with weak constraints, optimization, aggregates
  - Shortest paths with aggregation (contrast Clingo vs Souffle)
- Saturation for Disjunctive Logic Programs
  - Minimal example for the power of saturation
  - Uncolorability (program is satisfiable iff a graph is not 3-colorable)
  - Minimal Vertex Cover of a particular size without minimization

# 3-colorability (1/6)

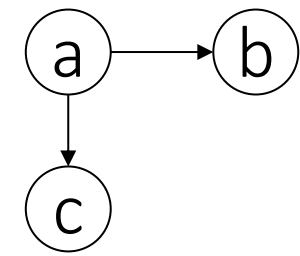
clingo 3colorability1.txt

3colorability1.txt

```
vertex(a). vertex(b). vertex(c). edge(a,b). edge(a,c).
color(X,1) :- not color(X,2), not color(X,3), vertex(X).
color(X,2) :- not color(X,3), not color(X,1), vertex(X).
color(X,3) :- not color(X,1), not color(X,2), vertex(X).
:- edge(X,Y), color(X,C), color(Y,C).
```

Recall that an empty head encodes a constraint that the body can't be true. Thus no two neighbors in a valuation can share colors.

Capital letters are variables, lowercase letters and numbers are constants (notice the difference to Souffle)



Returns a stable model if it exists. Since there is a stable model, the problem is "satisfiable".

Answer: 1  
vertex(a) vertex(b) vertex(c) edge(a,b) edge(a,c) color(a,1)  
color(b,3) color(c,3)  
SATISFIABLE

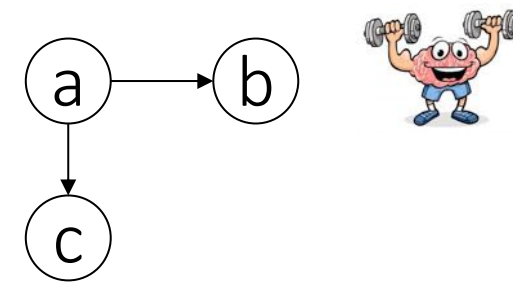
# 3-colorability (2/6)

clingo 3colorability2.txt

3colorability2.txt

```
vertex(a). vertex(b). vertex(c). edge(a,b). edge(a,c).  
color(X,1) :- not color(X,2), not color(X,3), vertex(X).  
color(X,2) :- not color(X,3), not color(X,1), vertex(X).  
color(X,3) :- not color(X,1), not color(X,2), vertex(X).  
notcolored :- edge(X,Y), color(X,C), color(Y,C).  
:- notcolored.
```

But "notcolored" cannot be true



Now, if any two neighbors in a valuation share colors, then "notcolored" needs to be true.

Answer: 1  
vertex(a) vertex(b) vertex(c) edge(a,b) edge(a,c) color(a,1)  
color(b,3) color(c,3)  
SATISFIABLE

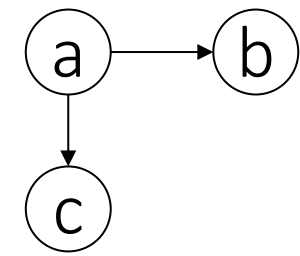
# 3-colorability (3/6)

```
clingo 3colorability3.txt
```

```
3colorability3.txt
```

```
vertex(a). vertex(b). vertex(c). edge(a,b). edge(a,c).  
color(X,1) :- not color(X,2), not color(X,3), vertex(X).  
color(X,2) :- not color(X,3), not color(X,1), vertex(X).  
color(X,3) :- not color(X,1), not color(X,2), vertex(X).  
notcolored :- edge(X,Y), color(X,C), color(Y,C).  
a :- notcolored, not a.
```

Another way to think about the empty header from the previous pages: if "notcolored" is true, then the body of a rule is "a :- not a", which has no stable model.



```
Answer: 1  
vertex(a) vertex(b) vertex(c) edge(a,b) edge(a,c) color(a,1)  
color(b,3) color(c,3)  
SATISFIABLE
```

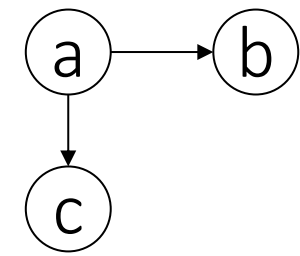
# 3-colorability (4/6)

```
clingo 3colorability4.txt
```

```
3colorability4.txt
```

```
vertex(a). vertex(b). vertex(c). edge(a,b). edge(a,c).  
color(X,1) :- not color(X,2), not color(X,3), vertex(X).  
color(X,2) :- not color(X,3), not color(X,1), vertex(X).  
color(X,3) :- not color(X,1), not color(X,2), vertex(X).  
:- edge(X,Y), color(X,C), color(Y,C).  
#show color/2.
```

Only show the predicate "color" with arity=2 (i.e. 2 arguments). clingo allows different predicates with same name but different arities; thus we need to include the "/2"



```
Answer: 1  
color(a,1) color(b,3) color(c,3)  
SATISFIABLE
```



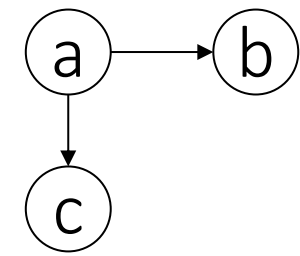
# 3-colorability (5/6)

```
clingo 3colorability4.txt -n 0
```

3colorability4.txt

```
vertex(a). vertex(b). vertex(c). edge(a,b). edge(a,c).
color(X,1) :- not color(X,2), not color(X,3), vertex(X).
color(X,2) :- not color(X,3), not color(X,1), vertex(X).
color(X,3) :- not color(X,1), not color(X,2), vertex(X).
:- edge(X,Y), color(X,C), color(Y,C).
#show color/2.
```

Show all models



12 possible colorings.  
 $12 = 3 \text{ (for } a) * 2 * 2 \text{ (for } b \text{ and } c)$

```
Answer: 1
color(a,1) color(b,3) color(c,3)
Answer: 2
color(a,1) color(b,3) color(c,2)
Answer: 3
color(a,1) color(b,2) color(c,3)
Answer: 4
color(a,1) color(b,2) color(c,2)
...
Answer: 11
color(a,3) color(b,2) color(c,2)
Answer: 12
color(a,3) color(b,1) color(c,2)
SATISFIABLE
```

# 3-colorability (6/6)

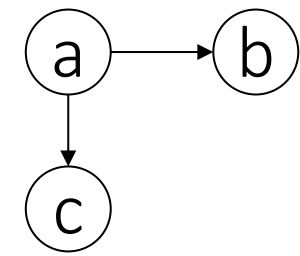
```
clingo 3colorability5.txt -n 0
```

3colorability5.txt

```
vertex(a). vertex(b). vertex(c). edge(a,b). edge(a,c).  
color(X,1) :- not color(X,2), not color(X,3), vertex(X).  
color(X,2) :- not color(X,3), not color(X,1), vertex(X).  
color(X,3) :- not color(X,1), not color(X,2), vertex(X).  
:- edge(X,Y), color(X,C), color(Y,C).  
#show.  
#show (X,C) : color(X,C).
```

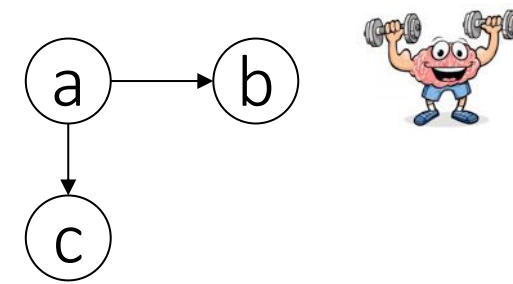
Turns off printing of all predicates by default

Conditional statement: shows (X,C) terms if color(X, C) is true



```
Answer: 1  
(a,1) (b,3) (c,3)  
Answer: 2  
(a,1) (b,3) (c,2)  
Answer: 3  
(a,1) (b,2) (c,3)  
Answer: 4  
(a,1) (b,2) (c,2)  
...  
Answer: 11  
(a,3) (b,2) (c,2)  
Answer: 12  
(a,3) (b,1) (c,2)  
SATISFIABLE
```

# 3-colorability: now with disjunction



```
clingo 3colorability-disjunction.txt -n 0
```

```
3colorability-disjunction.txt
```

```
vertex(a). vertex(b). vertex(c). edge(a,b). edge(a,c).
```

```
color(X,1) | color(X,2) | color(X,3) :- vertex(X).
```

```
:- edge(X,Y), color(X,C), color(Y,C).
```

```
#show.
```

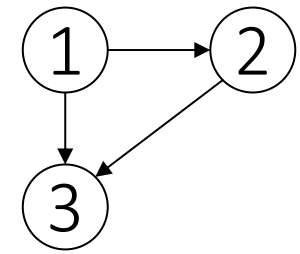
```
#show (X,C) : color(X,C).
```

- Guess a possible color assignment of vertices. This rule does not prevent a vertex from getting assigned >1 color.
- However, a vertex having multiple colors is not part of a minimal model since it is a superset of a valid coloring.

```
Answer: 1  
(a,1) (b,3) (c,3)  
Answer: 2  
(a,1) (b,3) (c,2)  
Answer: 3  
(a,1) (b,2) (c,3)  
Answer: 4  
(a,1) (b,2) (c,2)  
...  
Answer: 11  
(a,3) (b,2) (c,2)  
Answer: 12  
(a,3) (b,1) (c,2)  
SATISFIABLE
```

clingo also allows ";" instead of "|" for disjunctions

# 3-colorability: Brave semantics (1/2)



```
clingo 3colorability-brave1.txt -n 0
```

3colorability-brave1.txt *defines a range 1, 2, 3*

```
vertex(1..3). edge(1,2). edge(1,3). edge(2,3).
color(X,1) | color(X,2) | color(X,3) :- vertex(X).
notcolored :- edge(X,Y), color(X,C), color(Y,C).
colored :- not notcolored.
#show.
#show yes : colored.
#show no : notcolored.
```

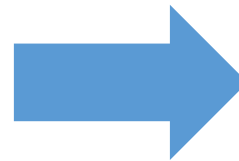
We use here disjunction although not needed

If any two neighbors in a valuation share colors, then "notcolored" needs to be true. Since it is the only rule with "notcolored" in the head, "notcolored" is true iff any two neighbors share the color.

"colored" is true if "notcolored" is not.

Show "yes" if colored is true.  
Show "no" if notcolored is true.

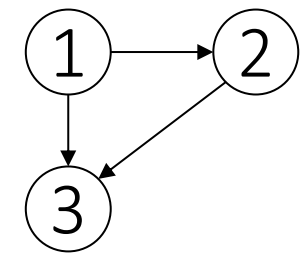
In a minimal model, notcolored and colored are not true at the same time. Thus "colored" is only true in a stable model where "notcolored" is not true and thus the color assignment is valid.



```
Answer: 1
no
Answer: 2
yes
Answer: 3
no
...
Answer: 27
no
SATISFIABLE
```

Notice 27 possible colorings. Each is either a valid coloring ("yes") or not ("no").

# 3-colorability: Brave semantics (2/2)



```
clingo 3colorability-brave2.txt -e brave
```

3colorability-brave2.txt

```
vertex(1..3). edge(1,2). edge(1,3). edge(2,3).
color(X,1) | color(X,2) | color(X,3) :- vertex(X).
notcolored :- edge(X,Y), color(X,C), color(Y,C).
colored :- not notcolored.
#show.
#show yes : colored.
```

"brave" execution mode gives possible answers (union): Is there an answer set in which the query (here "yes=true") holds?

Clingo uses multiple answer sets to converge on the final union/intersection. "Consequences [d;p]" are essentially lower and upper bounds which converge towards d=p.

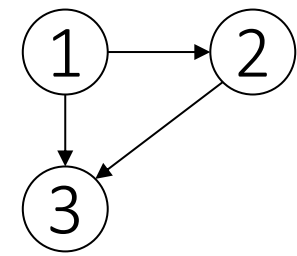
The 2<sup>nd</sup> (last) answer (after convergence) is the union of all models: it contains "colored", thus we see "yes": there is some answer that is correct.

```
Answer: 1
Consequences: [0;1]
Answer: 2
yes
Consequences: [1;1]
SATISFIABLE
```

"yes", thus there exists some model in which "colored" is true

(Details: There are  $d$  definite consequences and  $p$  probable consequences. For brave semantics, the value of  $d$  increases with processing of more models while in cautious semantics the value of  $p$  decreases.)

# 3-uncolorability: Cautious semantics (1/3)



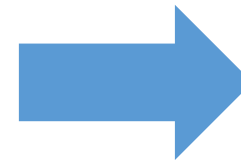
```
clingo 3colorability-cautious1.txt -e brave
```

```
3colorability-cautious1.txt
```

```
vertex(1..3). edge(1,2). edge(1,3). edge(2,3).
color(X,1) | color(X,2) | color(X,3) :- vertex(X).
notcolored :- edge(X,Y), color(X,C), color(Y,C).
colored :- not notcolored.
#show.
#show yes : notcolored.
```

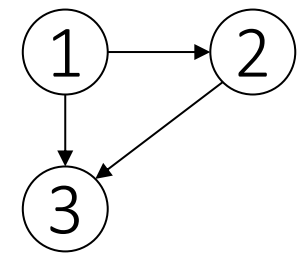
Here, clingo happens to find that the first stable model it looks at has "notcolored" as true. Thus it does not need to look further: it knows that the union of the answers contains "notcolored"

Here we are asking if there is at least one stable model (one answer set) in which "notcolored" is true.



```
Answer: 1
yes
Consequences: [1;1]
SATISFIABLE
```

# 3-uncolorability: Cautious semantics (2/3)



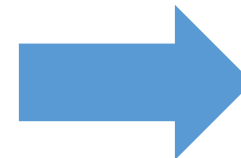
```
clingo 3colorability-cautious1.txt -e cautious
```

3colorability-cautious1.txt

```
vertex(1..3). edge(1,2). edge(1,3). edge(2,3).  
color(X,1) | color(X,2) | color(X,3) :- vertex(X).  
notcolored :- edge(X,Y), color(X,C), color(Y,C).  
colored :- not notcolored.  
#show.  
#show yes : notcolored.
```

"cautious" execution model gives certain answers (intersection): Is it true that the query holds in *all* stable models?

Even by looking at the 2<sup>nd</sup> answer, we are done: it does not contain "notcolored" and thus the answer is no: the intersection does not contain "notcolored".



```
Answer: 1  
yes  
Consequences: [0;1]  
Answer: 2  
Consequences: [0;0]  
SATISFIABLE
```

We therefore do not see "yes".

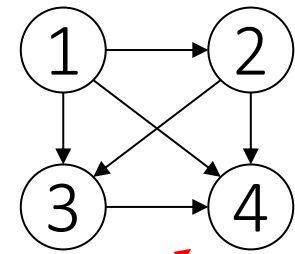
# 3-uncolorability: Cautious semantics (3/3)



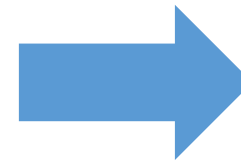
```
clingo 3colorability-cautious2.txt -e cautious
```

```
3colorability-cautious2.txt
```

```
vertex(1..4). edge(1,2..4). edge(2,3..4). edge(3,4).  
color(X,1) | color(X,2) | color(X,3) :- vertex(X).  
notcolored :- edge(X,Y), color(X,C), color(Y,C).  
colored :- not notcolored.  
#show.  
#show yes : notcolored.
```



This new graph (a 4-clique) is not 3-colorable. Thus "notcolored" is true in all stable models, thus in all attempts to assign colors to vertices. The intersection thus contains "notcolored"



```
Answer: 1  
yes  
Consequences: [0;1]  
SATISFIABLE
```



# Details on Disjunctive Logic Programming

- 3-colorability
  - 3-colorability with normal or disjunctive logic programs
  - 3-uncolorability with cautious semantics
- Optimization
  - Minimal Vertex Cover with weak constraints, optimization, aggregates
  - Shortest paths with aggregation (contrast Clingo vs Souffle)
- Saturation for Disjunctive Logic Programs
  - Minimal example for the power of saturation
  - Uncolorability (program is satisfiable iff a graph is not 3-colorable)
  - Minimal Vertex Cover of a particular size without minimization

# Weak constraints for optimization

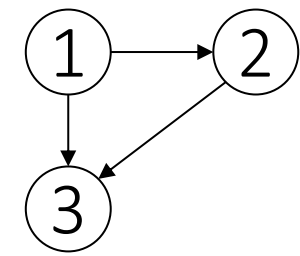
## 3.1.13 Optimization

Optimization statements extend the basic question of whether a set of atoms is an answer set to whether it is an optimal answer set. To support this reasoning mode, *gringo* and *clingo* adopt *dlv*'s weak constraints [14]. The form of weak constraints is similar to integrity constraints (cf. Section 3.1.2) being associated with a term tuple:

$$:\sim L_1, \dots, L_n. [w@p, t_1, \dots, t_n]$$

The priority '@*p*' is optional. For simplicity, we first consider the non-prioritized case omitting '@*p*'. Whenever the body of a weak constraint is satisfied, it contributes its term tuple (as with aggregates, each tuple is included at most once) to a cost function. This cost function accumulates the integer weights *w* of all contributed tuples just like a #sum aggregate does (cf. Section 3.1.12). The semantics of a program with weak constraints is intuitive: an answer set is optimal if the obtained cost is minimal among all answer sets of the given program. Whenever there are different priorities attached to tuples, we obtain a (possibly zero) cost for each priority. To determine whether an answer set is optimal, we do not just compare two single costs but lexicographically compare cost tuples whose elements are ordered by priority (greater is more important). Note that a tuple is always associated with a priority; if it is omitted, then the priority defaults to zero. A weak constraint is safe if the variables in its term tuples are bound by the atoms in the body and the safety requirements for the body itself are the same as for integrity constraints.

# Minimum Vertex Cover: Optimization



```
clingo minVC-optimization.txt
```

```
minVC-optimization.txt
```

```
vertex(1..3). edge(1,2). edge(1,3). edge(2,3).
cover(N,1) | cover(N,0) :- vertex(N).
:- edge(X,Y), cover(X,0), cover(Y,0).
::~ cover(X,1). [1@1, X]
#show. #show(X,C): cover(X,C).
```

We use here disjunction although not needed: every vertex "N" is in the cover (1) or not (0)

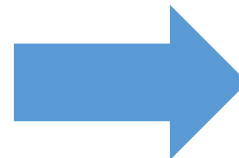
At least one endpoint of each edge needs to be in the cover, i.e. both can't be outside the cover (0)

Minimize the number of valuations for X that make "cover(X,1)" true

Show the nodes and whether they are in the cover (1) or not (0)

an intermediate non-optimal answer

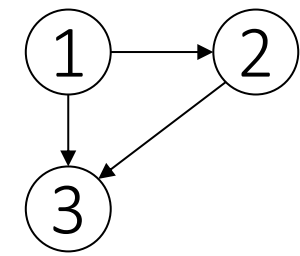
last answer is an optimal answer



```
Answer: 1
(1,1) (2,1) (3,1)
Optimization: 3
Answer: 2
(1,1) (2,1) (3,0)
Optimization: 2
OPTIMUM FOUND
```

Intuitively: enforce weak constraints if possible. Minimize the number of violations.

# Minimum Vertex Cover: Optimization



```
clingo minVC-optimization.txt
```

```
minVC-optimization.txt
```

```
vertex(1..3). edge(1,2). edge(1,3). edge(2,3).  
cover(N,1) | cover(N,0) :- vertex (N).  
:- edge(X,Y), cover(X,0), cover(Y,0).  
:~ cover(X,1). [1@1, X]
```

*Body* (under `cover(X,1)`)  
*Tail* (under `[1@1, X]`)  
*terms ( $t_1, \dots, t_n$ )* (under `X`)  
*priority (optional)* (under `@1`)  
*weight (w)* (under `1`)

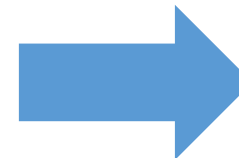
```
#show. #show (X,C): cover(X,C).
```

## SEMANTICS OF WEAK CONSTRAINTS:

For any program  $P$  and answer set  $A$ ,  $weak(P,A)$  is the set of **all unique tails** of weak constraints in  $ground(P)$  whose body is satisfied by  $A$

Goal is to minimize  $\sum_{(t_1, \dots, t_n) \in weak(P,A)} W$

Higher priority levels are more important

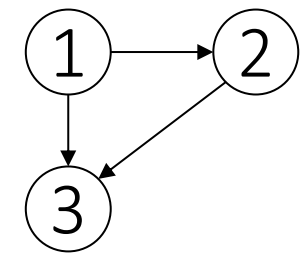


```
Answer: 1  
(1,1) (2,1) (3,1)  
Optimization: 3  
Answer: 2  
(1,1) (2,1) (3,0)  
Optimization: 2  
OPTIMUM FOUND
```

*an intermediate non-optimal answer*

*last answer is an optimal answer*

# Minimum Vertex Cover: Optimization



```
clingo minVC-aggregation.txt
```

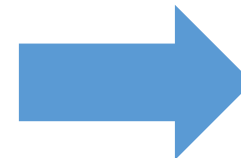
```
minVC-aggregation.txt
```

```
vertex(1..3). edge(1,2). edge(1,3). edge(2,3).
cover(N,1) | cover(N,0) :- vertex (N).
:- edge(X,Y), cover(X,0), cover(Y,0).
#minimize {1@1, X : cover(X,1)}.
#show. #show (X,C): cover(X,C).
```

*weight (w)*  
*priority (optional)*  
*terms (t<sub>1</sub>, ..., t<sub>n</sub>)*

*Body*

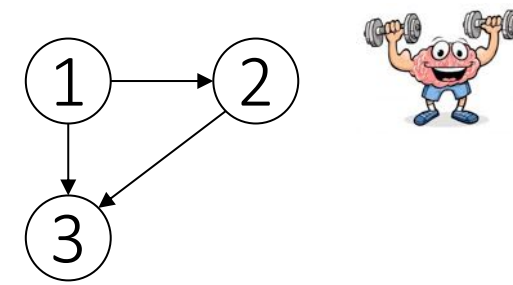
Minimize the number of valuations for X that make "cover(X,1)" true



```
Answer: 1
(1,1) (2,1) (3,1)
Optimization: 3
Answer: 2
(1,1) (2,1) (3,0)
Optimization: 2
OPTIMUM FOUND
```

same answer

# Minimum Vertex Cover: Aggregate / Decision



```
clingo minVC-decision1.txt -n 0
```

minVC-decision1.txt

```
vertex(1..3). edge(1,2). edge(1,3). edge(2,3).
```

```
cover(N,1) | cover(N,0) :- vertex (N).
```

```
:- edge(X,Y), cover(X,0), cover(Y,0).
```

```
:- #count{X : cover(X, 1)} > 2.
```

Aggregate Atom

Counts values X that make "cover(X,1)" true

```
#show. #show (X,C): cover(X,C).
```

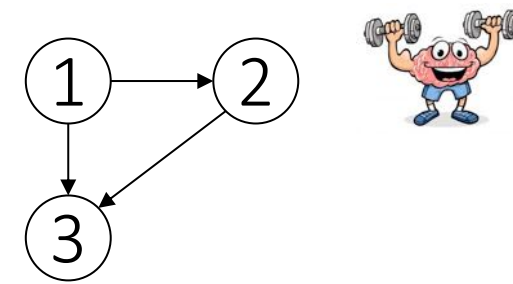
Show all models

Check if there is some valid cover with 2 or fewer vertices covered

The size of the cover cannot be > 2

```
Answer: 1
(1,1) (2,1) (3,0)
Answer: 2
(1,1) (2,0) (3,1)
Answer: 3
(1,0) (2,1) (3,1)
SATISFIABLE
```

# Minimum Vertex Cover: Aggregate / Decision



```
clingo minVC-decision1.txt -n 0
```

```
minVC-decision1.txt
```

```
vertex(1..3). edge(1,2). edge(1,3). edge(2,3).
```

```
cover(N,1) | cover(N,0) :- vertex (N).
```

```
:- edge(X,Y), cover(X,0), cover(Y,0).
```

```
:- #count{X : cover(X, 1)} > 2.
```

Aggregate Atom

Counts values X that make "cover(X,1)" true

```
#show. #show (X,C): cover(X,C).
```

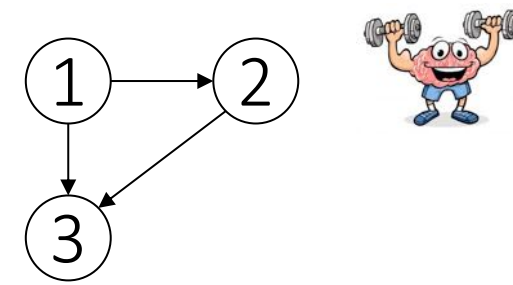
## SEMANTICS OF AGGREGATES:

An aggregate atom occurring in a rule body takes the form  $l \alpha\{t_1:L_1; \dots; t_n:L_n\} u$  where

- $\alpha$  is an aggregate function,
- $t_i:L_i$  aggregate  $t_i$  when  $L_i$  holds
- $l, u$  are optional lower and upper bounds

```
Answer: 1
(1,1) (2,1) (3,0)
Answer: 2
(1,1) (2,0) (3,1)
Answer: 3
(1,0) (2,1) (3,1)
SATISFIABLE
```

# Minimum Vertex Cover: Aggregate / Decision



```
clingo minVC-decision2.txt -n 0
```

```
minVC-decision2.txt
```

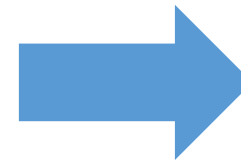
```
vertex(1..3). edge(1,2). edge(1,3). edge(2,3).
cover(N,1) | cover(N,0) :- vertex (N).
:- edge(X,Y), cover(X,0), cover(Y,0).
solution :- #count{X: cover(X, 1)} <= 2.
:- not solution.

#show. #show (X,C): cover(X,C).
```

Check if there is some valid cover with 2 or fewer nodes covered

If the size of the cover is  $\leq 2$ , then it is a solution.

And "solution" cannot be false (otherwise it true would imply false)



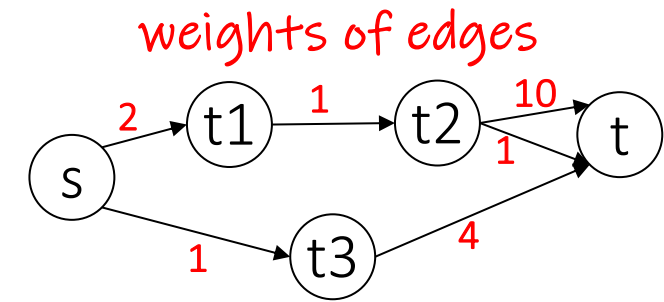
```
Answer: 1
(1,1) (2,1) (3,0)
Answer: 2
(1,1) (2,0) (3,1)
Answer: 3
(1,0) (2,1) (3,1)
SATISFIABLE
```



# Details on Disjunctive Logic Programming

- 3-colorability
  - 3-colorability with normal or disjunctive logic programs
  - 3-uncolorability with cautious semantics
- Optimization
  - Minimal Vertex Cover with weak constraints, optimization, aggregates
  - Shortest paths with aggregation (contrast Clingo vs Souffle)
- Saturation for Disjunctive Logic Programs
  - Minimal example for the power of saturation
  - Uncolorability (program is satisfiable iff a graph is not 3-colorable)
  - Minimal Vertex Cover of a particular size without minimization

# Shortest Path via Aggregation



```
clingo shortestpath1.txt
```

```
shortestpath1.txt
```

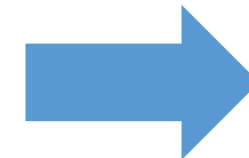
```
edge(s,v1,2). edge(v1,v2,1). edge(v2,t,1).  
edge(v2,t,10). edge(s,v3,1). edge(v3,t,4).
```

```
path(X,Y,W) :- edge(X,Y,W).
```

```
path(X,Z,W1+W2) :- path(X,Y,W1), path(Y,Z,W2).
```

```
minpath(X,Y,C) :- path(X,Y,_), C=#min{W: path(X,Y,W)}.  
#show. #show W: minpath(s,t,W). Aggregate Atom
```

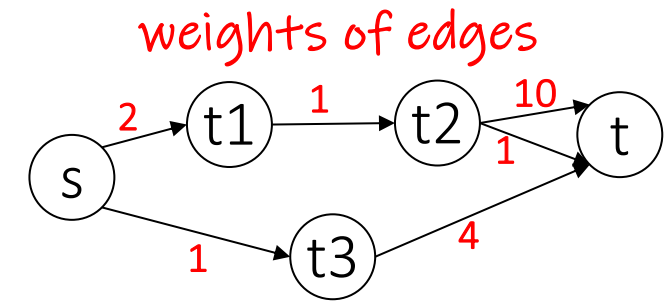
For all possible values  $X, Y$  grounded by "path( $X, Y, \_$ )", find the minimum weight  $W$ , call it  $C$  and store it in minpath( $X, Y, C$ )



```
Answer: 1  
4  
SATISFIABLE
```

The length of the shortest path

# Shortest Path via Aggregation



```
clingo shortestpath2.txt
```

```
shortestpath2.txt
```

```
edge(s,v1,2). edge(v1,v2,1). edge(v2,t,1).  
edge(v2,t,10). edge(s,v3,1). edge(v3,t,4).
```

```
path(X,Y,W) :- edge(X,Y,W).
```

```
path(X,Z,W1+W2) :- path(X,Y,W1), path(Y,Z,W2).
```

```
minpath(C) :- C=#min{W: path(s,t,W)}.
```

```
#show. #show W: minpath(W).
```

For all possible values  $W$  grounded by "path(s,t,W)", find the minimum weight  $W$ , call it  $C$  and store it in minpath(C)

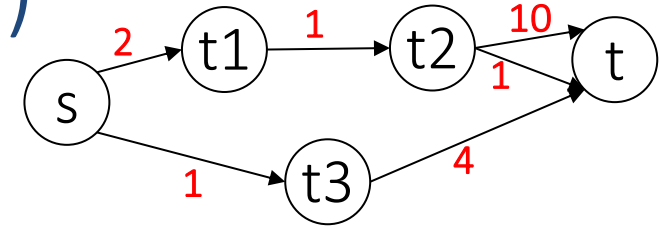


```
Answer: 1  
4  
SATISFIABLE
```

The length of the shortest path

# Shortest Path via Aggregation (Souffle)

weights of edges



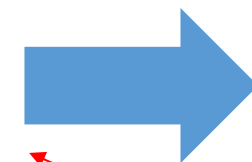
```
souffle shortestpath.dl
```

```
shortestpath.dl
```

```
.decl edge(x: symbol, y: symbol, wt:number)
.input edge
.decl path(x: symbol, y: symbol, wt:number)
path(x,y,w) :- edge(x,y,w).
path(x,z,w1+w2) :- path(x,y,w1), path(y,z,w2).
.decl minpath(w:number)
minpath(c) :- c = min w:{path("s", "t", w)}.
.output minpath
```

edge.facts

s	v1	2
v1	v2	1
v2	t	1
v2	t	10
s	v3	1
v3	t	4



minpath.csv

4

Recall that in souffle, constants are indicated by quotation marks

Answer in minSTpath

# Details on Disjunctive Logic Programming

- 3-colorability
  - 3-colorability with normal or disjunctive logic programs
  - 3-uncolorability with cautious semantics
- Optimization
  - Minimal Vertex Cover with weak constraints, optimization, aggregates
  - Shortest paths with aggregation (contrast Clingo vs Souffle)
- Saturation for Disjunctive Logic Programs
  - Minimal example for the power of saturation
  - Uncolorability (program is satisfiable iff a graph is not 3-colorable)
  - Minimal Vertex Cover of a particular size without minimization

# Use Disjunction only if needed

*clasp and claspD have been united into clasp*

## 3.1.3 Disjunction

Disjunctive logic programs permit connective “|” between atoms in rule heads. A disjunction is true if at least one of its atoms is true. Additionally, logic programs have to satisfy a minimality criterion, which we do not detail in this guide. The simple program  $a \mid b$  has the two answer sets  $\{a\}$  and  $\{b\}$  but **does not admit the answer set  $a, b$  because it is no minimal model**.

In general, the use of disjunction however increases computational complexity [12]. This is why `clingo`<sup>2</sup> and solvers like `assat` [37], `clasp` [20], `nomore++` [1], `smodels` [51], and `smodelscc` [56] do not work on disjunctive programs. Rather, `claspD` [8], `cmodels` [28, 35], or `gnt` [33] need to be used for solving a disjunctive program.<sup>3</sup> We thus **suggest to use “choice constructs”** (cf. Section 3.1.10) **instead of disjunction, unless the latter is required for complexity reasons** (see [13] for an implementation methodology in disjunctive ASP).

*It is possible that modern solvers can detect head-cycle free disjunctions and internally “shift” the heads to normal logic programs.*

# Horn clauses and logic programming

A **clause** is a disjunction of literals.

$$\bar{a} \vee \bar{b} \vee c \vee d \qquad a \wedge b \Rightarrow c \vee d$$
$$1 \wedge a \wedge b \Rightarrow c \vee d \vee 0$$

A **Horn clause** has at most one positive (i.e. unnegated) literal.

$\bar{a} \vee b \vee c$	$a \wedge \bar{b} \Rightarrow c$	} ← Those express the same models and minimal models. However, for a model in which both <i>a</i> and <i>b</i> are true, the non-disjunctive version does not include the rules in the reduct because the body is not true!
$\bar{a} \vee b \vee c$	$a \wedge \bar{c} \Rightarrow b$	
$\bar{a} \vee b \vee c$	$a \Rightarrow b \vee c$	

# Disjunctive logic programming

## Datalog

```
b :- a.
```

```
c :- a.
```

*If a is true, then both b and c need to be true too*

$b \wedge c \Leftarrow a$

## Datalog with negation and stable model semantics, or disjunction in head

```
b :- a, not c.
```

```
c :- a, not b.
```

*If a is true, then either b or c need to be true  
(both can be true only if there are other rules)*

$b \vee c \Leftarrow a$

```
b | c :- a.
```

*If a is true, then at least b or c need to be true:*

$b \vee c \Leftarrow a$



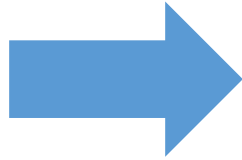
# When disjunctions add expressiveness (1/2)



```
clingo saturation1.txt -n 0
```

saturation1.txt

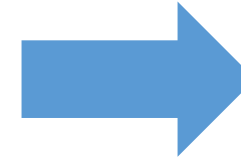
```
a :- not b.  
b :- not a.
```



?

saturation2.txt

```
a | b :-.
```



?

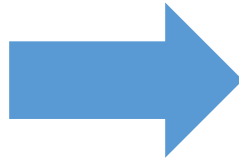
# When disjunctions add expressiveness (1/2)



```
clingo saturation1.txt -n 0
```

saturation1.txt

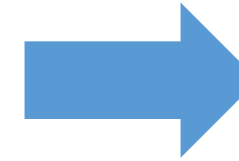
```
a :- not b.  
b :- not a.
```



```
Solving...  
Answer: 1  
b  
Answer: 2  
a  
SATISFIABLE  
  
Models      : 2
```

saturation2.txt

```
a | b :-.
```



```
Solving...  
Answer: 1  
b  
Answer: 2  
a  
SATISFIABLE  
  
Models      : 2
```

{{a}, {b}}

both have the same two SMs {a} and {b}. {a,b} would also be a model, but is not minimal, thus not a SM

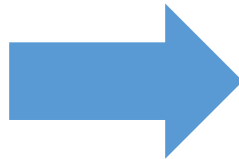
# When disjunctions add expressiveness (1/2)



```
clingo saturation1.txt -n 0
```

saturation1.txt

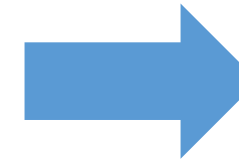
```
a :- not b.  
b :- not a.
```



```
Solving...  
Answer: 1  
b  
Answer: 2  
a  
SATISFIABLE  
  
Models      : 2
```

saturation2.txt

```
a | b :-.
```



```
Solving...  
Answer: 1  
b  
Answer: 2  
a  
SATISFIABLE  
  
Models      : 2
```

reduct w.r.t {a}

$\{\{a\}, \{b\}\}$

```
a :- not b.  
b :- not a.
```

→ {a}

reduct w.r.t {a}

```
a | b :-.
```

→ {a} or {b}

both have the same two SMs {a} and {b}. {a,b} would also be a model, but is not minimal, thus not a SM

# When disjunctions add expressiveness (2/2)

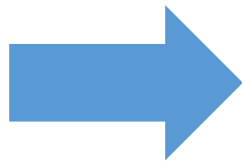


```
clingo saturation1.txt -n 0
```

saturation3.txt

```
a :- not b.  
b :- not a.  
  
c :- a.  
c :- b.  
  
a :- c.  
b :- c.
```

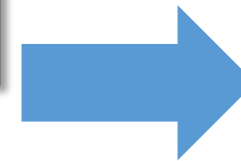
• either *a* or *b* is true  
(if the other one is false)  
• thus *c* is true  
• thus both *a* and *b* need  
to be true ("saturation")  
• but then neither *a* or *b*  
is justified in the first place



saturation4.txt

```
a | b :-.  
  
c :- a.  
c :- b.  
  
a :- c.  
b :- c.
```

• either *a* or *b* is true  
(or both if needed)  
• thus *c* is true  
• thus both *a* and *b* need  
to be true ("saturation")  
• and that's ok



# When disjunctions add expressiveness (2/2)

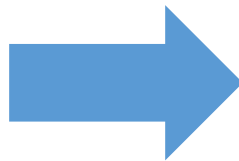


```
clingo saturation1.txt -n 0
```

saturation3.txt

```
a :- not b.  
b :- not a.  
  
c :- a.  
c :- b.  
  
a :- c.  
b :- c.
```

• either *a* or *b* is true  
(if the other one is false)  
• thus *c* is true  
• thus both *a* and *b* need  
to be true ("saturation")  
• but then neither *a* or *b*  
is justified in the first place



```
Solving...  
UNSATISFIABLE  
  
Models : 0
```

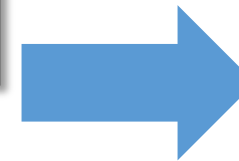
{}

has no SM (stable model)

saturation4.txt

```
a | b :-  
  
c :- a.  
c :- b.  
  
a :- c.  
b :- c.
```

• either *a* or *b* is true  
(or both if needed)  
• thus *c* is true  
• thus both *a* and *b* need  
to be true ("saturation")  
• and that's ok



```
Solving...  
Answer: 1  
a b c  
SATISFIABLE  
  
Models : 1
```

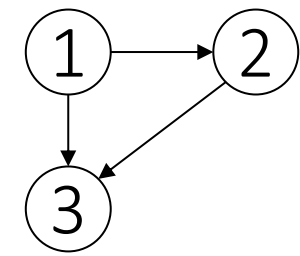
{a,b,c}

has 1 SM that includes both *a* and *b*

# Details on Disjunctive Logic Programming

- 3-colorability
  - 3-colorability with normal or disjunctive logic programs
  - 3-uncolorability with cautious semantics
- Optimization
  - Minimal Vertex Cover with weak constraints, optimization, aggregates
  - Shortest paths with aggregation (contrast Clingo vs Souffle)
- Saturation for Disjunctive Logic Programs
  - Minimal example for the power of saturation
  - Uncolorability (program is satisfiable iff a graph is not 3-colorable)
  - Minimal Vertex Cover of a particular size without minimization

# 3-uncolorability: via disjunctive LP



```
clingo 3uncolorability2.txt -n 0
```

3uncolorability2.txt

```
% Facts
vertex(1..3). edge(1,2). edge(1,3). edge(2,3).

% Guess
color(X,1) | color(X,2) | color(X,3) :- vertex(X).

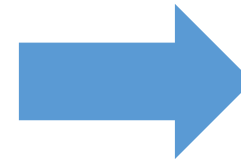
% Check desired property (of being "uncolored")
uncolored :- edge(X,Y), color(X,C), color(Y,C).

% Saturate if desired property holds
color(X,1..3) :- uncolored, vertex(X).
```

"notcolored" is true iff any two neighbors share the color.

If "notcolored" is true then "saturate" all vertices with all colors. This will never be a minimal SM if there is at least one valid coloring

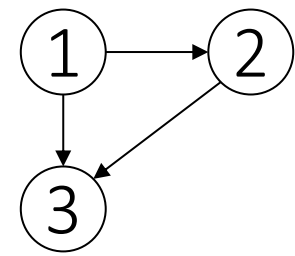
There are 6 possible colorings in which notcolored is not made true. Thus "notcolored" is never included.



```
Answer: 1
vertex(1) vertex(2) vertex(3) edge(1,2) edge(1,3) edge(2,3) color(1,3) color(2,2) color(3,1)
Answer: 2
vertex(1) vertex(2) vertex(3) edge(1,2) edge(1,3) edge(2,3) color(1,2) color(2,3) color(3,1)
Answer: 3
vertex(1) vertex(2) vertex(3) edge(1,2) edge(1,3) edge(2,3) color(1,3) color(2,1) color(3,2)
Answer: 4
vertex(1) vertex(2) vertex(3) edge(1,2) edge(1,3) edge(2,3) color(1,2) color(2,1) color(3,3)
Answer: 5
vertex(1) vertex(2) vertex(3) edge(1,2) edge(1,3) edge(2,3) color(1,1) color(2,3) color(3,2)
Answer: 6
vertex(1) vertex(2) vertex(3) edge(1,2) edge(1,3) edge(2,3) color(1,1) color(2,2) color(3,3)
SATISFIABLE
```

Models : 6

# 3-uncolorability: via disjunctive LP



```
clingo 3uncolorability3.txt -n 0
```

3uncolorability3.txt

```
% Facts
vertex(1..3). edge(1,2). edge(1,3). edge(2,3).

% Guess
color(X,1) | color(X,2) | color(X,3) :- vertex(X).

% Check desired property (of being "uncolored")
uncolored :- edge(X,Y), color(X,C), color(Y,C).

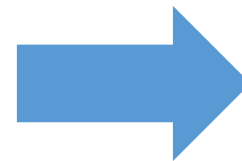
% Saturate if desired property holds
color(X,1..3) :- uncolored, vertex(X).

#show. #show yes : uncolored.
```

"notcolored" is true iff any two neighbors share the color.

If "notcolored" is true then "saturate" all vertices with all colors. This will never be a minimal SM if there is at least one valid coloring

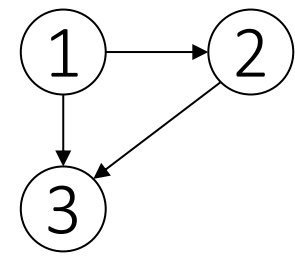
There are 6 possible colorings in which notcolored is not made true. Thus "notcolored" is never included.



```
Answer: 1
Answer: 2
Answer: 3
Answer: 4
Answer: 5
Answer: 6
SATISFIABLE
Models : 6
```



# 3-uncolorability: via disjunctive LP



```
clingo 3uncolorability3.txt
```

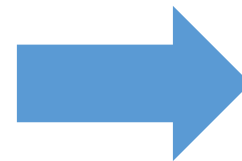
```
3uncolorability3.txt
```

```
% Facts
vertex(1..3). edge(1,2). edge(1,3). edge(2,3).
% Guess
color(X,1) | color(X,2) | color(X,3) :- vertex(X).
% Check desired property (of being "uncolored")
uncolored :- edge(X,Y), color(X,C), color(Y,C).
% Saturate if desired property holds
color(X,1..3) :- uncolored, vertex(X).
#show. #show yes : uncolored.
```

"notcolored" is true iff any two neighbors share the color.

If "notcolored" is true then "saturate" all vertices with all colors. This will never be a minimal SM if there is at least one valid coloring

There are 6 possible colorings in which notcolored is not made true. Thus "notcolored" is never included.

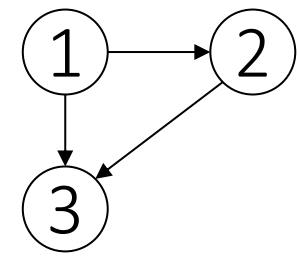


```
Solving...
Answer: 1

SATISFIABLE

Models : 1+
```

# 3-uncolorability: via disjunctive LP



```
clingo 3uncolorability6.txt
```

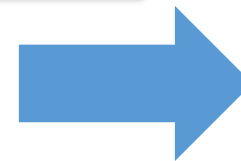
```
3uncolorability6.txt
```

```
% Facts
vertex(1..3). edge(1,2). edge(1,3). edge(2,3).
% Guess
color(X,1) | color(X,2) | color(X,3) :- vertex(X).
% Check desired property (of being "uncolored")
uncolored :- edge(X,Y), color(X,C), color(Y,C).
% Saturate if desired property holds
color(X,1..3) :- uncolored, vertex(X).
% Additionally require desired property
:- not uncolored.
```

"notcolored" is true iff any two neighbors share the color.

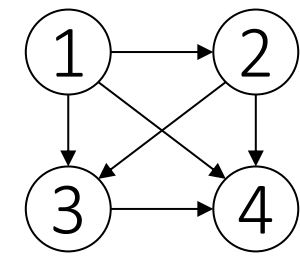
If "notcolored" is true then "saturate" all vertices with all colors. This will never be a minimal SM if there is at least one valid coloring

Additionally require the desired property "uncolored" to be true as additional constraint (recall this rule does not make it true, it needs to be derivable in the reduct)



```
Solving...
UNSATISFIABLE
Models      : 0
```

# 3-uncolorability: (non-existence of coloring)



clingo 3uncolorability1.txt

3uncolorability1.txt

```
% Facts
vertex(1..4). edge(1,2..4). edge(2,3..4). edge(3,4).

% Guess
color(X,1) | color(X,2) | color(X,3) :- vertex(X).

% Check desired property (of being "uncolored")
uncolored :- edge(X,Y), color(X,C), color(Y,C).

% Saturate if desired property holds
color(X,1..3) :- uncolored, vertex(X).

% Additionally require desired property
:- not uncolored.
```

"notcolored" is true iff any two neighbors share the color.

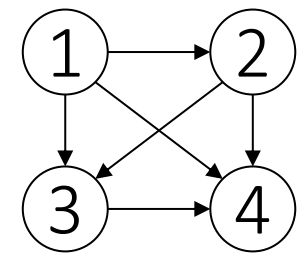
If "notcolored" is true then "saturate" all vertices with all colors. This will never be a minimal SM if there is at least one valid coloring

There is no possible coloring and "notcoloring" is always true. Thus there is only one "saturated" SM that also contains "notcolored" (which is also required)

```
Answer: 1
vertex(1) vertex(2) vertex(3) vertex(4) edge(3,4) edge(1,2)
edge(1,3) edge(1,4) edge(2,3) edge(2,4) color(1,1) color(1,2)
color(1,3) color(2,1) color(2,2) color(2,3) color(3,1) color(3,2)
color(3,3) color(4,1) color(4,2) color(4,3) notcolored
SATISFIABLE
```

Models : 1

# 3-colorability: (existence of coloring)



```
clingo 3colorability6.txt
```

```
3colorability6.txt
```

```
% Facts  
vertex(1..4). edge(1,2..4). edge(2,3..4). edge(3,4).  
% Guess  
color(X,1) | color(X,2) | color(X,3) :- vertex(X).  
% Check undesired property (of being "uncolored")  
uncolored :- edge(X,Y), color(X,C), color(Y,C).  
  
% Additionally disallow undesired property  
:- uncolored.
```

"notcolored" is true iff any two neighbors share the color.

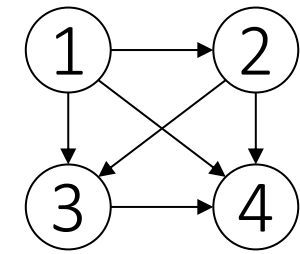


```
Solving...  
UNSATISFIABLE  
Models      : 0
```

# Details on Disjunctive Logic Programming

- 3-colorability
  - 3-colorability with normal or disjunctive logic programs
  - 3-uncolorability with cautious semantics
- Optimization
  - Minimal Vertex Cover with weak constraints, optimization, aggregates
  - Shortest paths with aggregation (contrast Clingo vs Souffle)
- Saturation for Disjunctive Logic Programs
  - Minimal example for the power of saturation
  - Uncolorability (program is satisfiable iff a graph is not 3-colorable)
  - Minimal Vertex Cover of a particular size without minimization

# existence of VC = 3



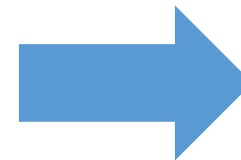
minVC-existence2.txt

clingo minVC-existence2.txt

```
% Facts
vertex(1..4). edge(1,2..4). edge(2,3..4). edge(3,4).
% Guess solutions
cover (X,1) | cover (X,0) :- vertex(X).
% Check and enforce properties
:- edge(X,Y), cover (X,0), cover (Y,0).
valid :- #count{X: cover (X,1)} = 3.
:- not valid.
```

Guess a solution (expressiveness of disjunctive rule is not required here)

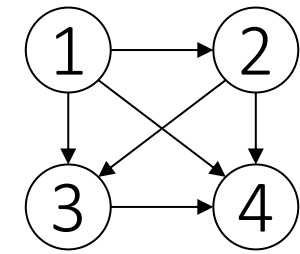
The valid solution needs to be a cover and have 3



```
Solving...
Answer: 1
vertex(1) vertex(2) vertex(3) vertex(4) edge(3,4) edge(1,2)
edge(1,3) edge(1,4) edge(2,3) edge(2,4) cover(1,0) cover(2,1)
cover(3,1) cover(4,1) valid
SATISFIABLE

Models      : 1+
```

# non-existence of VC < 3



minVC-nonexistence2.txt

```
clingo minVC-nonexistence2.txt
```

```
% Facts
vertex(1..4). edge(1,2..4). edge(2,3..4). edge(3,4).
% Guess solutions

cover_all(X,1) | cover_all(X,0) :- vertex(X).
% Check and enforce properties

invalid :- edge(X,Y), cover_all(X,0), cover_all(Y,0).
invalid :- #count{X: cover_all(X,1)} >= 3.
:- not invalid.
% Additionally saturate if desired property holds
cover_all(X,0..1) :- invalid, vertex(X).
```

Guess all cover candidates with disjunction (here disjunction is needed as we use it with saturation later below)

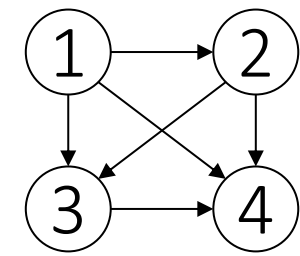
All cover candidates must be invalid (not a cover or  $\geq 3$ )

Saturate all other cover candidates if invalid

```
Answer: 1
vertex(1) vertex(2) vertex(3) vertex(4) edge(3,4) edge(1,2)
edge(1,3) edge(1,4) edge(2,3) edge(2,4) cover_all(1,1)
cover_all(1,0) cover_all(2,1) cover_all(2,0) cover_all(3,1)
cover_all(3,0) cover_all(4,1) cover_all(4,0) invalid
SATISFIABLE
```

```
Models : 1
```

# minVC = 3 (exists 3 and not exists <3)



minVC-existsandnot1.txt

```
clingo minVC-existsandnot1.txt
```

```
% Facts
vertex(1..4). edge(1,2..4). edge(2,3..4). edge(3,4).
% Guess solutions
cover (X,1) | cover (X,0) :- vertex(X).
cover_all(X,1) | cover_all(X,0) :- vertex(X).
% Check and enforce properties
:- edge(X,Y), cover (X,0), cover (Y,0).
valid :- #count{X: cover (X,1)} = 3.
:- not valid.
invalid :- edge(X,Y), cover_all(X,0), cover_all(Y,0).
invalid :- #count{X: cover_all(X,1)} >= 3.
:- not invalid.
% Additionally saturate if desired property holds
cover_all(X,0..1) :- invalid, vertex(X).
```

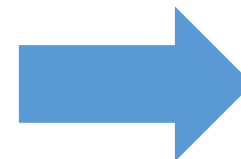
Guess a valid solution (disjunction is not required here)

We want all other cover candidates to not be better (disjunction is required here)

The valid solution needs to be a cover and have 3

All other cover candidates must be invalid (not a cover or >= 3)

Saturate all other cover candidates if invalid

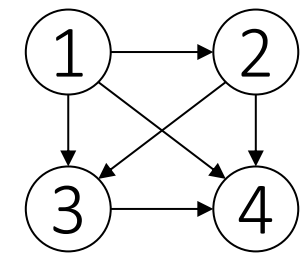


```
Answer: 1
vertex(1) vertex(2) vertex(3) vertex(4) edge(3,4) edge(1,2)
edge(1,3) edge(1,4) edge(2,3) edge(2,4) cover_all(1,1)
cover_all(1,0) cover_all(2,1) cover_all(2,0) cover_all(3,1)
cover_all(3,0) cover_all(4,1) cover_all(4,0) invalid cover(1,0)
cover(2,1) cover(3,1) cover(4,1) valid
SATISFIABLE
```

Models : 1+



# minVC = K (exists K and not exists <K)



minVC-existsandnot2.txt

```
clingo minVC-existsandnot2.txt
```

```
% Facts
vertex(1..4). edge(1,2..4). edge(2,3..4). edge(3,4).
% Guess solutions
cover (X,1) | cover (X,0) :- vertex(X).
cover_all(X,1) | cover_all(X,0) :- vertex(X).
% Check and enforce properties
:- edge(X,Y), cover (X,0), cover (Y,0).
minvc(K) :- #count{X: cover (X,1)} = K.

invalid :- edge(X,Y), cover_all(X,0), cover_all(Y,0).
invalid :- #count{X: cover_all(X,1)} >= K, minvc(K).
:- not invalid.
% Additionally saturate if desired property holds
cover_all(X,0..1) :- invalid, vertex(X).
```

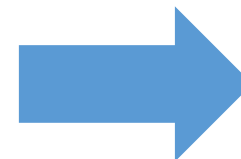
Guess a valid solution (disjunction is not required here)

We want all other cover candidates to not be better (disjunction is required here)

The valid solution needs to be a cover and have some size K

All other cover candidates must be invalid (not a cover or >= K)

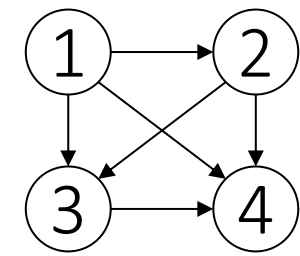
Saturate all other cover candidates if invalid



```
Answer: 1
vertex(1) vertex(2) vertex(3) vertex(4) edge(3,4) edge(1,2)
edge(1,3) edge(1,4) edge(2,3) edge(2,4) cover_all(1,1)
cover_all(1,0) cover_all(2,1) cover_all(2,0) cover_all(3,1)
cover_all(3,0) cover_all(4,1) cover_all(4,0) cover(1,0) cover(2,1)
cover(3,1) cover(4,1) minvc(3) invalid
SATISFIABLE
```

Models : 1+

# minVC = K (exists K and not exists <K)



minVC-existsandnot3.txt

```
clingo minVC-existsandnot3.txt
```

```
% Facts
vertex(1..4). edge(1,2..4). edge(2,3..4). edge(3,4).
% Guess solutions
cover (X,1) | cover (X,0) :- vertex(X).
cover_all(X,1) | cover_all(X,0) :- vertex(X).
% Check and enforce properties
:- edge(X,Y), cover (X,0), cover (Y,0).
minvc(K) :- #count{X: cover (X,1)} = K.

invalid :- edge(X,Y), cover_all(X,0), cover_all(Y,0).
invalid :- #count{X: cover_all(X,1)} >= K, minvc(K).
:- not invalid.
% Additionally saturate if desired property holds
cover_all(X,0..1) :- invalid, vertex(X).

#show. #show K: minvc(K).
```

Guess a valid solution (disjunction is not required here)

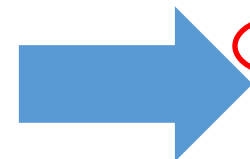
We want all other cover candidates to not be better (disjunction is required here)

The valid solution needs to be a cover and have some size K

All other cover candidates must be invalid (not a cover or  $\geq K$ )

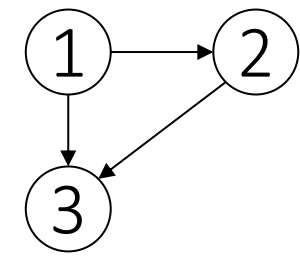
Saturate all other cover candidates if invalid

Only show the single entry K in "minvc(K)"



```
Answer: 1
3
SATISFIABLE
Models : 1+
```

# minVC = K (exists K and not exists <K)



```
clingo minVC-existsandnot4.txt
```

```
minVC-existsandnot4.txt
```

```
% Facts
vertex(1..3). edge(1,2..3). edge(2,3).
% Guess solutions
cover (X,1) | cover (X,0) :- vertex(X).
cover_all(X,1) | cover_all(X,0) :- vertex(X).
% Check and enforce properties
:- edge(X,Y), cover (X,0), cover (Y,0).
minvc(K) :- #count{X: cover (X,1)} = K.

invalid :- edge(X,Y), cover_all(X,0), cover_all(Y,0).
invalid :- #count{X: cover_all(X,1)} >= K, minvc(K).
:- not invalid.
% Additionally saturate if desired property holds
cover_all(X,0..1) :- invalid, vertex(X).

#show. #show K: minvc(K).
```

Guess a valid solution (disjunction is not required here)

We want all other cover candidates to not be better (disjunction is required here)

The valid solution needs to be a cover and have some size K

All other cover candidates must be invalid (not a cover or  $\geq K$ )

Saturate all other cover candidates if invalid

Only show the single entry K in "minvc(K)"

```
Answer: 1
2
SATISFIABLE
Models : 1+
```

# Outline: T1-4: Datalog & ASP

- Datalog
- Answer Set Programming
  - Intro to Rules with Negation
  - Horn clauses and Logic Programming
  - Stable model semantics
  - An application and surprising complexity result
  - The power of Disjunctions
  - [A surprising application: automating hardness proofs: moved to T2-U4: Reverse Data Management]